## \*\*FLORIDA PUBLIC SERVICE COMMISSION\*\*

# DIVISION OF TELECOMMUNICATIONS BUREAU OF CERTIFICATION AND SERVICE EVALUATION

## APPLICATION FORM

for

# AUTHORITY TO PROVIDE ALTERNATIVE LOCAL EXCHANGE SERVICE WITHIN THE STATE OF FLORIDA

020342-TX

#### **Instructions**

- This form is used as an application for an original certificate and for approval of the assignment or transfer of an existing certificate. In the case of an assignment or transfer, the information provided shall be for the assignee or transferee (See Appendix A).
- Print or type all responses to each item requested in the application and appendices. If an item is not applicable, please explain why.
- Use a separate sheet for each answer which will not fit the allotted space.
- Once completed, submit the original and six (6) copies of this form along with a non-refundable application fee of \$250.00 to:

Florida Public Service Commission Division of Records and Reporting 2540 Shumard Oak Blvd.
Tallahassee, FL 32399-0850 (850)413-6770

◆ If you have questions about completing the form, contact:

Florida Public Service Commission Division of Telecommunications Bureau of Certification and Service Evaluation 2540 Shumard Oak Blvd. Tallahassee, FL 32399-0850 (850)413-6600

DOCUMENT NUMPER-DATE

This is an application for $\checkmark$ (check one):		
(X)	Original certificate (new company).	
( )	Approval of transfer of existing certificate: Example, a non-certificated company purchases an existing company and desires to retain the original certificate of authority.	
( )	Approval of assignment of existing certificate: Example, a certificated company purchases an existing company and desires to retain the certificate of authority of that company.	
( )	<b>Approval of transfer of control:</b> Example, a company purchases 51% of a certificated company. The Commission must approve the new controlling entity.	
Name	of company:	
<u>OCM</u>	C, Inc.	
Name	under which the applicant will be business (fictitious name, etc.):	
One C	all Communications, Inc. and Opticom	
Official code):	al mailing address (including street name & number, post office box, city, state, zip	
<u>801 C</u>	Congressional Blvd.	
<u>Carm</u>	el, IN 46032	
Florida	a address (including street name & number, post office box, city, state, zip code):	
	OCMC, Inc.	
	c/o CT Corporation System	
	1200 South Pine Island Road	
	Plantation Florida 3324	

6.	Structure of organization			
	( ) Individual ( ) Corporation			
	(X) Foreign Corporation ( ) Foreign Partnership			
	( ) General Partnership ( ) Limited Partnership ( ) Other			
7.	If individual, provide:			
	Name:			
	Title:			
	Address:			
	City/State/Zip:			
	Telephone No.: Fax No.:			
	Internet E-Mail Address:			
	Internet Website Address:			
8.	If incorporated in Florida, provide proof of authority to operate in Florida:			
	(a) The Florida Secretary of State corporate registration number:			
9.	If foreign corporation, provide proof of authority to operate in Florida:			
	(a) The Florida Secretary of State corporate registration number:			
	F02000001175			
10.	If using fictitious name-d/b/a, provide proof of compliance with fictitious name star (Chapter 865.09, FS) to operate in Florida:			
	(a) The Florida Secretary of State fictitious name registration number:			

	artnership, provide name, title and address of all partners and a copy of the par ment.
Name	e:
Title:	
Addr	ess:
	State/Zip:
Telep	phone No.: Fax No.:
Interr	net E-Mail Address:
Interr	net Website Address:
	foreign limited partnership, provide proof of compliance with the foreign ership statute (Chapter 620.169, FS), if applicable.
(a)	The Florida registration number:
Provi	de <u>F.E.I. Number</u> (if applicable): <u>26 000 5926</u>
Indicate been:	ate if any of the officers, directors, or any of the ten largest stockholders have pr
	ljudged bankrupt, mentally incompetent, or found guilty of any felony or of an ether such actions may result from pending proceedings. Provide explanation
	No

