#### \*\* FLORIDA PUBLIC SERVICE COMMISSION \*\*

#### **DIVISION OF COMMUNCATIONS** BUREAU OF SERVICE EVALUATION

#### **APPLICATION FORM**

991543-11

# **AUTHORITY TO PROVIDE** INTEREXCHANGE TELECOMMUNICATIONS SERVICE WITHIN THE STATE OF FLORIDA

#### Instructions

- A. This form is used for an original application for a certificate and for approval of sale, assignment or transfer of an existing certificate. In case of a sale, assignment or transfer, the information provided shall be for the purchaser, assignee or transferee (See Appendix A).
- Respond to each item requested in the application and appendices. If an item is not applicable, please explain why.
  - C. Use a separate sheet for each answer which will not fit the allotted space.
  - D. If you have questions about completing the form, contact:

Florida Public Service Commission **Division of Communications Bureau of Service Evaluation** 2540 Shumard Oak Blvd., Gerald Gunter Building Tallahassee, Florida 32399-0850 (850) 413-6600

E. Once completed, submit the original and six (6) copies of this form along with a non-refundable application fee of \$250.00 to:

> Florida Public Service Commission Division of Administration 2540 Shumard Oak Blvd., Gerald Gunter Building Tallahassee, Florida 32399-0850 (850) 413-6251

Check received with filing and forwarded to Fiscal for deposit. The large area a copy of check io ion. with preof of deposit.

initials of person who forwarded check:

DOCUMENT NUMBER-DATE NOON IN 1206

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FPSC-RECORDS/REPORTING

- () Facilities based carrier company owns and operates or plans to own and operate telecommunications switches and transmission facilities in Florida.
- (x) Operator Service Provider .company provides or plans to provide alternative operator services for IXCs; or toll operator services to call aggregator locations; or clearinghouse services to bill such calls.
- (x) Reseller company has or plans to have one or more switches but primarily leases the transmission facilities of other carriers. Bills its own customer base for services used.
- () Switchless Rebiller company has no switch or transmission facilities but may have a billing computer. Aggregates traffic to obtain bulk discounts from underlying carrier. Rebills end users at a rate above its discount but generally below the rate end users would pay for unaggregated traffic.
- () Multi-Location Discount Aggregator company contracts with unaffiliated entities to obtain bulk/volume discounts under multi-location discount plans from certain underlying carriers. Then offers the resold service by enrolling unaffiliated customers.
- (x) Prepaid Debit Card Provider any person or entity that purchases 800 access from an underlying carrier or unaffiliated entity for use with prepaid debit card service and/or encodes the cards with personal identification numbers.

2.	This is an application for $\square$ (check one):
	(x) Original Authority (New company).
	() Approval of Transfer (To another certificated company).
	() Approval of Assignment of existing certificate (To an uncertificated company).
	() Approval for transfer of control (To another certificated company).
3.	Name of corporation, partnership, cooperative, joint venture or sole proprietorship:
	United Technological Systems, Inc,
4.	Name under which the applicant will do business (fictitious name, etc.):
	Uni-Tel
5.	National address (including street name & number, post office box, city, state and zip code).
	8111 LBJ Freeway, Suite 1100 Dallas, Texas 75231

6.	Florida address (including street name & number, post office box, city, state and zip code):		
	None		
7.	Structure of organization; check which applies.		
	<ul> <li>( ) Individual X(x)Corporation</li> <li>( ) Foreign Corporation ( )Foreign Partnership</li> <li>( ) General Partnership ( )Limited Partnership</li> <li>( ) Other,</li> </ul>		
8.	If applicant is an individual or partnership, please give name, title and address of sole proprietor or partners.		
	(a) Provide proof of compliance with the foreign limited partnership statute (Chapter 620.169, FS), if applicable.		
	(b)Indicate if the individual or any of the partners have previously been:		
	(1)adjudged bankrupt, mentally incompetent, or found guilty of any felony or of any crime, or whether such actions may result from pending proceedings.		
	(2)officer, director, partner or stockholder in any other Florida certificated telephone company. If yes, give name of company and relationship. If no longer associated with company, give reason why not.  No Ne		

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9.	If incorporated,	niesse	onve.
	meorporacea,	breeze	

(a) Proof from the Florida Secretary of State that the applicant has authority to operate in Florida.

