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March 25, 1999

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Our File No.: 40298-1

# Sent Via Overnight Courier

Mr. Charles H. Hill, Director Division of Water and Wastewater Florida Public Service Commission 1101 Shumard Oaks Boulevard Tallahassee, Florida RECEIVED

MAR 26 1999

Florida Public Service Commission Division of Water and Wastewater

990403-WS

Re:

CHARLES GRAY

PHILLIP R FINCH PAMELA O PRICE JAMES F. PAGE, JR

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FREDERICK W. LEONHARDT BORRON J OWEN, JR

GORDON H. HARRIS

Submittal of Application for Transfer to Governmental Authority of Rampart Utilities, Inc. to Charlotte County, Florida

Dear Mr. Hill:

Attached is the original and five (5) copies of the above-referenced application for the transfer of water and wastewater certificates associated with the Rampart Utility System Asset Acquisition Agreement between Charlotte County, Florida and Rampart Utilities, Inc. ("Rampart"). Rampart is a utility in receivership located in Charlotte County. Tom Cloud of our firm has previously spoken with JoAnn Chase regarding this utility.

Please notify me if there are any deficiencies in the application and I will supplement the application with any further information needed by your staff. If you or your staff have any questions regarding this application submittal, please do not hesitate to contact me at any time.

Sincerely,

W. Christopher Browder, Esquire

GRAY, HARRIS & ROBINSON, P.A. (Orlando)

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DOCUMENT NUMBER DATE

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# GRAY, HARRIS & ROBINSON

Mr. Charles H. Hill, Director Page 2 March 25, 1999

Attachments:

Application for Transfer to Governmental Authority

Exhibit A - Agreement

Exhibit B - Statement re: Customer Deposit Disposition

Exhibit C - Statement re: Regulatory Assessment Fee Disposition

Exhibit D - Charlotte County Statement re: Financial Issues

Original Certificates 572-W and 497-S

cc: (w/out attachments)

Theodore C. Steffens, Receiver
Jeffrey S. Russell, Esquire, Abel, Bond et al
Larry E. Metz, Herzfeld & Rubin
James D. Wing, Holland & Knight
Gerald V. Walsh
Gerald C. Hartman, Hartman & Associates
Mark Lawson, Nabors, Giblin & Nickerson
Renee' Francis Lee, Charlotte County Attorney
Richard E. Howell, Charlotte County Utility Director
Laurie Case, Charlotte County Utilities Fiscal Manager
Thomas A. Cloud, Gray, Harris & Robinson
Phillip R. Finch, Gray, Harris & Robinson

# APPLICATION FOR TRANSFER TO COVERNMENTAL AUTHORITY

(Pursuant to Section 367.071(4)(a), Florida Statutes)

TO: Director, Division of Records and Reporting Florida Public Service Commission 2540 Shumard Oak Blvd.
Tallahassee, Florida 32399-0850

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MAR 26 1999

Flonda Public Service Commission Division of Water and Wastewater

The undersigned hereby makes application for the approval of
the transfer of (all or part) of the facilities operated under
Water Certificate No. 572-W and/or Wastewater Certificate No.
497-S located in Charlotte County,
Florida, and submits the following:
PART I APPLICANT INFORMATION
A) The full name (as it appears on the certificate), address and telephone number of the seller (utility):
Rampart Utilities, Inc.
Name of utility
( )
Phone No. Fax No.
2100 Kings Highway
Office street address
Port Charlotte, Florida 39980
City State Zip Code
Mailing address if different from street address
Internet address if applicable

of the utility to cont Theodore Steffens c/o	to a second seco
	Jettrey Russell
Name	Phone No.
Abol Bond Bussell (	Collier, Pitchford & Gordon
240 South Pineapple Av	venue - 7th Floor - Huntington Plaza
City Sarasota, Florida	34230-6948State - 1.Zip Cod
The full name, addr governmental authority	ress and telephone number of
Charlotte County	
Name of utility	
( 941 ) 743-1300 Phone No.	( 941 ) 743-1310 Fax No.
FILOITE NO.	
	2 327 110 1
18500 Murdock Circle	
18500 Murdock Circle Office street address	
Office street address	
Office street address Port Charlotte, Florida	33948-1094
Office street address Port Charlotte, Florida City	33948-1094 State Zip Code
Office street address Port Charlotte, Florida City	33948-1094
Office street address Port Charlotte, Florida City Mailing address if dif	33948-1094 State Zip Code ferent from street address
Office street address Port Charlotte, Florida City	33948-1094 State Zip Code ferent from street address
Office street address Port Charlotte, Florida City Mailing address if dif	33948-1094 State Zip Code ferent from street address
Office street address  Port Charlotte, Florida  City  Mailing address if dif  Internet address if ap	33948-1094 State Zip Code ferent from street address plicable telephone number of a represent
Office street address  Port Charlotte, Florida  City  Mailing address if dif  Internet address if ap  The name, address and of the governmental application:	State Zip Code  ferent from street address  plicable  telephone_number of a represent uthority to contact concerning
Office street address  Port Charlotte, Florida  City  Mailing address if dif  Internet address if ap  The name, address and of the governmental application:  Richard E. Howell, Utility	State Zip Code  ferent from street address  plicable  telephone_number of a represent uthority to contact concerning
Office street address  Port Charlotte, Florida  City  Mailing address if dif  Internet address if ap  The name, address and of the governmental arapplication:  Richard E. Howell, Utility	State Zip Code  ferent from street address  plicable  telephone number of a represent uthority to contact concerning  Director (941 ) 743-1559  Phone No.
Office street address  Port Charlotte, Florida  City  Mailing address if dif  Internet address if ap  The name, address and of the governmental application:  Richard E. Howell, Utility  Name Charlotte County	State Zip Code  ferent from street address  plicable  telephone number of a represent uthority to contact concerning  Director (941 ) 743-1559  Phone No.

