

BEFORE THE FLORIDA PUBLIC SERVICE COMMISSION

IN RE: Amendment of Rule 25-30.430) DOCKET NO. 371140-WS
 through .442, regarding Minimum)
 Filing Requirements for rate increase) ORDER NO. 23041
 applications of Class A and B and C)
water and sewer utilities.) ISSUED: 6-7-90

NOTICE OF ADOPTION OF NEW RULE, RULE
AMENDMENTS, AND REPEAL OF RULES

NOTICE is hereby given that the Commission, pursuant to Section 120.54, Florida Statutes, has adopted new Rule 25-30.443, F.A.C., relating to minimum filing requirements for Class C water and sewer utilities, the amendment of Rule 25-30.442, F.A.C., relating to duplicate information, and the repeal of Rules 25-30.435 and 25-30.439, F.A.C., relating to application for rate increase, and rate information required in application for rate increase, respectively, without change. The Commission has also adopted the amendments to Rules 25-30.430, 25-30.436, 25-30.437, and 25-30.440, F.A.C., relating to 1) test year approval, 2) general information required of Class A and B utilities in an application for rate increase, 3) financial, rate, and engineering information required of Class A and B utilities, and 4) additional engineering information required of Class A and B utilities, respectively, with changes.

These rules were filed with the Secretary of State on June 5, 1990, and will be effective on June 25, 1990. A copy of the relevant portions of the certification filed with the Secretary of State is attached to this Notice. Copies of Forms PSC/WAS 17 and PSC/WAS 18, which were incorporated by reference into Rules 25-30.437 and 25-30.443, respectively, may be obtained by contacting the Division of Water and Sewer at 101 East Gaines Street, Tallahassee, Florida 32399-0873.

This docket is closed upon issuance of this notice.

By Direction of the Florida Public Service Commission,
 this 7th day of JUNE, 1990.

 STEVE TRIBBLE, Director
 Division of Records & Reporting

(S E A L)
 WJB
 4170G

by: Kay Hizon
 Chief, Bureau of Records

DOCUMENT NUMBER-DATE

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CERTIFICATION OF
PUBLIC SERVICE COMMISSION ADMINISTRATIVE RULES
FILED WITH THE
DEPARTMENT OF STATE

I do hereby certify:

(1) The time limitations prescribed by paragraph 120.54(11)(a), F.S., have been complied with; and

(2) There is no administrative determination under section 120.54(4), F.S., pending on any rule covered by this certification; and

(3) All rules covered by this certification are filed within the prescribed time limitations of paragraph 120.54(11)(b), F.S. They are filed not less than 28 days after the notice required by subsection 120.54(1), F.S., and;

(a) And are filed not more than 90 days after the notice; or

(b) Are filed not more than 90 days after the notice not including days an administrative determination was pending; or

(c) Are filed within 21 days after the adjournment of the final public hearing on the rule; or

(d) Are filed within 21 days after the date of receipt of all material authorized to be submitted at the hearing; or

(e) Are filed within 21 days after the date the transcript was received by this agency.

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Attached are the original and two copies of each rule covered by this certification. The rules are hereby adopted by the undersigned agency by and upon their filing with the Department of State.

<u>Rule No.</u>	<u>Specific Rulemaking Authority</u>	<u>Law Being Implemented, Interpreted or Made Specific</u>
25-30.430	367.121, F.S.	367.081, 350.01(5), F.S.
25-30.435	367.121, F.S.	367.081, F.S.
25-30.436	367.121, F.S.	367.081, F.S.
25-30.437	367.121, F.S.	367.081, F.S.
25-30.439	367.121, F.S.	367.081, F.S.
25-30.440	367.121, F.S.	367.081, F.S.
25-30.442	367.121, F.S.	367.081, F.S.
25-30.443	367.121, F.S.	367.081, F.S.

