## REQUEST TO ESTABLISH DOCKET (PLEASE TYPE)

Date August 16, 1999

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Docket No. 991130-TC

1. Division Name/Staff Name<u>Communications/Isler</u>

2. OPR <u>Communications/Isler</u>

3. OCR Legal Services/K. Pena; C. Bedell

4. Suggested Docket Title <u>Cancellation by Florida Public Service Commission of PATS Certificate No. 5042</u> <u>Issued to Richard C. Herschler d/b/a Futures Trading Group, for Violation of Rules 25-4.0161, F.A.C., Regulatory</u> <u>Assessment Fees; Telecommunications Companies and 25-24.520, F.A.C., Reporting Requirements.</u>

5. Suggested Docket Mailing List (attach separate sheet if necessary)

A. Provide NAMES ONLY for regulated companies or ACRONYMS ONLY regulated industries, as shown in Rule 25-22.104, F.A.C.

B. Provide COMPLETE name and address for all others. (Match representatives to clients.)

1. Parties and their representatives (if any)

Richard C. Herschler

2. Interested Persons and their representatives (if any)

6. Check one:

\_\_XX\_ Documentation is attached.

\_\_\_\_\_ Documentation will be provided with recommendation.

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PSC/RAR 10 (Revised 01/96)

DOCUMENT NUMBER-DATE 09802 AUG 18 S FPSC-RECORDS/REPORTING

# Report of Delinquent Regulatory Assessment Fees

### As of 08/13/1999

TF938: Futures Trading Group 23224 Fullerton Avenue Port Charlotte, FL 33980-1920

Liaison: Richard C. Herschler, Partner, (941) 255-3255

Certificates: 5042, Status is active,

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Dates: Effective Date 01/07/1997 Inactive Date / /

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RAF Owed: \$ Unknown 01/01/1998 through 12/31/1998 \$ Unknown 01/01/1997 through 12/31/1997

\* Rept. Reg. - RAR returned mail \* '98 RAF notice let. \* PATS memo ret.

RAF 99 CMPNY CODE	COMPANY REG NAME DATE	MACTIVE	RAF PERIOD ENDING		REVENUE REPORT.	REGULATORY Assessment FEE	RAF PAYMENT & COLL FRE PAYMENT AROUNT	RAF PAYMENT DISCREP.	COLL AGENCY FEE	RAF MO EXTENSIONS RECEIVED	DEPORT NUMBER	PENALTY DUE 5%30 DAYS 25% MAX	PENALTY	NTEREST DUE 1%30 DAYS	INTEREST LETT	iqint Ter
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2540 Shumard Oak Boulevard Tallahassee, Florida 32399-0850



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State of Florida -M-E-M-O-R-A-N-D-U-M-



# Public Service Commission

DATE:	July 29, 1999	
TO:	All Pay Telephone Providers	
FROM:	Walter D'Haeseleer, Director, Division of Telecommunications	the
RE:	Proposed Fine Increase	

Chairman Joe Garcia announced at the Agenda Conference yesterday that the proposed fines for apparent violations of the pay telephone rules are going to increase. Accordingly, staff will be recommending to the Commission a proposed fine of \$1,000 per repeat infraction of the rules.

Commission staff has been conducting random service evaluations of pay telephones across the state and has been sending letters notifying the providers of the apparent violations. Staff has then been reevaluating the pay telephones and if the same apparent violation was found, a show cause docket was opened. In the past, the recommended fines have been low; however, as a pay telephone service provider, you are required to be aware of, and comply with, the rule requirements.

If you receive a notice from staff of apparent violations, please be sure you understand what the apparent violation is and respond to staff within the time frame indicated in the letter. In your response, please indicate what was done to correct the problem, or if you could not duplicate the problem or disagree with the findings of staff, please so indicate on the return form. Additionally, you are always welcome to call us at anytime for clarification.

Failure to correct violations or to respond to staff will result in a recommendation by Commission staff to fine or cancel your certificate.

#### July 28, 1999

TO: Rick Moses, Division of Communications

FROM: Nonnye Grant, Division of Records and Reporting  $\mathcal{H}\mathcal{H}$ 

RE: Returned Mail

We have received returned mail from the United States Post Office on

the following Company: 4th REQUEST

FUTURES TRADING GROUP (RICHARD C, HERSCHLER d/b/a) - TF938

The Post Office returned the mail on the above Company stating the following reason(s):

Addressee Unknown Attempted - Not Known Box Closed - No Order Forwarding Order Expired Insufficient Address Moved, Left No Forwarding Address No Forward Order on File No Mail Receptacle/Vacant No Such Person or Number No Such Office in State No Such Street Not at this Address	RECEIVED JUL 30 1999 CMU
 Refused Return to Sender Return for Better Address	
 Unable to Forward Unable to Locate Unclaimed	
 Undeliverable as Addressed Vacant Verification of Address furnished by	y Post Office

Please furnish us with a current address, both for <u>mail and location</u>. Also, we need to have <u>new phone</u> and <u>fax numbers</u> since they have moved. Should you not be able to get a current address, can staff initiate proceedings to have the Company's certificate canceled?

Copy of returned envelope attached for your information.

