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CLASS "C"

WATER AND/OR WASTEWATER UTILITIES

(Gross Revenue of Less Than \$200,000 Each)

ANNUAL REPORT

WU871-16-AR

Alturas Utilities LLC

Exact Legal Name of Respondent

WU 871 628 - W

Certificate Number(s)

Submitted To The

STATE OF FLORIDA



PUBLIC SERVICE COMMISSION

FOR THE

YEAR ENDED DECEMBER 31, 2016

FINANCIAL SECTION

REPORT OF

Alturas Utilities LLC

(EXACT NAME OF UTILITY)

| | | |
|---|---------------------------------|----------------|
| P.O. Box 2608 Eaton Park Mailing Address | Florida 33840 Street Address | Polk County |
|---|---------------------------------|----------------|

Telephone Number 863 510 1318 Date Utility First Organized 11/30/ 2003

Fax Number yourwaterutility@gmail.com E-mail Address _____

Sunshine State One-Call of Florida, Inc. Member No. SU 2155

Check the business entity of the utility as filed with the Internal Revenue Service:

- Individual
 Sub Chapter S Corporation
 1120 Corporation
 Partnership

Name, Address and Phone where records are located: Stuart Sheldon
20 West Tropical Way Ft Lauderdale, FL. 33317

Name of subdivisions where services are provided: _____

CONTACTS

| Name | Title | Principal Business Address | Salary Charged Utility |
|--|--------------------------------|---|--|
| Person to send correspondence: <u>L. Szabo</u> | <u>Administration</u> | <u>20 W Tropical Way Ft Lauderdale FL 33317</u> | |
| Person who prepared this report: <u>L. szabo-Stuart Sheldon</u> | <u>President</u> | | |
| Officers and Managers: <u>Manager</u> <u>William Scott</u> | <u>Plant Operation</u> | <u>PO Box 2608 Eaton Park Florida 33840</u> | \$ _____ \$ _____ \$ _____ \$ _____ |

Report every corporation or person owning or holding directly or indirectly 5 percent or more of the voting securities of the reporting utility:

| Name | Percent Ownership in Utility | Principal Business Address | Salary Charged Utility |
|---------------------|------------------------------|--|--|
| <u>Leslie Szabo</u> | <u>95 %</u> | <u>P.o Box 2608 Eaton Park Florida 33840</u> | \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

UTILITY NAME: Alturas Utilities LLC

| |
|-------------------------------------|
| YEAR OF REPORT DECEMBER 31, 2016 |
|-------------------------------------|

INCOME STATEMENT

| Account Name | Ref. Page | Water | Wastewater | Other | Total Company |
|---|------------|--------------|------------|----------|---------------|
| Gross Revenue: | | | | | |
| Residential _____ | | \$ 29,420 | \$ _____ | \$ _____ | \$ 29,420 |
| Commercial _____ | | _____ | _____ | _____ | _____ |
| Industrial _____ | | _____ | _____ | _____ | _____ |
| Multiple Family _____ | | _____ | _____ | _____ | _____ |
| Guaranteed Revenues _____ | | _____ | _____ | _____ | _____ |
| Other (Specify) _____ | | _____ | _____ | _____ | _____ |
| Total Gross Revenue _____ | | \$ 29,420 | \$ _____ | \$ _____ | \$ 29,420 |
| Operation Expense (Must tie to pages W-3 and S-3) | W-3 S-3 | \$ 34,250 | \$ _____ | \$ _____ | \$ 34,250 |
| Depreciation Expense _____ | F-5 | 2,242 | _____ | _____ | 2,242 |
| CIAC Amortization Expense _____ | F-8 | _____ | _____ | _____ | _____ |
| Taxes Other Than Income _____ | F-7 | 2,270 | _____ | _____ | 2,270 |
| Income Taxes _____ | F-7 | _____ | _____ | _____ | _____ |
| Total Operating Expense | | \$ 38,762 | _____ | _____ | \$ 38,762 |
| Net Operating Income (Loss) | | \$ (9,342) | \$ _____ | \$ _____ | \$ (9,342) |
| Other Income: | | | | | |
| Nonutility Income _____ | | \$ _____ | \$ _____ | \$ _____ | \$ _____ |
| _____ | | _____ | _____ | _____ | _____ |
| _____ | | _____ | _____ | _____ | _____ |
| Other Deductions: | | | | | |
| Miscellaneous Nonutility Expenses _____ | | \$ _____ | \$ _____ | \$ _____ | \$ _____ |
| Interest Expense _____ | | _____ | _____ | _____ | _____ |
| _____ | | _____ | _____ | _____ | _____ |
| _____ | | _____ | _____ | _____ | _____ |
| Net Income (Loss) | | \$ (9,342) | \$ _____ | \$ _____ | \$ (9,342) |