Under the provision of paragraph 120.54(12)(a), F.S., the rules take effect 20 days from the date filed with the Department of State or a later date as set out below:

Effective: _____
 (month) (day) (year)

Kay Flynn for
 Steve Tribble

Director, Division of Records & Reporting
 Title

 Number of Pages Certified

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Rules 25-30.430, 25-30.435,
25-30.436, 25-30.437,
25-30.439, 25-30.440,
25-30.442, and 25-30.443, F.A.C.
Docket No. 871140-WS

SUMMARY OF RULE

The minimum filing requirements are a series of schedules that require information on a utility's accounting and engineering costs, rate structures and billing practices for a test year. The MFRs provide the information necessary to allow the Commission to investigate a utility's request for a rate change. The provisions of Rule 25-30.435, F.A.C., are superseded by the proposed new rule and the existing text is unnecessary. The provisions of Rule 25-30.439, F.A.C., provide a written description of the various financial schedules required. In lieu of this written description, the proposed rule adopts financial forms to be completed by the applicant. Based on the adoption of these forms, Rule 25-30.439 is repealed.

SUMMARY OF HEARINGS ON THE RULE

After the Commission proposed rulemaking in this docket, the Florida Water Works Association (FWA) filed comments and a request for hearing. FWA sought numerous changes to the proposed rules. Prior to the rule hearing conducted by the Division of Appeals, FWA and staff had extensive discussions regarding the impact of the rules. As a result of these discussions, FWA withdrew or modified many of its requested changes.

During the hearing held on January 16, 1990, there was some

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confusion resulting from the fact that the Commission's notice of rulemaking published in the Florida Administrative Weekly (FAW) differed somewhat from the Commission's order noticing rulemaking (No. 22125). Careful review of the order and the FAW notice indicates that both versions of the rules are essentially the same and that the minor differences are in formatting of subsections and paragraphs or are insignificant.

At agenda conference held on May 15, 1990, the Commission approved certain changes to Rules 25-30.430, 25-30.436, 25-30.437, and 25-30.440, F.A.C., and to Forms PSC/WAS 17 and PSC/WAS 18, which are incorporated by reference. These changes are shown in the enclosed statement of changes.

FACTS AND CIRCUMSTANCES JUSTIFYING THE RULE

Regulated utilities must receive approval from the Public Service Commission to change rates. To evaluate the utility's operations requires analysis of the utility's financial condition and the quality of service. To expedite this process, the Commission requires data called minimum filing requirements (MFRs). This is the information necessary to evaluate a rate increase application.

The MFRs for the water and sewer industry have not been materially modified since 1981. Currently, discovery methods such as interrogatories and audit requests are relied upon to supplement the existing MFRs. The revised MFRs are updated to include all necessary information concerning the utility's

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operation.

The new MFRs incorporate forms by reference. The use of forms standardizes the presentation of the data and streamlines the rules.

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CERTIFICATION OF INCORPORATION
BY REFERENCE

I do hereby certify:

(1) That Form PSC/WAS 17 (/) is incorporated by reference into Rule 25-30.437, F.A.C.

(2) That Form PSC/WAS 17 (/) consists of minimum filing requirements for Class A and B water and sewer utilities in applications for rate increase.

STEVE TRIBBLE
Director of Records and Reporting

No. Pages Certified

WJB
4170G

by: Kay Heizer
Chief, Bureau of Records

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CERTIFICATION OF INCORPORATION
BY REFERENCE

I do hereby certify:

(1) That Form PSC/WAS 18 (/) is incorporated by reference into Rule 25-30.443(2), F.A.C.

(2) That Form PSC/WAS 18 (/) consists of minimum filing requirements for Class C water and sewer utilities in applications for rate increase.

STEVE TRIBBLE

Director of Records and Reporting

by: Kay Heizer
Chief, Bureau of Records

No. Pages Certified

1 25-30.430 Test Year Approval.

2 (1) Prior to the filing of an application for a general rate
3 increase a utility shall submit to the Commission a written
4 request for approval of a test year, supported by a statement of
5 reasons and justifications showing that the requested test year is
6 representative of utility operations. The Commission Chairman
7 will then approve or disapprove the request within 30 days from
8 the receipt of the request. In disapproving the requested test
9 year, the Chairman may suggest another test year. Within 30 days
10 of the Chairman's approval or disapproval of a test year, upon
11 request of any interested person the full Commission may review
12 the Chairman's ~~approval of a~~ test year decision.

13 (2) Each applicant for test year approval shall submit the
14 following information in its written request to the Chairman:

15 (a) A statement explaining why the requested test year is
16 representative of the utility's current operations.

17 (b) A general statement of major plant expansions or changes
18 in operational methods which:

19 1. Have occurred in the most recent 18 months or since
20 the last test year, whichever is less;

21 2. Will occur during the requested test year.