/nbg Attachment(s)

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TAHA: S Florida Public Service Commission 2540 Shumard Oak Blvd. Tallahassee, FL 32399-0870 ≇0,55 APR 1 6 99 IT METER 13112769 NOT DE MED Stand of Frances 1000 31 Futures Trading Group Richard C. Nerschler dba) 23224 Fullerton Avenue Port Charlotte FL 33980-1920 4th Reg

#### February 25, 1999

TO: Rick Moses, Division of Communications

FROM: Nonnye Grant, Division of Records and Reporting

RE: Returned Mail

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We have received returned mail from the United States Post Office on

the following Company: \_ 3rd REQUEST

FUTURES TRADING GROUP (RICHARD C, HERSCHLER D/B/A) - (TF938)

The Post Office returned the mail on the above Company stating the following reason(s):

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	Such Office in State
	Such Street
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Please furnish us with a current address, both for <u>mail and location</u>. Also, we need to have <u>new phone</u> and <u>fax numbers</u> since they have moved. Should you not be able to get a current address, can staff initiate proceedings to have the Company's certificate canceled?

Copy of returned envelope attached for your information.

/nbg
Attachment(s)

≥ Commission Ĵ-0870 TURNED TO (Bichard C. Herschlerdba) SENDER REASON CHECKED UNCLAIMED \_ Futures Trading Group REFUSED 23224 Fullerton Avenue ATTEMPTED NOT KNOWN Port Charlotte FL 33980-1920 ANSUMENCE AT ADDRESS HO SUCH STREET. NUMBER NO UNIT NUMBER \_ TF938 -OKWARD ORDER EXPIRED -VACANT NO MAIL BOX . 12 OUTE NO \_\_\_\_\_CARRIER

12t Reg 10-1-98 2NP Reg 12-23.98 3nd Reg 2-25-99

#### December 23, 1998

TO: Rick Moses, Division of Communications FROM: Nonnye Grant, Division of Records and Reporting

We have received returned mail from the United States Post Office on

the following Company: 2nd REQUEST

FUTURES TRADING GROUP (RICHARD C. HERSCHLER D/B/A) - (TF938)

The Post Office returned the mail on the above Company stating the following reason(s):

Addressee Unknown Attempted - Not Known Box Closed - No Order XXX Forwarding Order Expired Insufficient Address Moved, Left No Forwarding Address No Forward Order on File No Mail Receptacle/Vacant No Such Person or Number No Such Office in State No Such Street Not at this Address		R E C
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Please furnish us with a current address, both for <u>mail and location</u>. Also, we need to have <u>new phone</u> and <u>fax numbers</u> since they have moved. Should you not be able to get a current address, can staff initiate proceedings to have the Company's certificate canceled?

Copy of returned envelope attached for your information.

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TF 938

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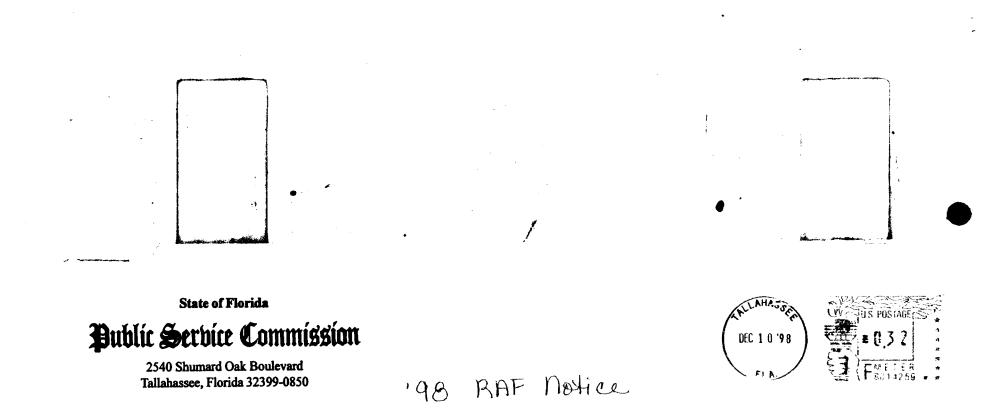
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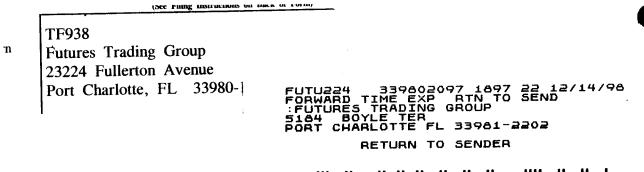
Futures Trading Group (Richard C. Huschler dba) 23224 Fullerton Avenue Port Charlotte FL 33980-1920

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RETURN TO SENDER HendlesselledlesHendlesslesslessellessellessless

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#### October 1, 1998

TO: Rick Moses, Division of Communications

FROM: Nonnye Grant, Division of Records and Reporting

RE: Returned Mail

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We have received returned mail from the United States Post Office on

the following Company:

FUTURES TRADING GROUP (RICHARD C, HERSCHLER d/b/a) - (TF938

The Post Office returned the mail on the above Company stating the following reason(s):

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·	Refused
	Return to Sender Return for Better Address Unable to Forward Unable to Locate Unclaimed Undeliverable as Addressed Vacant
XXX	Verification of Address furnished by Post Office

Please furnish us with a current address, both for <u>mail and location</u>. Also, we need to have <u>new phone</u> and <u>fax numbers</u> since they have moved. Should you not be able to get a current address, can staff initiate proceedings to have the Company's certificate canceled?

Copy of returned envelope attached for your information.

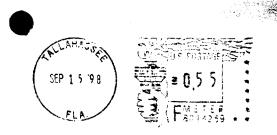
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Florida Public Service Commission 2540 Shumard Da% Blvd. Tallahassee, FL 32399-0870

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TE938 Futures Trading Group (Richard C. Herschler aba 23224 Fullerton Avenue Port Charlotte FL 33980-1920

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