Corporate charter number: F9900002414

(b) Name and address of the company's Florida registered agent.

**CSC** 

Tallahassee, FL

(c) Provide proof of compliance with the fictitious name statute (Chapter 865.09 FS), if applicable.

Fictitious name registration number:

- (d) Indicate if any of the officers, directors, or any of ten largest stockholders have previously been:
  - (1) adjudged bankrupt, mentally incompetent, or found guilty of any felony or of any crime, or whether such actions may result from pending proceedings. None
  - (2) officer, director, partner or stockholder in any other Florida certificated telephone company. If yes, give name of company and relationship. If no longer associated with company, give reason why not. None

FORM PSC/CMU 31(12/96), Required by Commission Rule Nos. 25-24.471, 25-24.473, and 25-24.480(2).

- 10. Who will serve as liaison with the Commission in regard to (please give name, title, address and telephone number):
  - (a) The application;

Angell & Associates, LLP Mark J. Angell - Partner 1075 Rosewood Drive Grapevine, Texas 76051 (v) 817-329-7424 (f) 817-421-4789

(b) Official Point of Contact for the ongoing operations of the company;

S.K. Mohan, President 8111 LBJ Freeway, Suite 1100 Dallas, Texas 75231 (v) 972-690-5654 (f) 972- 690-4666

(c) Tariff;

Angell & Associates, LLP Mark J. Angell - Partner 1075 Rosewood Drive Grapevine, Texas 76051 (v) 817-329-7424 (f) 817-421-4789

(d) Complaints/Inquiries from customers;

Pravin Kurishingal, VP Operations 8111 LBJ Freeway, Suite 1100 Dallas, Texas 75231 (v) 972-690-5654 (f) 972-690-4666

- 11. List the states in which the applicant:
  - (a) Has operated as an interexchange carrier. Texas
  - (b) Has applications pending to be certificated as an interexchange carrier. New York, California, Illinois and Washington
  - (c) Is certificated to operate as an interexchange carrier. None

(d)	Has been denied authority to operate as an interexchange carrier and the
	circumstances involved None

- Has had regulatory penalties imposed for violations of telecommunications statutes and the circumstances involved. - None
- **(f)** Has been involved in civil court proceedings with an interexchange carrier, local exchange company or other telecommunications entity, and the circumstances involved. - None
- What services will the applicant offer to other certificated telephone companies: 12. Check which applies.

() Facilities.

() Operators.

() Billing and Collection.

() Sales.

() Maintenance.

(V) Other: Remarketing of its underlying carriers services.

13. Do you have a marketing program? - Yes

Uni-Tel will utilize independent sales representatives

- 14. Will your marketing program:
  - (x) Pay commissions?
  - Offer sales franchises?
  - Offer multi-level sales incentives?
  - Offer other sales incentives?

- Explain any of the offers checked in question 14 (To whom, what amount, type of 15. franchise, etc.). The Commissions paid are based on dollar volume billed each month by the independent agents billed and collected volume. The Commissions are as follows: 2% 0-\$50,000.00, 2.5% \$50,001.00 to \$100,000.00, 3% \$100,001.00 to \$300,000.00, 3.5% \$300,001.00 to \$500,000.00 and 4% \$500.001.00+
- Who will receive the bills for your service?  $\square$  (Check all that apply) 16.

(x) Residential customers.

(x) Business customers.

(x) PATS providers.

(x) PATS station end-users.

(x) Hotels & motels.

(x) Hotel & motel guests.

() Universities.

() Other: (specify)

() Univ. dormitory residents.