22 (c) A general statement of all known estimated pro forma
23 adjustments which will be made to the requested test year amounts.

24 (d) If a projected test year is requested, provide an
25 explanation as to why the projected period is more representative

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1 of the utility's operations than a historical period.

2 (3) In the test year approval letter the Commission Chairman
3 may advise whether or not prepared testimony in support of the
4 utility's application will be required to be filed as part of the
5 minimum filing requirements.

6 (a) Prepared testimony will be required, as part of the
7 minimum filing requirements, for all cases anticipated to require
8 a formal hearing, rather than a proposed agency action process.

9 (b) Where prepared testimony is not required to be filed as
10 part of the minimum filing requirements, it may be required by the
11 Commission or the Commission Chairman during a rate case
12 proceeding.

13 Specific Authority: 367.121, F.S.

14 Law Implemented: 367.081, 350.01(5), F.S.

15 History: New 6/10/75, Amended 6/13/79, 3/26/81, 9/27/83,

16 Transferred from 25-10.175 and Amended 11/9/86, _____.

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1 25-30.435 Application for Rate Increase.

2 (1) Upon approval of a test year, a utility seeking a rate
3 increase shall file an application and fifteen copies of that
4 application with the Director of Records and Reporting.

5 (a) A Class D utility seeking a rate increase shall submit an
6 application which contains only the information required by Rules
7 25-30.436(1)-(5); 25-30.437(1)-(6), (8), (9), (11)-(14), (17),
8 (18); 25-30.438; 25-30.439(1)-(3); 25-30.440(1)-(4); and
9 25-30.441.

10 (2) All other utilities seeking rate increases shall submit
11 applications which contain the information required by Rules
12 25-30.436, 25-30.437, 25-30.438, 25-30.430, 25-30.440 and
13 25-30.441, F.A.C.

14 Specific Authority: 367.121, F.S.

15 Law Implemented: 367.081, F.S.

16 History: New 11/9/86, Repealed _____.

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1 25-30.436 General Information and Instructions Required of
2 Class A and B Water and Sewer Utilities in an Application for Rate
3 Increase.

4 (1) Each applicant for a rate increase shall provide the
5 following general information to the Commission:

6 (a) ~~(1)~~ The name of the applicant as it appears on the
7 applicant's certificate and the address of the applicant's
8 principal place of business;

9 (b) ~~(2)~~ The type of business organization under which the
10 applicant's operations are conducted; ~~the date on which the~~
11 ~~applicant became licensed to do business in Florida;~~ if the
12 applicant is a corporation, the date of incorporation; the names
13 and addresses of all persons who own 5% or more of the applicant's
14 stock or the names and addresses of the owners of the business.

15 (c) ~~(3)~~ The number of the Commission order, if any, which
16 previously considered the applicant's rates for the system(s)
17 involved.

18 ~~(4) Prepared statement to support the information contained~~
19 ~~in the application or relevant to the Commission's investigation~~
20 ~~into the applicant's operation;~~

21 (d) ~~(5)~~ The address within the service area where the
22 application is available for customer's inspection during the time
23 rate application is pending.

24 (e) Where the utility requests rates which generate less than
25 a fair rate of return, it must provide a statement of assurance

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1 that its quality of service will not suffer.

2 (f) An affidavit signed by an officer of the utility that
3 states that the utility will comply with Rule 25-22.0406, F.A.C.

4 (g) A statement as to whether the applicant requests to have
5 the case processed using the proposed agency action procedure
6 outlined in section 367.081(8), F.S. 1989.

7 (2) The applicant's petition for rate relief will not be
8 deemed filed until the appropriate filing fee has been paid and
9 all minimum filing requirements have been met, including prepared
10 testimony, where appropriate.

11 (3) The applicant shall state any known deviation from the
12 policies, procedures and guidelines prescribed by the Commission
13 in relevant rules or in the company's last rate case.

14 (4) In the rate case application:

15 (a) Each schedule shall be cross-referenced to identify
16 related schedules as either supporting schedules and/or recap
17 schedules.

18 (b) Each page of the filing shall be consecutively numbered
19 on 8 1/2 x 11 inch paper.

20 (c) Except for handwritten official company records, all data
21 in the petition, exhibits and minimum filing requirements shall be
22 typed.