	No
Who	will serve as liaison to the Commission with regard to the following?
(a)	The application:
Nam	e: Norman H. Horton, Jr.
Title	: Attorney
Firm	Name: Messer, Caparello & Self, P.A.
Add	ress: P.O. Box 1876
City	State/Zip: Tallahassee, Florida, 32302-1876
Tele	phone No.: 850-222-0720 Fax No.: 850-224-4359
Inter	net E-Mail Address: nhorton@lawfla.com
Inter	net Website Address: lawfla.com
(b)	Official point of contact for the ongoing operations of the company:
Nam	e: Ann C. Bernard
Title	: General Counsel
Add	ress: 801 Congressional Blvd.
	State/Zip: <u>Carmel, IN 46032</u>
Гele	phone No.: 317-580-7276 Fax No.: 317-580-7471
•	net E-Mail Address: abernard@onecall.net

Title: <u>Customer Service Manager</u>				
Address: 801 Congressional Blvd.	Address: 801 Congressional Blvd.         City/State/Zip: Carmel, IN 46032         Telephone No.: 317-843-1300       Fax No.: 800-732-9622			
City/State/Zip: Carmel, IN 46032				
Telephone No.: <u>317-843-1300</u> Fax No.				
Internet E-Mail Address: <u>mmiller@onecall.ent</u>				
Internet Website Address: none				
17. List the states in which the applicant:	List the states in which the applicant:			
(a) has operated as an alternative local exchange of	company.			
None				
(b) has applications pending to be certificated as an	alternative local exchange company.			
Connecticut, North Carolina and Indiana	Connecticut, North Carolina and Indiana			
(c) is certificated to operate as an alternative local	is certificated to operate as an alternative local exchange company.			
None				
(d) has been denied authority to operate as an alter the circumstances involved.	rnative local exchange company and			
None				

(e) has had regulatory penalties imposed for violations of telecommunications statutes and the circumstances involved.

(f)	has been involved in civil court proceedings with an interexchange carriers, loc exchange company or other telecommunications entity, and the circumstance involved.
	None

## 18. Submit the following:

A. Financial capability.

The application <u>should contain</u> the applicant's audited financial statements for the most recent 3 years. If the applicant does not have audited financial statements, it shall so be stated.

The unaudited financial statements should be signed by the applicant's chief executive officer and chief financial officer affirming that the financial statements are true and correctand should include:

- 1. the balance sheet;
- 2. income statement; and
- 3. statement of retained earnings.

**NOTE:** This documentation may include, but is not limited to, financial statements, a projected profit and loss statement, credit references, credit bureau reports, and descriptions of business relationships with financial institutions.

Further, the following (which includes supporting documentation) should be provided:

- 1. **written explanation** that the applicant has sufficient financial capability to provide the requested service in the geographic area proposed to be served.
- 2. <u>written explanation</u> that the applicant has sufficient financial capability to maintain the requested service.
- 3. <u>written explanation</u> that the applicant has sufficient financial capability to meet its lease or ownership obligations.

- B. Managerial capability: give resumes of employees/officers of the company that would indicate sufficient managerial experiences of each.
- C. Technical capability: give resumes of employees/officers of the company that would indicate sufficient technical experiences or indicate what company has been contracted to conduct technical maintenance.

See attached.

## THIS PAGE MUST BE COMPLETED AND SIGNED

### APPLICANT ACKNOWLEDGMENT STATEMENT

- 1. **REGULATORY ASSESSMENT FEE:** I understand that all telephone companies must pay a regulatory assessment fee in the amount of .15 of one percent of gross operating revenue derived from intrastate business. Regardless of the gross operating revenue of a company, a minimum annual assessment fee of \$50 is required.
- 2. GROSS RECEIPTS TAX: I understand that all telephone companies must pay a gross receipts tax of two and one-half percent on all intra and interstate business.
- 3. **SALES TAX:** I understand that a seven percent sales tax must be paid on intra and interstate revenues.
- **4. APPLICATION FEE:** I understand that a non-refundable application fee of \$250.00 must be submitted with the application.