UTILITY NAME: Alturas Utilities LLC

REVISED

YEAR OF REPORT
DECEMBER 31, 2016

COMPARATIVE BALANCE SHEET

| ACCOUNT NAME | Reference Page | Current Year | Previous Year |
|--|----------------|--------------|---------------|
| Assets: | | | |
| Utility Plant in Service (101-105) _____ | F-5,W-1,S-1 | \$ 64,910 | \$ 62,532 |
| Accumulated Depreciation and Amortization (108) _____ | F-5,W-2,S-2 | 36,742 | 34,230 |
| Net Utility Plant _____ | | \$ 28,168 | \$ 28,302 |
| Cash _____ | | 702 | 618 |
| Customer Accounts Receivable (141) _____ | | | |
| Other Assets (Specify): _____ | | | |
| _____ | | | |
| _____ | | | |
| Total Assets _____ | | \$ 28,870 | \$ 28,920 |
| Liabilities and Capital: | | | |
| Common Stock Issued (201) _____ | F-6 | | |
| Preferred Stock Issued (204) _____ | F-6 | | |
| Other Paid in Capital (211) _____ | | 50,163 | 41,231 |
| Retained Earnings (215) _____ | F-6 | (87,668) | (78,326) |
| Proprietary Capital (Proprietary and Partnership only) (218) _____ | F-6 | | |
| Total Capital _____ | | \$ (37,505) | \$ (37,095) |
| Long Term Debt (224) _____ | F-6 | \$ 1,700 | \$ 3,160 |
| Accounts Payable (231) _____ | | 3,900 | 2,100 |
| Notes Payable (232) _____ | | | |
| Customer Deposits (235) _____ | | | |
| Accrued Taxes (236) _____ | | | |
| Other Liabilities (Specify) <u>Parent</u> _____ | | 60,775 | 60,775 |
| _____ | | | |
| _____ | | | |
| Advances for Construction _____ | | | |
| Contributions in Aid of Construction - Net (271-272) _____ | F-8 | | |
| Total Liabilities and Capital _____ | | \$ 28,870 | \$ 28,920 |

REVISED

UTILITY NAME: ALTURAS UTILITIES LLC

YEAR OF REPORT
DECEMBER 31, 2016

GROSS UTILITY PLANT

| Plant Accounts: (101 - 107) inclusive | Water | Wastewater | Plant other than Reporting Systems | Total |
|---|------------------|------------|------------------------------------|------------------|
| Utility Plant in Service (101) | \$ <u>64,910</u> | \$ _____ | \$ _____ | \$ <u>64,910</u> |
| Construction Work in Progress (105) _____ | _____ | _____ | _____ | _____ |
| Other (Specify) _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| Total Utility Plant _____ | \$ <u>64,910</u> | \$ _____ | \$ _____ | \$ <u>64,910</u> |