- 17. Please provide the following (if applicable):
  - (a) Will the name of your company appear on the bill for your services, and if not who will the billed party contact to ask questions about the bill (provide name and phone number) and how is this information provided? Yes. Questions will be directed to Uni-Tel via 800 service
  - (b) Name and address of the firm who will bill for your service. Uni-Tel will direct bill.
- 18. Please provide all available documentation demonstrating that the applicant has the following capabilities to provide interexchange telecommunications service in Florida.
  - A. Financial capability. See attached

Regarding the showing of financial capability, the following applies: The application should contain the applicant's financial statements for the most recent 3 years, including:

- 1. the balance sheet See Attached
- 2. income statement See Attached
- 3. statement of retained earnings See Attached

Further, a written explanation, which can include supporting documentation, regarding the following should be provided to show financial capability.

- 1. Please provide documentation that the applicant has sufficient financial capability to provide the requested service in the geographic area proposed to be served.
- 2. Please provide documentation that the applicant has sufficient financial capability to maintain the requested service.
- 3. Please provide documentation that the applicant has sufficient financial capability to meet its lease or ownership obligations.

**NOTE:** This documentation may include, but is not limited to, financial statements, a projected profit and loss statement, credit references, credit bureau reports, and descriptions of business relationships with financial institutions.

If available, the financial statements should be audited financial statements. If the applicant does not have audited financial statements, it shall be so stated. The unaudited financial statements should then be signed by the applicant's chief executive officer and chief financial officer. The signatures should affirm that the financial statements are true and correct.

- B. Managerial capability. See Attached
- C. Technical capability. See Attached

FORM PSC/CMU 31(12/98), Required by Commission Rule Nos. 25-24.471, 25-24473, and 25-24.480(2).

19.	Please submit the proposed tariff under which the company plans to begin operation. Use the format required by Commission Rule 25-24.485 (example enclosed).		
20.		applicant will provide the following interexchange carrier services ~ (Check all	
	that	apply):	
	_	MTS with distance sensitive per minute rates	
	_	Method of access is FGA	
	_	Method of access is FGB	
	×	Method of access is FGD	
	×	Method of access is 800	
		MTS with route specific rates per minute	
	_	Method of access is FGA	
	_	Method of access is FGB	
	×	Method of access is FGD	
	X	Method of access is 800	
		MTS with statewide flat rates per minute (i.e. not distance sensitive)	
	_	Method of access is FGA	
		Method of access is FGB	
	<u>~</u>	Method of access is FGD	
	X	Method of access is 800	
	×	MTS for pay telephone service providers	
	_	• • •	
	-		
	×	800 Service (Toll free)	
	_	WATS type service (Bulk or volume discount)	
	_	Method of access is via dedicated facilities	
	-	Method of access is via switched facilities	
	_	Private Line services (Channel Services)	
		(For ex. 1.544 mbs., DS-3, etc.)	

<u></u>	Travel Service Method of access is 950 Method of access is 800
_	900 service
<u>x</u> <u>x</u>	Operator Services Available to presubscribed customers Available to non presubscribed customers (for example to patrons of hotels, students in universities, patients in hospitals.
	Available to inmates
Ser	vices included are:
<u>¥</u>	Station assistance
×	Person to Person assistance
	Directory assistance
_	Operator verify and interrupt

- 21. What does the end user dial for each of the interexchange carrier services that were checked in services included (above). 1010XXX
- 22. \_ Other:

**Conference Calling** 

FORM PSC/CMU 31(12/98), Required by Commission Rule Nos. 25-24.471, 25-24.473, and 25-24.480(2).

# Question 18

Financial Capability

Managerial Capability

**Technical Capability** 

#### RESUME' OF KEY PERSONNEL

Mr. S.K. Mohan is President of Uni-Tel.Mr. S.K. Mohan founded several companies which pioneered operator service programs to thousands of payphone and hotel owner/operators throughout the United States; the companies later expanded to resell one-plus services based on contractual agreements he directly negotiated with regional and national carriers. Additionally, Mr. Mohan developed an extensive technical support network to service PABX and other equipment needs for his clients. Current services include 1 plus international dialing and 24 hour customer service through local and 800 access numbers. Additional value-added platforms are in development. Mr. S.K. Mohan has thirteen years of experience in telecommunications.