UTILITY OFFICIAL: Ann C. Bernard	
Print Name	Signature and Bernard
General Coursel Title	Date 3-15-02
317-580-7276 Telephone No. Fax No.	317580-747/
Address: 801 Congress	eonal Blod

Carmel, Sn 46032

## THIS PAGE MUST BE COMPLETED AND SIGNED

#### **AFFIDAVIT**

By my signature below, I, the undersigned officer, attest to the accuracy of the information contained in this application and attached documents and that the applicant has the technical expertise, managerial ability, and financial capability to provide alternative local exchange company service in the State of Florida. I have read the foregoing and declare that, to the best of my knowledge and belief, the information is true and correct. I attest that I have the authority to sign on behalf of my company and agree to comply, now and in the future, with all applicable Commission rules and orders.

Further, I am aware that, pursuant to Chapter 837.06, Florida Statutes, "Whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree, punishable as provided in s. 775.082 and s. 775.083."

**UTILITY OFFICIAL:** 

Telephone No.

Signature Upon CoBernard

317-580-7471

Address: 801 Congressional Blud Carmel Dn 4603

## INTRASTATE NETWORK (if available)

Chapter 25-24.825 (5), Florida Administrative Code, requires the company to make available to staff the alternative local exchange service areas only upon request.

POP: Addresses where located, and indicate if owned or leased.			
1)	·		
3)			
SWITCHES: Add or leased.	ess where located, by type of switch, and indicate if owne		
1)	2)		
3)			
TRANSMISSION (microwave, fiber,	FACILITIES: POP-to-POP facilities by type of facilitie copper, satellite, etc.) and indicate if owned or leased.		
POP-to-POP	<u>OWNERSHIP</u>		
1)	<del></del>		
2)	<del></del>		
3)			
4)			

## CERTIFICATE SALE, TRANSFER, OR ASSIGNMENT STATEMENT

I, (Ti	(Name) itle)	of (Name of Company)	
an	nd current holder of Florida Public Servic , have reviewed this app	e Commission Certificate Number # lication and join in the petitioner's request for a:	
(	) sale		
(	) transfer	~	
(	) assignment		
of	the above-mentioned certificate.		
<u>U</u>	TILITY OFFICIAL:	-	
Pi	rint Name	Signature	
Ti	tle	Date	
Te	elephone No.	Fax No.	
A	ddress:		

OCMC, Inc. d/b/a Opticom is led by a management team with extensive experience in the telecommunications industry in all areas of management and operations. As a group, the Directors and Officers of OCMC, Inc. have over 70 combined years of experience in the telecommunications industry in sales and marketing, engineering, management, financial and other areas.

The network technicians and systems specialists hold a multitude of degrees and certificates and are required to attend and pass numerous industry related courses, seminars and training classes specializing in the technical and engineering specifications on the standards of the industry architecture for the public switched phone network. In addition to switching and networking telephony curriculum, technicians and systems specialists attend courses and seminars pertaining to all forms and aspects of telecommunications, such as voice, data and video communications; voice, data and video processing; computer telephony programming and software interfacing; ordering and billing forums; and new, improved and upgraded technology introductions and deployments.

To complement the capable management and technical teams, the company has a proven financial ability as reflected on the enclosed financial statement. The company has sufficient managerial, technical and financial capabilities to provide services and meet the financial obligations of the company.



Department of State

I certify from the records of this office that OCMC, INC., is a corporation organized under the laws of Indiana, authorized to transact business in the State of Florida, qualified on March 6, 2002.

The document number of this corporation is F02000001175.

I further certify that said corporation has paid all fees due this office through December 31, 2002, and its status is active.