ACCUMULATED DEPRECIATION (A/D) AND AMORTIZATION OF UTILITY PLANT

| Account 108 | Water | Wastewater | Other than Reporting Systems | Total |
|--|------------------|------------|------------------------------|------------------|
| Balance First of Year _____ | \$ <u>34,230</u> | \$ _____ | \$ _____ | \$ <u>34,230</u> |
| <u>Add Credits During Year:</u> | | | | |
| Accruals charged to depreciation account _____ | \$ <u>2,512</u> | \$ _____ | \$ _____ | \$ <u>2,512</u> |
| Salvage _____ | _____ | _____ | _____ | _____ |
| Other Credits (specify) _____ | _____ | _____ | _____ | _____ |
| Total Credits _____ | \$ <u>36,742</u> | \$ _____ | \$ _____ | \$ <u>36,742</u> |
| <u>Deduct Debits During Year:</u> | | | | |
| Book cost of plant retired _____ | \$ _____ | \$ _____ | \$ _____ | \$ _____ |
| Cost of removal _____ | _____ | _____ | _____ | _____ |
| Other debits (specify) _____ | _____ | _____ | _____ | _____ |
| Total Debits _____ | \$ _____ | \$ _____ | \$ _____ | \$ _____ |
| Balance End of Year _____ | \$ <u>36,742</u> | \$ _____ | \$ _____ | \$ <u>36,742</u> |

REVISED

UTILITY NAME: Altura Utilities LLC

| |
|-------------------------------------|
| YEAR OF REPORT DECEMBER 31, 2016 |
|-------------------------------------|

CAPITAL STOCK (201 - 204)

| | Common Stock | Preferred Stock |
|---|--------------|-----------------|
| Par or stated value per share _____ | _____ | _____ |
| Shares authorized _____ | _____ | _____ |
| Shares issued and outstanding _____ | _____ | _____ |
| Total par value of stock issued _____ | _____ | _____ |
| Dividends declared per share for year _____ | _____ | _____ |

RETAINED EARNINGS (215)

| | Appropriated | Un-Appropriated |
|---|--------------|-----------------|
| Balance first of year _____ | \$ 78,376 | \$ _____ |
| Changes during the year (Specify): _____ | 9,342 | _____ |
| _____ | _____ | _____ |
| Balance end of year _____ | \$ (87,668) | \$ _____ |

PROPRIETARY CAPITAL (218)

| | Proprietor Or Partner | Partner |
|---|-----------------------|----------|
| Balance first of year _____ | \$ _____ | \$ _____ |
| Changes during the year (Specify): _____ | _____ | _____ |
| _____ | _____ | _____ |
| Balance end of year _____ | \$ _____ | \$ _____ |

LONG TERM DEBT (224)

| Description of Obligation (Including Date of Issue and Date of Maturity): | Interest | | Principal per Balance Sheet Date |
|---|----------|------------|----------------------------------|
| | Rate | # of Pymts | |
| _____ | _____ | _____ | \$ _____ |
| _____ | _____ | _____ | _____ |
| Total _____ | _____ | _____ | \$ _____ |

REVISED

UTILITY NAME: ACTURAS UTILITIES LLC

YEAR OF REPORT
DECEMBER 31, 2016

TAX EXPENSE

| (a) | Water (b) | Wastewater (c) | Other (d) | Total (e) |
|---------------------------------|--------------|-------------------|--------------|--------------|
| Income Taxes: | | | | |
| Federal income tax _____ | \$ _____ | \$ _____ | \$ _____ | \$ _____ |
| State income Tax _____ | _____ | _____ | _____ | _____ |
| Taxes Other Than Income: | | | | |
| State ad valorem tax _____ | _____ | _____ | _____ | _____ |
| Local property tax _____ | 946 | _____ | _____ | _____ |
| Regulatory assessment fee _____ | 1,324 | _____ | _____ | _____ |
| Other (Specify) _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| Total Tax Expense _____ | \$ 2,270 | \$ _____ | \$ _____ | \$ _____ |

PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES

Report all information concerning outside rate, management, construction, advertising, labor relations, public relations, or other similiar professional services rendered the respondent for which aggregate payments during the year to any corporation, partnership, individual, or organization of any kind whatever amounting to \$500 or more.

| Name of Recipient | Water Amount | Wastewater Amount | Description of Service |
|-------------------|-----------------|----------------------|------------------------|
| _____ | \$ _____ | \$ _____ | _____ |
| _____ | \$ _____ | \$ _____ | _____ |
| CATHY PARKER | 1,350 | _____ | MANAGER |
| _____ | \$ _____ | \$ _____ | _____ |
| DEBBIE VALL | 4,038 | _____ | MANAGER |
| _____ | \$ _____ | \$ _____ | _____ |
| _____ | \$ _____ | \$ _____ | _____ |
| _____ | \$ _____ | \$ _____ | _____ |
| _____ | \$ _____ | \$ _____ | _____ |
| _____ | \$ _____ | \$ _____ | _____ |
| _____ | \$ _____ | \$ _____ | _____ |

**WATER
OPERATING
SECTION**

UTILITY NAME: Alturas Utilities LLC

| |
|-------------------------------------|
| YEAR OF REPORT DECEMBER 31, 2016 |
|-------------------------------------|

WATER UTILITY PLANT ACCOUNTS

| Acct. No. (a) | Account Name (b) | Previous Year (c) | Additions (d) | Retirements (e) | Current Year (f) |
|---------------|---|-------------------|---------------|-----------------|------------------|
| 301 | Organization | \$ 2,621 | \$ | \$ | \$ 2,621 |
| 302 | Franchises | | | | |
| 303 | Land and Land Rights | 500 | | | 500 |
| 304 | Structures and Improvements | | | | |
| 305 | Collecting and Impounding Reservoirs | | | | |
| 306 | Lake, River and Other Intakes | | | | |
| 307 | Wells and Springs | 6,987 | | | 7,327 |
| 308 | Infiltration Galleries and Tunnels | | | | |
| 309 | Supply Mains | | | | |
| 310 | Power Generation Equipment | 650 | | | 658 |
| 311 | Pumping Equipment | 8,422 | | | 8,814 |
| 320 | Water Treatment Equipment | 940 | | | 950 |
| 330 | Distribution Reservoirs and Standpipes | 16,265 | | | 16,742 |
| 331 | Transmission and Distribution Lines | 18,637 | | | 19,449 |
| 333 | Services | | | | |
| 334 | Meters and Meter Installations | 866 | | | 876 |
| 335 | Hydrants | 6,644 | | | 6,973 |
| 336 | Backflow Prevention Devices | | | | |
| 339 | Other Plant and Miscellaneous Equipment | | | | |
| 340 | Office Furniture and Equipment | | | | |
| 341 | Transportation Equipment | | | | |
| 342 | Stores Equipment | | | | |
| 343 | Tools, Shop and Garage Equipment | | | | |
| 344 | Laboratory Equipment | | | | |
| 345 | Power Operated Equipment | | | | |
| 346 | Communication Equipment | | | | |
| 347 | Miscellaneous Equipment | | | | |
| 348 | Other Tangible Plant | | | | |
| | Total Water Plant | \$ 62,532 | \$ | \$ | \$ 64,910 |