Mr. Pravin Kurishingal is Vice President of Operations. Mr. Pravin Kurishingal has extensive experience in Credit Management, Software Development and Network Engineering; he has pioneered the development of customer service and billing software for national and international telecommunications networks for the past six years. He is responsible for the establishment and oversight of Uni-Tel's International Customer Service departments and for technical implementation of Uni-Tel's domestic and international network operations. He is currently involved in the production of Uni-tel's new value-added service programs. Mr. Kurishingal has five years experience in telecommunications.

Mr. Bruce M. Beal is Vice President Marketing. Mr. Beal has specialized in the hospitality industry and in the development of ethnic marketing programs domestically and internationally for the past twenty-five years. He has founded several companies and educational/marketing institutions in the United States, India, the CIS, and Central America. He was CEO of a nationwide hospitality corporation for three years prior to coming with Uni-Tel. He has developed an international customer base and international distribution network for Uni-Tel over the past five years; he also oversees a national direct sales force. He is currently involved in production development for a new value-added services program. Mr. Beal has been with Mr. Mohan for all thirteen years of his telecommunications expereince.

Mr. John C. Clessler is Vice President. of Sales and Marketing. Mr. Clessler, a degreed commercial artist, has created and designed award-winning products and advertising campaigns domestically and internationally for the past twenty years. Mr. Clessler has been the director of finance for several major international corporations and the creative director for the national marketing arm of a global non-profit organization which have appeared on National television and in many prestigious national and international publications. He has also developed the complete line of Uni-Tel products and brochures for the past five years. Mr. Clessler has been with Mr. Mohan for all thirteen years of his telecommunications experience.

Dr. V. Vidyalal is Director of International Network Engineering. Dr. Vidyalal is a highly published Laser Physicist who emigrated from his native India to design and implement Uni-Tel's international fibre-optics network. He is involved in every level of network function on a daily basis, from software design to reporting and feedback mechanisms for the technical, marketing, and financial divisions of Uni-Tel. He is also intimately involved in the development and refinement of the most recent technologies in the telecommunications field to facilitate the rapid growth and distribution of value-added service programs. Dr. Vidyalal has two years telecommunications experience.

# "APPLICANT ACKNOWLEDGEMENT STATEMENT "

- 1. REGULATORY ASSESSMENT FEE: I understand that all telephone companies must pay a regulatory assessment fee in the amount of .15 of one percent of its gross operating revenue derived from intrastate business. Regardless of the gross operating revenue of a company, a minimum annual assessment fee of \$50 is required.
- 2. GROSS RECEIPTS TAX: I understand that all telephone companies must pay a gross receipts tax of two and one-haff percent on all intra and interstate business.
- 3. SALES TAX: I understand that a seven percent sales tax must be paid on intra and interstate revenues.
- 4. **APPLICATION FEE:** A non-refundable application fee of \$250.00 must be submitted with the application.
- 5. RECEIPT AND UNDERSTANDING OF RULES: I acknowledge receipt and understanding of the Florida Public Service Commission's Rules and Orders relating to my provision of interexchange telephone service in Florida. I also understand that it is my responsibility to comply with all current and future Commission requirements regarding interexchange service.
- 6. ACCURACY OF APPLICATION: By my signature below, I the undersigned owner or officer of the named utility in the application, attest to the accuracy of the information contained in this application and associated attachments. I have read the foregoing and declare that to the best of my knowledge and belief, the information is a true and correct statement. Further, I am aware that pursuant to Chapter 837.06, Florida Statutes, "Whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree, punishable as provided in s. 775.082 and s. 775.083".

# **UTILITY OFFICAL:**

S.K. Mahan	10-1-99
Signature	Date
President	972-690-5054
Title	Telephone No.

