

FLORIDA PUBLIC SERVICE COMMISSION
AUDIT DOCUMENT/RECORD REQUEST
NOTICE OF INTENT

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TO: Maritza Iacono

UTILITY: Progress Energy Florida

Carl Vinson
AUDIT MANAGER

FROM: Carl Vinson

REQUEST NUMBER: DR-4

DATE OF REQUEST: 05/08/08 Due: 05/19/08

AUDIT PURPOSE: Nuclear Controls Review

REQUEST THE FOLLOWING ITEM(S) BE PROVIDED BY: Maritza Iacono

REFERENCE RULE 25-22.006, F.A.C., THIS REQUEST IS MADE: INCIDENT TO AN INQUIRY

OUTSIDE OF AN INQUIRY

ITEM DESCRIPTION:

1. Please describe how Duncan Companies was selected to represent Progress in site acquisition. (supplied by Garry Miller)
2. Please indicate the amount paid to Duncan for its services, and provide a description of the services provided. (supplied by Garry Miller)
3. Please provide copies of the following procedures: NUA-NGGC-1501, NUA-NGGC-1510, NUA-NGGC-1511, NUA-NGGC-1530, NUA-NGGC-1534, NGGM-PM-0007, CAP-NGGC-0205 and CAP-NGGC-0206 (supplied 5/12/2008 by Jan Schroeder)
4. Please provide the cover letter to the 2008 Internal Audit of the CR3 Uprate (12/28/07). (R. Phillips)
5. Please provide copies of Progress Internal Audits performed on other Uprates or Progress nuclear units over the period 2005 to date. (supplied by CR3 NAS)
6. Please provide MCP-NGGC-001 (supplied 5/1/08 by Terry Hobbs during Interview meetings).
7. Please provide NCR's 259604, 259604 and 259608 (supplied 5/1/08 by Steve Huntington during Interview meetings).
8. Please provide "Open Action Plans due in 45 days or Past due" dated Feb. 19, 2008. (4 pages containing internal audit issues, etc.) (supplied 5/1/08 by Steve Huntington during Interview meetings).
9. Please provide a current copy of Steve Huntington's organization chart. (provided by Cairn Pugh 05/12/2008)
10. Please provide an organization chart of the Progress Audit Services department and an organization chart of PES group. (supplied by Phyllis/Dixon)
11. Please provide a copy of the INPO Standards (Performance Objectives & Criteria) (provided 5/12/2008 by Terry Hobbs).
12. Please provide a copy of the Sargent & Lundy cooling tower study. (supplied by Jan Schroeder)
13. Please provide a list of assessment planned for 2008 by PES. (supplied by Phyllis Dixon)
14. Please provide copies of AR 258644 (COLA) and AR 258658 (Contract Admin) (supplied by Jan Schroeder).
15. Please provide copies of 2007 and 2008 QA evaluations of CR3Uprate activities on processes (Wendy Woltz possibly)
16. Please provide a scope description for the planned 3rd quarter 2008 CR3 audit (R. Phillips).
17. Please provide the INPO uprate lessons learned document that lists and describes problems encountered and solutions employed at nuclear units across the U.S. during uprate activities. (provided 5/12/2008 by Terry Hobbs)

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Maritza

**FLORIDA PUBLIC SERVICE COMMISSION
AUDIT DOCUMENT/RECORD REQUEST
NOTICE OF INTENT**

TO: Maritza Iacono

UTILITY: Progress Energy Florida

Carl Vinson
AUDIT MANAGER

FROM: Carl Vinson

REQUEST NUMBER: DR-4

DATE OF REQUEST: Followup

AUDIT PURPOSE: Nuclear Controls Review

REQUEST THE FOLLOWING ITEM(S) BE PROVIDED BY: Maritza Iacono

REFERENCE RULE 25-22.006, F.A.C., THIS REQUEST IS MADE: INCIDENT TO AN INQUIRY

OUTSIDE OF AN INQUIRY

ITEM DESCRIPTION:

2. Please indicate the amount paid to Duncan for its services, and provide a description of the services provided.

•Please describe (paragraph or two) Duncan's activities, services provided, processes used in carrying out their work.

5. Please provide copies of Progress Internal Audits performed on other uprates or Progress nuclear units over the period 2005 to date.

•This was intended to obtain audits of Harris and Robinson uprates in North Carolina that I saw referenced in one of the documents previously provided. (Currently can't recall which one.)

9. Please provide a current copy of Steve Huntington's organization chart.

•Chart provided here is the same one initially provided. Steve seemed to have a new/revised one at our interview meeting.

10. Please provide an organization chart of the Progress Audit Services department and an organization chart of PES group. (Phillips/Dixon)

•I intended to also ask for the NAS organization chart here.

•I also would like to have a teleconference with a representative(s) from the PES group. Should be one hour or less. Selection can be made by you, simply need someone to describe their function, planned role in CR3 uprate and/or Levy Units, plus recent relevant work and the planned work listed in Item 13 response.

13. Please provide a list of assessment planned for 2008 by PES. (Phyllis Dixon)

•I intended to also ask for list of NAS group planned assessments for 2008.

•See note above in Item 10 about teleconferencing.

14. Please provide copies of 258644 (COLA) and 258658 (Contract Admin) when completed. (Dixon)

•Just need a little clarification about these projects' scopes from either Steve Barkofski or Phyllis Dixon. Should be 10-15 minute phone call.

*Maritza - this is not a new D.O.C., just jotted down my questions under each item. We can discuss any questions you may have. Thanks
Carl*

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