23 (d) Sixteen copies are required to be filed with the
24 Commission directly with the Division of Records and Reporting,
25 except as specifically identified in Rule 25-30.437 or 25-30.440,

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1 F.A.C.

2 (e) Whenever the applicant proposes any corrections, updates
3 or other changes to the originally filed data, twenty (20) copies
4 shall be filed with the Division of Records and Reporting with
5 copies also served on all parties of record at the same time.

6 (5) Commission Designee. The Director of the Division of
7 Water and Sewer shall be the designee of the Commission for
8 purposes of determining whether the applicant has met the minimum
9 filing requirements imposed by this rule.

10 (6) Waiver of MFR Requirements. The Commission may grant a
11 waiver with respect to specific data required by this rule upon a
12 showing that the production of the data would be impractical or
13 impose an excessive economic burden upon the applicant. All
14 requests for waiver of specific portions of the minimum filing
15 requirements shall be made as early as practicable.

16 Specific Authority: 367.121, F.S.

17 Law Implemented: 367.081, F.S.

18 History: New 11/9/86, Amended _____.

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1 25-30.437 Financial, Rate and Engineering Accounting
2 Information Required of Class A and B Water and Sewer Utilities in
3 an Application for Rate Increase. Each applicant for a rate
4 increase shall provide the ~~following-accounting~~ information
5 required by Commission Form PSC/WAS 17 (/), entitled
6 "Financial, Rate and Engineering Minimum Filing Requirements -
7 Class A and B Utilities" which is incorporated into this rule by
8 reference. The form may be obtained from the Director, Division
9 of Water and Sewer, Florida Public Service Commission, 101 E.
10 Gaines Street, Tallahassee, Florida 32399-0873. In compiling the
11 required schedules, additional instructions are set forth below:
12 ~~to-the-Commission.~~

13 (1) Each section of this form shall be indexed and tabbed,
14 including a table of contents listing the page numbers of each
15 schedule. ~~A schedule showing the applicant's investment, or~~
16 ~~shareholder's equity, and the rate of return for the test year.~~

17 (2) If information requested in the form described above is
18 not applicable to the applicant, so state and provide an
19 explanation on the specific schedule. ~~A schedule showing~~
20 ~~constructed or proforma computations for the test year and a 13~~
21 ~~month average for the test year. This schedule shall include:~~

22 ~~(a) Either the original cost of plant used and useful in the~~
23 ~~public service as of the date first dedicated to public service,~~
24 ~~indicating the cost of original construction and yearly additions~~
25 ~~by dollar amount, or the original cost of plant used and useful~~

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1 ~~in the public service as previously approved by the Commission,~~
2 ~~and yearly additions by dollar amount.~~

3 **(b)** ~~The total amount of money invested by the applicant,~~
4 ~~equity or debt, in plant used and useful.~~

5 **(c)** ~~Construction work in progress, separately identifying~~
6 ~~that amount on which interest is being charged during~~
7 ~~construction.~~

8 **(d)** ~~Customer advances for construction currently outstanding.~~

9 **(e)** ~~Total contributions in aid of construction, separately~~
10 ~~identifying the monthly balance for the test year of tap fees,~~
11 ~~connection fees, main extension charges, contributions in aid of~~
12 ~~construction, and customer advances for construction.~~

13 **(f)** ~~Accumulated depreciation.~~

14 **(g)** ~~Allowance for working capital.~~

15 **(3)** If a projected test year is used, provide a complete set
16 of the Commission Form PSC/WAS 17 (/), entitled "Financial, Rate
17 and Engineering Minimum Filing Requirements - Class A and B
18 Utilities". (as described above) which require a designation of
19 historical or projected information. Such schedules shall be
20 submitted for the historical base year, and any year subsequent to
21 the base year and prior to the projected test year, in addition to
22 the projected year. If no designation is shown on a schedule,
23 submit that schedule for the test year only. If a historical test
24 year is used, Schedule E-14 will not be required. A schedule
25 should also be included which describes in detail all methods and

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1 bases of projection, explaining the justification for each method
 2 or basis employed. A statement regarding the applicant's
 3 treatment plant and distribution and collection lines; The
 4 statement shall detail how and when they were acquired; the
 5 method used by the applicant to establish the amount of
 6 investment in the plant and lines; and the total cost and
 7 capacities of the plant and lines;

8 (4) Only 2 copies of Schedule E-15, entitled Billing Analysis
 9 Schedules shall be filed with the application. Each copy shall be
 10 submitted in a separate binder from the other required information.