FORM PSC/CMU 31(12/96), Required by Commission Rule Nos. 25-24.471, 25-24.473, and 25-24.480(2).

# --APPENDIX A--

# **CERTIFICATE TRANSFER STATEMENT**

	I, (TYPE NAME)		
	(TITLE)		
	of (NAME OF COMPANY)		ndergo-mandem
	, and current	nolder of certificate number	er,
	have reviewed this application and joi	in the petitioner's reques	st for a transfer of the
	above-mention certificate.		
UTILITI	Y OFFICAL:		
	Signature	Date	
	Title	Telephone N	[o.

FORM PSC/CMU 31(12/96), Required by Commission Rule Nos. 25-24.471, 25-24.473, and 25-24.480(2).

# \*\* APPENDIX B \*\*

# **CUSTOMER DEPOSITS ANT) ADVANCE PAYMENTS**

			sured of the security of the customer's ne of the following ways (applicant please
	(X)	The applicant will not co for service more than one	llect deposits nor will it collect payments e month in advance.
	()	bond in an amount equal	th the Commission and maintain a surety to the current balance of deposits and ess of one month. (Bond must accompany
<u>UTILITY OF</u>		. mahan	16-1-55
-	Signatu Pres Title	ident	Date  972 -690 - 554  Telephone No.

# \*\*APPENDIX C \*\*

# **INTRASTATE NETWORK**

1.	POP: Addresses whe	ere located, and indicate if owned o	r leased.
	1)	2)	
	3)	4)	
2.	SWITCHES: Adda	ress where located, by type of swite	ch, and indicate if owned or
	1)	2)	
	3)	4)	
3.		FACILITIES: Pop-to-Pop faciliticopper, satellite, etc.) and indicate in	
	POP-to-POP	<u>TYPE</u>	<u>OWNERSHIP</u>
	1)		
	2)		

4.	ORIGINATING SERVICE: Please provide the list of exchanges where you are
	proposing to provide originating service within thirty (30) days after the effective
	date of the certificate (Appendix D).

5.	TRAFFIC RESTRICTIONS: Please explain how the applicant will comply with
	the EABA requirements contained in Commission Rule 25-24.471 (4) (a) (copy
	enclosed).

- 6. CURRENT FLORIDA INTRASTATE SERVICES: Applicant has () or has not () previously provided intrastate telecommunications in Florida. If the answer is has fully describe the following:
  - a) What services have been provided and when did these services begin?
  - b) If the services are not currently offered, when were they discontinued?

# **UTILITY OFFICAL:**

Signature

Date

Date

President

Title

Telephone No.

Date

FORM PSC/CMU 31(12/96), Required by Commission Rule Nos. 25-24.471, 25.24.473, and 25-24.480(2).

#### \*\*APPENDIX D\*\*

#### **FLORIDA TELEPHONE EXCHANGES**

#### **AND**

#### **EAS ROUTES**

Describe the service area in which you hold yourself out to provide service by telephone company exchange. If all services listed in your tariff are not offered at all locations, so indicate.

In an effort to assist you, attached is a list of major exchanges in Florida showing the small exchanges with which each has extended area service (EAS).

#### " FLORIDA EAS FOR MAJOR EXCHANGES "

Extended Service Area	With	These Exchnages
PENSACOLA:		Cantonment, Gulf Breeze Pace, Milton Holley-Navarre.
PANAMA CITY:		Lynn Haven, Panama City Beach, Youngstown-Fountain and Tyndall AFB.
TALLAHASSEE:		Crawfordville, Havana, Monticello, Panacea, Sopchoppy and St. Marks.
JACKSONVILLE:		Baldwin, Ft. George, Jacksonville Beach, Callahan, Maxville, Middleburg, Orange Park, Ponte Vedra and Julington.
GAINESVILLE:		Alachua, Archer, Brooker, Hawthorne, High Springs, Melrose, Micanopy, Newberry and Waldo.