### FLORIDA PUBLIC SERVICE COMMISSION

INSTRUCTIONS FOR COMPLETING
APPLICATION FOR TRANSFER TO TOOVERNMENTAL AUTHORITY
(Section 367.071, Florida Statutes)

## General Information

The attached form has been prepared by the Florida Public Service Commission to aid utilities under its jurisdiction to file information required by Chapter 367, Florida Statutes, and Chapter 25-30, Florida Administrative Code. Any questions regarding this form should be directed to the Division of Water and Wastewater, Bureau of Industry Structure and Policy Development (850) 413-6900.

Note that, pursuant to Section 367.071(4)(a), Florida Statutes, a governmental authority, prior to taking any official action, shall request from the utility or the Commission the most recent available income and expense statement, balance sheet and statement of rate base for regulatory purposes and contributions-in-aid-of-construction.

# Instructions

- Fill out the attached application form completely and accurately.
- Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A.". Do not leave any items blank.
- Notarize the completed application form.
- Return applicable regulatory assessment fee and form with the application.
- Return utility's original certificate(s) with the application for transfer.
- 6. The original and five copies of the completed application and attached exhibits; one copy of each territory and system map (if applicable); the original and two copies of the proposed tariff sheet(s) (if applicable); the appropriate regulatory assessment form(s) and fee(s); and the original certificate(s) should be mailed to:

Director, Division of Records and Reporting Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahasses, Florida 32399-0850 (THIS PAGE INTENTIONALLY LEFT BLANK)

#### PART II FINANCIAL INFORMATION

- A) Exhibit A A copy of the contract pursuant to Rules 25-30.037(4)(c) and (d). Florida Administrative Code.
- B) Exhibit \_\_ B \_\_ A statement regarding the disposition of customer deposits and the accumulated interest thereon.
- C) Exhibit <u>c</u> A statement regarding the disposition of any outstanding regulatory assessment fees, fines or refunds owed.
- D) Exhibit D A statement that the buyer (governmental authority) obtained from the utility or the Commission the utility's most recent available income and expense statement, balance sheet and statement of rate base for regulatory purposes and contributions-in-aid-of-construction.
- E) Indicate the date on which the buyer proposes to take official action to acquire the utility:

•				
	March	1999		

If only a portion of the utility's facilities is being transferred, a revised territory description and map of the utility's remaining territory must be provided, as discussed in PART III, below.

IF THE UTILITY'S ENTIRE FACILITIES ARE BEING TRANSFERRED, PLEASE DISREGARD PART III OF THIS APPLICATION FORM.

#### PART III CERTIFICATION

#### A) TERRITORY DESCRIPTION

Exhibit N/A - An accurate description of the utility's revised territory. If the water and wastewater territory is different, provide separate descriptions.

Note: Use the Survey of Public Lands method (township, range, section, and quarter section), if possible, or a metes and bounds description. Give the subdivision or project name. The description should NOT refer to land grants or plat books, but may use geographic boundaries (i.e., road right-of-ways, railroads, rivers, creeks, etc). The object is to make the description as brief, but as accurate as possible.

#### B) TERRITORY MAPS

## C) TARIFF SHEETS

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#### PART IV AFFIDAVIT

I Theodore C. STPFFCUS (applicant) do
solemnly swear or affirm that the facts stated in the foregoing application and all exhibits attached thereto are true and correct and that said statements of fact thereto
constitute a complete statement of the matter to which it relates.
BY: Applicant's Signature
Applicant's Name (Typed)
Applicant's Title *
Subscribed and sworn to before me this
ELIZABETH A. JOHANSEN Notary Public Notary Public
State of Colorado

\* If the applicant is a corporation, the affidavit must be made by the president or other officer authorized by the by-laws of the corporation to act for it. If the applicant is a partnership or association, a member of the organization authorized to make such affidavit shall execute same.