11 ~~(4) A schedule showing, by primary account, the monthly~~
 12 ~~balance in plant for the test year and the annual balance for the~~
 13 ~~previous year;~~

14 (5) If a petition for interim rates is filed, a utility shall
 15 demonstrate that it is earning outside the range of reasonableness
 16 on rate of return calculated in accordance with Chapter
 17 367.082(5), Florida Statutes. In doing such, the utility shall
 18 submit schedules of rate base, cost of capital and net operating
 19 income on an historical basis, with schedules of all adjustments
 20 thereto, consistent with Commission Form PSC/WAS 17 (/),
 21 (described above). A schedule showing, by month, the
 22 depreciation reserve balance for the test year and the annual
 23 depreciation balance for the previous year; also a statement
 24 outlining the applicant's depreciation practices and the
 25 applicant's depreciation rates; If the applicant uses a

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- 1 ~~composite-depreciation-rate; the applicant shall detail the~~
 2 ~~derivation-of-that-rate.~~
- 3 ~~(6)--A-comparative-balance-sheet-for-the-beginning-and-end-of~~
 4 ~~the-test-year.~~
- 5 ~~(7)--A-detailed-analysis-of-the-capital-surplus-and-retained~~
 6 ~~earnings-accounts-for-the-test-year.~~
- 7 ~~(8)--A-schedule-showing-current-and-requested-rates-for~~
 8 ~~service.~~
- 9 ~~(9)--A-constructed-income-statement-for-the-test-year-showing~~
 10 ~~the-effect-of-the-requested-rates-and-any-known-change-in~~
 11 ~~operating-revenue-or-expense; if applicable, the applicant shall~~
 12 ~~state-its-basis-for-allocating-any-expenses-which-are-in-common~~
 13 ~~or-shared-with-a-commonly-owned, controlled-or-operated-company.~~
- 14 ~~(10)--An-analysis, by month, of the revenues collected by~~
 15 ~~means-of-purchased-power-adjustment-or-a-fuel-adjustment-clause,~~
 16 ~~if-applicable.~~
- 17 ~~(11)--A-schedule-showing-monthly-customer-deposit-balances~~
 18 ~~for-the-test-year, indicating-the-rate-of-interest-paid-and-the~~
 19 ~~method-of-payment.~~
- 20 ~~(12)--A-schedule-of-materials-and-supplies, showing-a-13~~
 21 ~~month-average-for-the-test-year; The applicant may submit-a~~
 22 ~~transcript, if available, of the materials-and-supplies-account~~
 23 ~~for-the-time-period-set-out-in-this-paragraph.~~
- 24 ~~(13)--A-schedule-showing, by month for the test year,~~
 25 ~~outstanding-capital-segregated-into-common-stock, preferred-~~

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1 stock, capital surplus and retained earnings, and respective
 2 amounts of dividends paid or accrued.

3 (14) ~~A schedule of comparative income statements for the~~
 4 ~~test year and the year immediately preceding the test year.~~

5 (15) ~~A schedule detailing monthly expenses by primary~~
 6 ~~accounts for the test year.~~

7 (16) ~~In accordance with generally accepted accounting~~
 8 ~~principles, a statement of the application of funds, including a~~
 9 ~~statement showing the increases or decreases in working capital~~
 10 ~~for the test year.~~

11 (17) ~~A schedule of tax expenses during the test year,~~
 12 ~~segregated by taxing authority. The schedule shall include those~~
 13 ~~taxes which the applicant passes on to the consumer and those~~
 14 ~~taxes which the applicant records as operating expenses. As to~~
 15 ~~those taxes which the utility records as operating expenses, the~~
 16 ~~schedule shall detail the account number used by the applicant to~~
 17 ~~record the expense. The schedule shall also show the applicant's~~
 18 ~~tax payments and accruals, with a further category for Federal~~
 19 ~~Income Tax credits.~~

20 (18) ~~Accounting Information Required in Application for Rate~~
 21 ~~Increase by Utilities Obtaining Debt Financing from an Affiliated~~
 22 ~~Source. If the applicant obtains its debt financing from an~~
 23 ~~affiliated source, the applicant shall submit information to show~~
 24 ~~that the cost of the debt financing is no greater than the cost~~
 25 ~~of such financing from non-affiliated sources.~~

