

State of Florida



Public Service Commission

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD
TALLAHASSEE, FLORIDA 32399-0850

-M-E-M-O-R-A-N-D-U-M-

DATE: APRIL 8, 1999

TO: DIRECTOR, DIVISION OF RECORDS AND REPORTING (BAYÓ)

FROM: DIVISION OF TELECOMMUNICATIONS (ISLER) *Pij Rtk*
DIVISION OF LEGAL SERVICES (MCKINNEY) *J. MILLER* *Jan 01-1-1999*

RE: CANCELLATION BY FLORIDA PUBLIC SERVICE COMMISSION OF PATS
CERTIFICATE FOR VIOLATION OF RULE 25-4.0161, F.A.C.,
REGULATORY ASSESSMENT FEES; TELECOMMUNICATIONS COMPANIES.

DOCKET NO. ~~981503-TC~~ - ROBERT D. EGOZI
DOCKET NO. 981559-TC - JOSEPH LUKOSE
DOCKET NO. 981615-TC - ROBERT MICHAEL ALLEY

AGENDA: 04/20/99 - REGULAR AGENDA - INTERESTED PERSONS MAY
PARTICIPATE

CRITICAL DATES: NONE

SPECIAL INSTRUCTIONS: NONE

FILE NAME AND LOCATION: S:\PSC\CMU\WP\981503.RCM

CASE BACKGROUND

1) Docket No. 981503-TC - Robert D. Egozi - This company obtained Certificate No. 5127 on 04/11/97. The Division of Administration mailed the 1997 regulatory assessment fee (RAF) notice by certified mail. The United States Postal Service (USPS) returned the unopened envelope stamped "return to sender" and "unclaimed." The Division of Administration advised staff by memorandum that this company had not paid its 1997 RAF, plus statutory penalty and interest charges for the year 1997.

On February 9, 1999, Order No. PSC-99-0220-FOF-TC was issued, which imposed a \$500 fine and required payment of the past due fees

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and fine by March 9, 1999. Mr. Robert Egozi, owner, called staff and advised that the company wanted to keep its certificate, would pay the past due amount, and would make a settlement offer. The Division of Administration's records show that the company paid the past due amount. In addition, the company proposed to pay future regulatory assessment fees by the due date of each year and offered a \$100 settlement to resolve this case.

2) Docket No. 981559-TC - Joseph Lukose - This company obtained Certificate No. 3627 on 12/21/93. The Division of Administration mailed the 1997 RAF notice by certified mail. The USPS returned the unopened envelope stamped "return to sender" and "unclaimed." The envelope also indicates that the USPS attempted delivery on December 13 and 18, 1997. The Division of Administration advised staff by memorandum that this company had not paid its 1997 RAF, plus statutory penalty and interest charges for the year 1997.

On February 10, 1999, Order No. PSC-99-0263-FOF-TC was issued, which imposed a \$500 fine and required payment of the past due fees and fine by March 10, 1999. Mr. Joseph Lukose, owner, called staff and advised that the company wanted to keep its certificate, would pay the past due amount, and would make a settlement offer. The Division of Administration's records show that the company paid the past due amount. In addition, the company proposed to pay future regulatory assessment fees by the due date of each year and offered a \$100 settlement to resolve this case.

3) Docket No. 981615-TC - Robert Michael Alley - This company obtained Certificate No. 5520 on 12/05/97. The Division of Administration mailed the 1997 RAF notice by certified mail. The USPS returned the receipt, which showed that the RAF notice was signed for and delivered on January 26, 1998. The Division of Administration advised staff by memorandum that this company had not paid its 1997 RAF, plus statutory penalty and interest charges for the year 1997.

On February 9, 1999, Order No. PSC-99-0229-FOF-TC was issued, which imposed a \$500 fine and required payment of the past due fees and fine by March 9, 1999. Mr. Robert Michael Alley, owner, called staff and advised that the company wanted to keep its certificate, would pay the past due amount, and would make a settlement offer. The Division of Administration's records show that the company paid the past due amount. In addition, the company proposed to pay future regulatory assessment fees by the due date of each year and offered a \$100 settlement to resolve this case.

Therefore, staff believes the following recommendations are appropriate.

DISCUSSION OF ISSUES

ISSUE 1: Should the Commission accept the settlement offers proposed by each company listed on page 5 to resolve the apparent violations of Rule 25-4.0161, Florida Administrative Code, Regulatory Assessment Fees; Telecommunications Companies?

RECOMMENDATION: Yes. The Commission should accept each company's settlement offer as listed on page 5. Any contribution should be paid by the companies within five business days from the effective date of the Commission Order. The Commission should forward the contributions to the Office of the Comptroller for deposit in the State General Revenue Fund pursuant to Section 364.285(1), Florida Statutes. (Isler)

STAFF ANALYSIS: Rule 25-4.0161, Florida Administrative Code, requires the payment of regulatory assessment fees by January 30 of the subsequent year for telecommunications companies, and provides for penalties and interest as outlined in Section 350.113, Florida Statutes, for any delinquent amounts.

The Division of Administration notified staff by memorandum that each company listed on page 5 had not submitted the 1997 regulatory assessment fees, along with statutory penalty and interest charges for the year 1997. Orders were issued which imposed a \$500 fine to each company and the companies were required to pay the fine and fees. Each company has since paid the past due amounts in full and made settlement offers.

Accordingly, staff believes the terms of the settlement agreements as summarized in this recommendation should be accepted. Any contribution should be paid by the companies within five business days from the effective date of the Commission Order. The Commission should forward the contributions to the Office of the Comptroller for deposit in the State General Revenue Fund pursuant to Section 364.285(1), Florida Statutes.

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ISSUE 2: Should these dockets be closed?

RECOMMENDATION: Yes, if the Commission approves staff's recommendation in Issue 1 and upon remittance of the \$100 contributions, these dockets should be closed. (McKinney; Cox; J. Miller)

STAFF ANALYSIS: If the Commission approves staff's recommendation in Issue 1, and upon remittance of the \$100 contributions, these dockets should be closed. The contributions should be forwarded to the Office of the Comptroller for deposit in the State General Revenue Fund pursuant to Chapter 364.285(1), Florida Statutes.