REVISED

UTILITY NAME: ACTURAS UTILITIES LLC

YEAR OF REPORT
DECEMBER 31, 2016

ANALYSIS OF ACCUMULATED DEPRECIATION BY PRIMARY ACCOUNT - WATER

| Acct. No. (a) | Account (b) | Average Service Life in Years (c) | Average Salvage in Percent (d) | Depr. Rate Applied (e) | Accumulated Depreciation Balance Previous Year (f) | Debits (g) | Credits (h) | Accum. Depr. Balance End of Year (f-g+h=i) (i) |
|---------------|---|-----------------------------------|--------------------------------|------------------------|--|------------|-------------|--|
| 304 | Structures and Improvements | | % | % | \$ | \$ | | \$ |
| 305 | Collecting and Impounding Reservoirs | | % | % | | | | |
| 306 | Lake, River and Other Intakes | | % | % | | | | |
| 307 | Wells and Springs | | 3.70 | | 6,987 | | | 7,869 |
| 308 | Infiltration Galleries & Tunnels | | % | % | | | | |
| 309 | Supply Mains | | % | % | | | | |
| 310 | Power Generating Equipment | | 6.67 | | 1,796 | | | 1,951 |
| 311 | Pumping Equipment | | 5.88 | | 220 | | | 225 |
| 320 | Water Treatment Equipment | | | | 18,637 | | | 20,214 |
| 330 | Distribution Reservoirs & Standpipes | | 3.03 | | 4,545 | | | 4,761 |
| 331 | Trans. & Dist. Mains | | % | % | | | | |
| 333 | Services | | % | % | | | | |
| 334 | Meter & Meter Installations | | 5.88 | | 2,045 | | | 2,222 |
| 335 | Hydrants | | % | % | | | | |
| 336 | Backflow Prevention Devices | | % | % | | | | |
| 339 | Other Plant and Miscellaneous Equipment | | % | % | | | | |
| 340 | Office Furniture and Equipment | | % | % | | | | |
| 341 | Transportation Equipment | | % | % | | | | |
| 342 | Stores Equipment | | % | % | | | | |
| 343 | Tools, Shop and Garage Equipment | | % | % | | | | |
| 344 | Laboratory Equipment | | % | % | | | | |
| 345 | Power Operated Equipment | | % | % | | | | |
| 346 | Communication Equipment | | % | % | | | | |
| 347 | Miscellaneous Equipment | | % | % | | | | |
| 348 | Other Tangible Plant | | % | % | | | | |
| | Totals | | | | \$ 24,230 | \$ | \$ | \$ 36,742 * |

* This amount should tie to Sheet F-5.

UTILITY NAME: Altura Utilities LLC

YEAR OF REPORT
DECEMBER 31, 2016

WATER OPERATION AND MAINTENANCE EXPENSE

| Acct. No. | Account Name | Amount |
|-----------|---|------------|
| 601 | Salaries and Wages - Employees | \$ 5,383 |
| 603 | Salaries and Wages - Officers, Directors, and Majority Stockholders | 2,250 |
| 604 | Employee Pensions and Benefits | |
| 610 | Purchased Water | |
| 615 | Purchased Power | 1,783 |
| 616 | Fuel for Power Production | 96 |
| 618 | Chemicals | 1,164 |
| 620 | Materials and Supplies | 864 |
| 630 | Contractual Services: | |
| | Billing and Collection | 1,870 |
| | Professional and Legal | 2,416 |
| | Testing and Compliance | 7,798 |
| | Other and Repairs | 5,630 |
| 640 | Rents and Office Expenses | 2,063 |
| 650 | Transportation Expense | 375 |
| 655 | Insurance Expense | 1,760 |
| 665 | Regulatory Commission Expenses (Amortized Rate Case Expense) | |
| 670 | Bad Debt Expense | 288 |
| 675 | Miscellaneous Expenses | 510 |
| | Total Water Operation And Maintenance Expense | \$34,250 * |

* This amount should tie to Sheet F-3.