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1 Specific Authority: 367.121, F.S.
2 Law Implemented: 367.081, F.S.
3 History: New 6/10/75, Amended 10/16/77, 3/26/81, Transferred from
4 25-10.176 and Amended 11/9/86, Amended _____.
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1 25-30.439 Rate Information Required in Application for Rate
2 Increase. Each applicant for a rate increase shall provide the
3 following rate information to the Commission:

4 (1) A schedule of present and proposed rates, with a
5 calculation of the revenue at each rate in accordance with the
6 billing analysis required by subsection (4) of this rule.

7 (2) A schedule showing the funds received by the applicant
8 for the test year. In providing this schedule, the applicant
9 shall categorize miscellaneous funds into types, such as
10 reconnection fees, transfer fees, surcharge fees, connection
11 charges, premises visit charge in lieu of discontinuous charge,
12 and main extension charges.

13 (3) A schedule showing, by month and customer classification,
14 the number of customers billed or served during the test year.

15 (4) A billing analysis, rounded to the nearest 1,000 gallons
16 of consumption. In addition, each class A, B and C utility
17 applicant shall prepare its analysis with a consolidated factor at
18 every consumption level for each customer class by meter size, and
19 shall include the following information:

20 (a) For all of the applicant's service, the number of bills
21 issued at each level beginning with zero and broken down by
22 customer classification and meter service.

23 (b) For the applicant's service to multiple dwelling
24 structures which account for service through a master meter, the
25 number of bills issued at each level beginning with zero and

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1 categorized by meter size.

2 (c) For the applicant's service to multiple dwelling
3 structures which account for service through a master meter but on
4 a per unit basis, the number of bills issued at each level
5 beginning with zero and categorized by the number of units.

6 (5) A list of outstanding agreements with rates of conditions
7 different from those approved in the applicant's tariff on file
8 with the Commission.

9 (6) A schedule showing the amount of any taxes paid by the
10 applicant to any municipality or county during the test year, and
11 the method by which the applicant collects that tax from its
12 customers.

13 (7) A statement regarding whether the tax referred to in
14 subsection (6) of this rule is collected pursuant to any contract
15 or agreement with the municipality or county.

16 (8) A schedule showing the current and proposed service
17 availability charges including, but not limited to tapping fees,
18 meter installation fees, connection charges, and main extension
19 charges.

20 (9) Copies of all Guaranteed Revenue Contracts with a
21 schedule showing billings and receipts by month for each customer
22 classification.

23 (10) Class A utilities whose service classes include
24 industrial customers shall provide a fully allocated class cost of
25 service study showing customer, base (commodity), and extra

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1 capacity (demand) components under present and proposed rates.
2 This study shall include rate of return by class and load (demand)
3 research studies used in the cost allocation. An industrial
4 customer, for the purpose of this rule, is defined as being
5 engaged in industrial or processing activity in which average
6 daily water usage for the test year exceeds 350,000 gallons per
7 day.

8 Specific Authority: 367.121, F.S.

9 Law Implemented: 367.081, F.S.

10 History: New 11/9/86, Repealed _____.

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1 25-30.440 Additional Engineering Information Required of
 2 Class A and B Water and Sewer Utilities in an Application for Rate
 3 Increase. Except as provided by Rule 25-30.442, P.A.C., each
 4 Each applicant for a rate increase shall provide two copies of the
 5 following engineering information to the Commission, with the
 6 exception of item (1), of which only one copy is required.

7 ~~(1) A map identifying, by section, range, and township, the~~
 8 ~~territory which the applicant is certified to serve at the end of~~
 9 ~~the test year. The map shall include any areas to which the~~
 10 ~~applicant has extended service to or for which the applicant has~~
 11 ~~given notice of its intention to serve.~~

12 (1) (2) A detailed map showing:

13 (a) The location and size of the applicant's distribution and
 14 collection lines as well as its plant sites, and

15 (b) The location and respective classification of the
 16 applicant's customers.

17 (2) (3) A list of chemicals used for water and sewer
 18 treatment, by type, showing the dollar amount and quantity
 19 purchased, the unit prices paid and the dosage rates utilized.