I further certify that said corporation has not filed a Certificate of Withdrawal.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capitol, this the Eleventh day of April, 2002

CR2EO22 (1-99)

Katherine Harris Katherine Harris

Secretary of State

## OCMC, Inc.

## **President & CEO**

Joseph A. Pence 801 Congressional Blvd. Carmel, IN 46032 317-843-1300

## **Treasurer & CFO**

Mark A. Speth 801 Congressional Blvd. Carmel, IN 46032 317-843-1300

## Secretary

Larry S. Wechter 801 Congressional Blvd. Carmel, IN 46032 317-843-1300

## **Assistant Secretary**

Ann C. Bernard 801 Congressional Blvd. Carmel, IN 46032 317-843-1300

## **Directors**

Ramon L. Humke Kevin E. Sheehan Joseph A. Pence Larry S. Wechter

(All Directors located at the address below) 801 Congressional Blvd. Carmel, IN 46032 317-843-1300

## OCMC, INC., d/b/a OPTICOM DIRECTORS AND OFFICERS

JOSEPH A. PENCE, PRESIDENT & CEO, Mr. Pence has specialized in the telecommunications industry for over twenty years. During Mr. Pence's employment with ALLTEL-of Hudson, Ohio, he held various positions including Sales Manager, Traffic and Network Engineer and General Manager. Thereafter, Mr. Pence accepted the responsibility of General Manager of an alternative long distance company in Detroit, Michigan. Mr. Pence joined One Call Communications in 1986. Mr. Pence has held various positions since his employment with One Call Communications including Regional Manager, Vice President, Executive Vice President and currently holds the responsibility of President & CEO, all of which provide One Call's management, departments and divisions with the knowledge and direction essential for success of the company.

BRAD A. BENGE, EXECUTIVE VICE PRESIDENT, Mr. Benge's telecommunications career began in 1982 with Allnet as a Marketing Representative. Other positions held at Allnet were Senior Account Representative, Sales Manager and Branch Manager. Before his tenure at One Call Communications, Mr. Benge was also employed by MCI as a National Account Sales Representative and with Compucom as National Sales of Billing and Network Design. In 1989, Mr. Benge was hired by One Call Communications as the Director of Retail Sales. He has held various positions with the company including Assistant Vice President of Sales and Marketing, Vice President of Sales and Marketing, Senior Vice President and is currently Executive Vice President. He also serves as a Board Member of the American Public Communications Council (APCC).

MARK A. SPETH, TREASURER & CHIEF FINANCIAL OFFICER, Mr. Speth is a 1981 graduate from Brigham Young University with a BS in Micro Biology and a minor in Business. In 1996 Mr. Speth received his MBA from the University of Indianapolis; he was top scholar and graduated with honors. Mr. Speth has eight years of experience in the Telecom industry and an additional eighteen years in Accounting and Information Systems.

ANN C. BERNARD, GENERAL COUNSEL & ASSISTANT SECRETARY, Ms. Bernard holds a Juris Doctorate from Indiana University (1987) and a Bachelors of Arts (cum laude) in History and Political Science from Butler University (1984). Ms. Bernard was admitted to practice before the United States Supreme Court, the 7<sup>th</sup> Circuit Court of Appeals, the Northern and Southern Districts of Indiana and the Indiana Supreme Court. Ms. Bernard has over six years' experience in the Telecom industry.

ROUNDELL L. HARRIS, JR., VICE PRESIDENT OF NETWORK OPERATIONS, Mr. Harris attended Ball State University from 1978 to 1979. He then attended Indiana Vocational Technical College and completed their two-year digital electronics course. Mr. Harris began his employment with One Call in August of 1986. Mr. Harris is certified on the Harris 20/20 switch, as well as DSC (now Alcatel switch) and the DEX switch. He has also attended schools on Sonet, ATM, access ordering and provisioning. Mr. Harris is in charge of all network, switches and facilities for One Call and for supervising the employees that work in these areas.

JOSEPH L. DURKEE, VICE PRESIDENT OF INFORMATION SERVICES, Mr. Durkee is a 1987 graduate of Indiana State University with a major in Electronics and Computer Technology. From 1987 to 1990 Mr. Durkee worked for the United Student Aid Funds and was responsible for developing and maintaining 'C' programs which processed student loan applications. Mr. Durkee has over twelve years' experience in the telecommunications industry. He was first employed with One Call Communications in 1990 as a Programmer and Analyst. Mr. Durkee then proceeded to a Project Manager for the operator services division. Mr. Durkee is presently Vice President of Information Services and is responsible for maintaining a staff of qualified professionals to create and maintain systems to bill and outclear long distance telephone calls.