WATER CUSTOMERS

| Description (a) | Type of Meter ** (b) | Equivalent Factor (c) | Number of Active Customers | | Total Number of Meter Equivalents (c x e) (f) |
|---------------------|-------------------------|--------------------------|----------------------------|--------------------|---|
| | | | Start of Year (d) | End of Year (e) | |
| Residential Service | | | | | |
| 5/8" | D | 1.0 | 55 | 55 | 55 |
| 3/4" | D | 1.5 | | | |
| 1" | D | 2.5 | | | |
| 1 1/2" | D,T | 5.0 | | | |
| General Service | | | | | |
| 5/8" | D | 1.0 | 9 | 9 | 9 |
| 3/4" | D | 1.5 | | | |
| 1" | D | 2.5 | | | |
| 1 1/2" | D,T | 5.0 | 1 | 1 | 1 |
| 2" | D,C,T | 8.0 | | | |
| 3" | D | 15.0 | | | |
| 3" | C | 16.0 | | | |
| 3" | T | 17.5 | | | |
| Unmetered Customers | | | | | |
| Other (Specify) | | | | | |
| Total | | | 65 | 65 | 65 |

** D = Displacement
C = Compound
T = Turbine

UTILITY NAME: Alturas Utilities LLC
 SYSTEM NAME: _____

| |
|-------------------------------------|
| YEAR OF REPORT DECEMBER 31, 2016 |
|-------------------------------------|

PUMPING AND PURCHASED WATER STATISTICS

| (a) | Water Purchased For Resale (Omit 000's) (b) | Finished Water From Wells (Omit 000's) (c) | Recorded Accounted For Loss Through Line Flushing Etc. (Omit 000's) (d) | Total Water Pumped And Purchased (Omit 000's) [(b)+(c)-(d)] (e) | Water Sold To Customers (Omit 000's) (f) |
|----------------------|---|--|---|---|--|
| January _____ | _____ | 418.4 | _____ | 418.4 | 320.4 |
| February _____ | _____ | 411.6 | _____ | 411.6 | 291.3 |
| March _____ | _____ | 428.6 | _____ | 428.6 | 292.4 |
| April _____ | _____ | 432.3 | _____ | 432.3 | 278.6 |
| May _____ | _____ | 421.7 | _____ | 412.7 | 310.3 |
| June _____ | _____ | 405.5 | _____ | 405.5 | 316.2 |
| July _____ | _____ | 410.6 | _____ | 410.6 | 272.6 |
| August _____ | _____ | 470.2 | _____ | 470.2 | 230.3 |
| September _____ | _____ | _____ | _____ | _____ | _____ |
| October _____ | _____ | 401.8 | _____ | 401.8 | 280.7 |
| November _____ | _____ | 407.3 | _____ | 407.3 | 274.5 |
| December _____ | _____ | 380.6 | _____ | 380.6 | 274.5 |
| Total for Year _____ | _____ | 5,536.2 | _____ | 5,536.2 | 3,631.2 |

If water is purchased for resale, indicate the following:

Vendor _____
 Point of delivery _____

If water is sold to other water utilities for redistribution, list names of such utilities below:

MAINS (FEET)

| Kind of Pipe (PVC, Cast Iron, Coated Steel, etc.) | Diameter of Pipe | First of Year | Added | Removed or Abandoned | End of Year |
|--|------------------|---------------|-------|----------------------|-------------|
| PVC | 4 inches | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ |

UTILITY NAME: Alturas Utilities LLC

| |
|-------------------------------------|
| YEAR OF REPORT DECEMBER 31, 2016 |
|-------------------------------------|

SYSTEM NAME: _____

WELLS AND WELL PUMPS

| (a) | (b) | (c) | (d) | (e) |
|--|-----------|-------|-------|-------|
| Year Constructed _____ | _____ | _____ | _____ | _____ |
| Types of Well Construction and Casing _____ | Steel | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| Depth of Wells _____ | 550 | _____ | _____ | _____ |
| Diameters of Wells _____ | 6 | _____ | _____ | _____ |
| Pump - GPM _____ | 350 | _____ | _____ | _____ |
| Motor - HP _____ | 15 | _____ | _____ | _____ |
| Motor Type * _____ | Subm. | _____ | _____ | _____ |
| Yields of Wells in GPD _____ | _____ | _____ | _____ | _____ |
| Auxiliary Power _____ | Generator | _____ | _____ | _____ |
| * Submersible, centrifugal, etc. | | | | |