20 ~~If applicable, a schedule showing by month for the test year, the~~
 21 ~~quantity of water produced, purchased, interchanged, and~~
 22 ~~delivered to the system, sold, used by the company,~~
 23 ~~interexchanged and unaccounted for by the applicant.~~

24 (3) (4) The most recent chemical analyses for each water
 25 system conducted by a certified laboratory covering the inorganic,

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1 organic turbidity, microbiological, radionuclide, secondary and
2 unregulated contaminants specified in Chapter 17-550, Florida
3 Administrative Code. ~~If applicable, a schedule showing the total~~
4 ~~volume of wastewater treated by the applicant.~~

5 (4) All water and wastewater plant operating reports for the
6 test year and the year preceding the test year.

7 (5) The most recent sanitary survey for each water plant and
8 inspection report for each wastewater plant conducted by the
9 health department or the Department of Environmental Regulation
10 (DER).

11 (6) All health department and DER construction and operating
12 permits.

13 (7) Any Notices of Violation, Consent Orders, Letters of
14 Notice, or Warning Notices from the health department or the DER
15 since the utility's last rate case or the previous five years,
16 whichever is less.

17 (8) A list of all field employees, their duties,
18 responsibilities, and certificates held, and an explanation of
19 each employees' salary allocation method to the utility's capital
20 or expense accounts.

21 (9) A list, by serial number and description, of all vehicles
22 owned or leased by the utility showing the original cost or annual
23 lease expense, who the vehicle is assigned to, and the method of
24 allocation to the utility.

25 (10) Provide a list, by customer, of all complaints received

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1 during the test year, with an explanation of how each complaint
2 was resolved.

3 Specific Authority: 367.121, F.S.

4 Law Implemented: 367.081, F.S.

5 History: New 11/9/86, Amended _____
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1 25-30.442 Duplicate Engineering Information. If the
2 applicant believes the information required is currently on file
3 with the Commission the applicant may request that it be exempted
4 from filing the information. The request should be made to the
5 Director of Water and Sewer, with a copy filed directly with the
6 Division of Records and Reporting, and should specify which
7 particular information is already on file, in what document it is
8 contained, and that it is still current, valid information.

9 ~~Records and Reporting.~~

10 Specific Authority: 367.121, F.S.

11 Law Implemented: 367.081, F.S.

12 History: New 11/9/86.
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1 25-30.443 Minimum Filing Requirements for Class C Water and
2 Sewer Utilities.

3 (1) A Class C Utility seeking a rate increase shall submit an
4 application which contains the information required by Rule
5 25-30.436; 25-30.440; 25-30.441; and 25-30.442.

6 (2) Each Class C Utility seeking a rate increase shall also
7 provide the information required by Commission Form PSC/WAS 18
8 (/), entitled "Financial, Rate and Engineering Minimum Filing
9 Requirements - Class C Utilities" which is incorporated into this
10 rule by reference. The form may be obtained from the Director,
11 Division of Water and Sewer, Florida Public Service Commission,
12 101 E. Gaines Street, Tallahassee, Florida 32399-0873. In
13 compiling the required schedules, additional instructions are set
14 forth below:

15 (a) Each section of this form shall be indexed and tabbed,
16 including a table of contents listing the page numbers of each
17 schedule.

18 (b) If information requested in the form described above is
19 not applicable to the applicant, so state and provide an
20 explanation on the specific schedule.

21 (c) If a projected test year is used, provide a complete set
22 of the Commission Form PSC/WAS 18 (/), entitled "Financial, Rate
23 and Engineering Minimum Filing Requirements - Class C Utilities"
24 (as described above) which require a designation of historical or
25 projected information. Such schedules shall be submitted for the

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1 historical base year, and any projected year subsequent to the
2 base year and prior to the projected test year, in addition to the
3 projected year. If no designation is shown on a schedule, submit
4 that schedule for the test year only. If a historical test year
5 is used, Schedule E-14 will not be required. A schedule should
6 also be included which describes in detail all methods and bases
7 of projection, explaining the justification for each method or
8 basis employed.

9 (d) Only 2 copies of Schedule E-6, entitled Billing Analysis
10 Schedules shall be filed with the application. Each copy shall be
11 submitted in a separate binder from the other required information.

12 Specific Authority: 367.121, F.S.

13 Law Implemented: 367.081, F.S.

14 History: New
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