RESERVOIRS

| (a) | (b) | (c) | (d) | (e) |
|-------------------------------|--------|-------|-------|-------|
| Description (steel, concrete) | Steel | _____ | _____ | _____ |
| Capacity of Tank _____ | 3,000 | _____ | _____ | _____ |
| Ground or Elevated _____ | Ground | _____ | _____ | _____ |

HIGH SERVICE PUMPING

| (a) | (b) | (c) | (d) | (e) |
|---|-------|-------|-------|-------|
| Motors | | | | |
| Manufacturer _____ | _____ | _____ | _____ | _____ |
| Type _____ | _____ | _____ | _____ | _____ |
| Rated Horsepower _____ | _____ | _____ | _____ | _____ |
| Pumps | | | | |
| Manufacturer _____ | _____ | _____ | _____ | _____ |
| Type _____ | _____ | _____ | _____ | _____ |
| Capacity in GPM _____ | _____ | _____ | _____ | _____ |
| Average Number of Hours Operated Per Day _____ | _____ | _____ | _____ | _____ |
| Auxiliary Power _____ | _____ | _____ | _____ | _____ |

UTILITY NAME: Alturas Utilities LLC

YEAR OF REPORT
DECEMBER 31, 2016

SOURCE OF SUPPLY

| | | | |
|--|----------------|-------|-------|
| List for each source of supply (Ground, Surface, Purchased Water etc.) | | | |
| Permitted Gals. per day_ _ _ | <u>648,000</u> | _____ | _____ |
| Type of Source_ _ _ _ _ | <u>Ground</u> | _____ | _____ |

WATER TREATMENT FACILITIES

| | | | |
|---|-------|-------|-------|
| List for each Water Treatment Facility: | | | |
| Type_ _ _ _ _ | _____ | _____ | _____ |
| Make_ _ _ _ _ | _____ | _____ | _____ |
| Permitted Capacity (GPD)_ _ | _____ | _____ | _____ |
| High service pumping | _____ | _____ | _____ |
| Gallons per minute_ _ _ _ | _____ | _____ | _____ |
| Reverse Osmosis_ _ _ _ _ | _____ | _____ | _____ |
| Lime Treatment | _____ | _____ | _____ |
| Unit Rating_ _ _ _ _ | _____ | _____ | _____ |
| Filtration | _____ | _____ | _____ |
| Pressure Sq. Ft._ _ _ _ _ | _____ | _____ | _____ |
| Gravity GPD/Sq.Ft._ _ _ _ | _____ | _____ | _____ |
| Disinfection | _____ | _____ | _____ |
| Chlorinator_ _ _ _ _ | _____ | _____ | _____ |
| Ozone_ _ _ _ _ | _____ | _____ | _____ |
| Other_ _ _ _ _ | _____ | _____ | _____ |
| Auxiliary Power_ _ _ _ _ | _____ | _____ | _____ |

UTILITY NAME: Alturas Utilities LLC

YEAR OF REPORT
DECEMBER 31, 2016

SYSTEM NAME: _____

GENERAL WATER SYSTEM INFORMATION

Furnish information below for each system. A separate page should be supplied where necessary.

1. Present ERC's * the system can efficiently serve. 46
2. Maximum number of ERCs * which can be served. 72
3. Present system connection capacity (in ERCs *) using existing lines. 66
4. Future connection capacity (in ERCs *) upon service area buildout. 75
5. Estimated annual increase in ERCs *. None
6. Is the utility required to have fire flow capacity? NO
If so, how much capacity is required? _____
7. Attach a description of the fire fighting facilities. Alturas Fire Station
8. Describe any plans and estimated completion dates for any enlargements or improvements of this system.

9. When did the company last file a capacity analysis report with the DEP? _____
10. If the present system does not meet the requirements of DEP rules, submit the following:
 - a. Attach a description of the plant upgrade necessary to meet the DEP rules.
 - b. Have these plans been approved by DEP? _____
 - c. When will construction begin? _____
 - d. Attach plans for funding the required upgrading.
 - e. Is this system under any Consent Order with DEP? Yes
11. Department of Environmental Protection ID # _____
12. Water Management District Consumptive Use Permit # _____
 - a. Is the system in compliance with the requirements of the CUP? _____
 - b. If not, what are the utility's plans to gain compliance? _____

* An ERC is determined based on one of the following methods:
(a) If actual flow data are available from the preceding 12 months:
Divide the total annual single family residence (SFR) gallons sold by the average number of SFR customers for the same period and divide the result by 365 days.
(b) If no historical flow data are available use:
ERC = (Total SFR gallons sold (omit 000)/365 days/350 gallons per day).

UTILITY NAME: Alturas Utilities LLC

YEAR OF REPORT
DECEMBER 31, 2016

CERTIFICATION OF ANNUAL REPORT

I HEREBY CERTIFY, to the best of my knowledge and belief:

- | | | | |
|--|--------------------------------|----|--|
| YES <input checked="" type="checkbox"/> | NO <input type="checkbox"/> | 1. | The utility is in substantial compliance with the Uniform System of Accounts prescribed by the Florida Public Service Commission in Rule 25-30.115 (1), Florida Administrative Code. |
| YES <input checked="" type="checkbox"/> | NO <input type="checkbox"/> | 2. | The utility is in substantial compliance with all applicable rules and orders of the Florida Public Service Commission. |
| YES <input checked="" type="checkbox"/> | NO <input type="checkbox"/> | 3. | There have been no communications from regulatory agencies concerning noncompliance with, or deficiencies in, financial reporting practices that could have a material effect on the financial statement of the utility. |
| YES <input checked="" type="checkbox"/> | NO <input type="checkbox"/> | 4. | The annual report fairly represents the financial condition and results of operations of the respondent for the period presented and other information and statements presented in the report as to the business affairs of the respondent are true, correct, and complete for the period for which it represents. |

Items Certified

1. 2. 3. 4.

Stuart Felder
(signature of Chief Executive Officer of the utility)

Date: March 13 2016

1. 2. 3. 4.

(signature of Chief Financial Officer of the utility)

Date: _____

* Each of the four items must be certified YES or NO. Each item need not be certified by both officers. The items being certified by the officer should be indicated in the appropriate area to the left of the signature.

Notice: Section 837.06, Florida Statutes, provides that any person who knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his duty shall be guilty of a misdemeanor of the second degree.

**Reconciliation of Revenue to
Regulatory Assessment Fee Revenue**

Water Operations

Class C

Company: Alturas Utilities LLC

For the Year Ended December 31, 2016

| (a) | (b) | (c) | (d) |
|--|-----------------------------------|-------------------------------------|----------------------|
| Accounts | Gross Water Revenues Per Sch. F-3 | Gross Water Revenues Per RAF Return | Difference (b) - (c) |
| Gross Revenue: | | | |
| Residential | \$ <u>29,420</u> | \$ _____ | \$ _____ |
| Commercial | _____ | _____ | _____ |
| Industrial | _____ | _____ | _____ |
| Multiple Family | _____ | _____ | _____ |
| Guaranteed Revenues | _____ | _____ | _____ |
| Other | _____ | _____ | _____ |
| Total Water Operating Revenue | \$ 29,420 | \$ _____ | \$ _____ |
| LESS: Expense for Purchased Water from FPSC-Regulated Utility | _____ | _____ | _____ |
| Net Water Operating Revenues | \$ 29,420 | \$ _____ | \$ _____ |

Explanations:

Instructions:

For the current year, reconcile the gross water revenues reported on Schedule F-3 with the gross water revenues reported on the company's regulatory assessment fee return. Explain any differences reported in column (d).