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CENTRAL FLORIDA OFFICE

SANLANDO CENTER

July 23, 2007

ROBERT M. C. ROSE, (1924-2006)

Ann Cole, Commission Clerk Office of Commission Clerk Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, FL 32399-0850

ORIGINAL

RE: Docket No. 070109-WS, Application for Amendment of Certificates 611-W and 527-S to Extend Water and Wastewater Service Area to Include Certain Land in Charlotte County

Our File No.: 41069.03

Dear Ms. Cole:

Enclosed for filing in the above-referenced docket is the original and fifteen (15) copies of the Notice of Filing of the Prefiled Direct Testimony of Gerald C. Hartman, P.E., on behalf of Sun River Utilities, Inc.

CMP.		Sho	ould you have any questions regarding this filing, please do not hesitate to give
COM	2_	me a call.	
CTR			Wery truly vours.
ECR)		
GCL			ROBERT C. BRANNAN
OPC			For the Firm
RCA			
SCR		Enclosures	
SGA		°Cc: A. A	A. Reeves, Vice President (w/enclosures – via U.S. Mail)
SEC			rtin S. Friedman, Esquire (w/enclosures – via U.S. Mail)
OTH		4	tha Young Burton, Assistant County Attorney (via facsimile) (w/enclosures – U.S. Mail)
			oh Jaeger, Esquire (w/enclosures – via hand delivery)

DOCUMENT NUMBER-DATE

06247 JUL 23 5

BEFORE THE FLORIDA PUBLIC SERVICE COMMISSION

OPIOMA

IN RE: Application of

SUN RIVER UTILITIES, INC.

formerly known as MSM UTILITIES, LLC, for Amendment of Certificates 611-W and 527-S to Extend Water and Wastewater Service Areas to Include Certain Land in Charlotte County, Florida.

Docket No. 070109-WS

NOTICE OF FILING

SUN RIVER UTILITIES, INC., (f/k/a MSM UTILITIES, LLC), by and through its undersigned attorneys, hereby gives notice of filing in the above-referenced docket the Prefiled Direct Testimony of Gerald C. Hartman, P.E., on behalf of Sun River Utilities, Inc.

Respectfully submitted on this 23rd day of July, 2007, by:

ROSE, SUNDSTROM & BENTLEY, LLP 2548 Blairstone Pines Drive Tallahassee, FL 32301

PHONE: (850) 877-6555 FACSIMILE: (850) 656-4029

> MARTIN S. FRIEDMAN ROBERT C. BRANNAN

For the Firm

CERTIFICATE OF SERVICE DOCKET NO.: 070109-WS

I HEREBY CERTIFY that a true and correct copy of the foregoing has been

furnished by U.S. Mail this 23rd day of July, 2007, to the following:

Martha Young Burton, Assistant County Attorney Charlotte County Attorney's Office 18500 Murdock Circle Port Charlotte, FL 33948-1094

Ralph Jaeger, Esquire OFFICE OF GENERAL COUNSEL Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, FL 32399-0850

 \mathbf{RV}

MARTIŇ S. FRIEDMAN ROBERT C. BRANNAN

For the Firm

Direct Testimony of Gerald C. Hartman

On behalf of

Sun River Utilities, Inc.

Before the

Florida Public Service Commission

Dated July 20, 2007



1	<	2. State your name and address.
2	Δ	Gerald Charles Hartman, P.E., Hartman Consulting & Design, GAI Inc., 301
3		E. Pine Street, Orlando, Florida, 32801
4	C	Mr. Hartman, are you a registered professional engineer in the State of
5		Florida?
6	A	Yes. My registration number is 27703.
7		Mr. Hartman, what is your area of specialty in your practice?
8	A	A. I specialize primarily in water and wastewater utility matters.
9		2. Do you have a designation beyond your professional engineer's license?
10	A	A. Yes. I am a Diplomate in the American Academy of Environmental Engineers
11		with the water and wastewater specialty designation.
12		Q. Do you have a resume?
13	A	A. Yes, my resume is attached as GCH-1.
14		2. Have you been accepted by the Florida Public Service Commission to render
15		testimony concerning utility management and engineering on original water
16		certificates and/or service area modifications?
17	A	A. Yes, I have on several occasions over the past 20 years
18		2. In what areas are you going to provide testimony in this matter?
19	A	A. In utility management and engineering areas associated with the application of
20		Sun River Utilities, Inc., formerly known as MSM Utilities, LLC, for
21		amendment of certificates 611-W and 527-S to extend water and wastewater
22		service areas to include certain land in Charlotte County.
23		2. Are the services proposed by Sun River Utilities, required to be
24		regulated by the Florida Public Service Commission under the provisions of
25		Chapter 367, Florida Statutes?
l l		

1	A.	Yes, they are. That is why this application was filed.
2	Q.	Are you providing supporting technical and management consulting testimony?
3	A.	Yes, I am providing utility management consulting and engineering support relative
4		to FPSC application and service area expansion. Certain local
5		engineering services and coordination were conducted with another firm and are
6		accepted and sponsored by myself. See GCH-2 for my letter of engagement.
7	Q.	Do you accept and sponsor the management consulting and engineering aspects
8		of the application?
9	A.	Yes.
10	Q.	Were there deficiencies and changes and corrections to that application as
11		originally submitted to the PSC which were later filed with the PSC?
12	A.	No.
13	Q.	In your opinion, does the Application provide a true, accurate and
14		appropriate representation regarding the Application of amendment of
15		Certificates Nos. 611-W and 527-S to extend water and wastewater service area
16		in Charlotte County by Sun River Utilities, Inc.?
17	A.	Yes, it does.
18	Q.	Is there a need for the services proposed and does MSM have the ability to
19		provide those services?
20	A.	Yes. There is a current need for the services which is delineated in the application
21		for water, sewer services, as well as in GCH-3 (letters from Mr. Schwartz, Mr.
22		Kennan and Mr. Berger). I also served MSM Utilities prior to the acquisition by
23		Sun River Utilities, Inc. and am aware of the several requests for service along
24		U.S. Highway 17 north and south of the service area.
25	Q.	Does Sun River presently serve the existing, and previous MSM, water and

1		wastewater service area?
2	A.	Yes. The present service area is shown on GCH-4.
3	Q.	Are there any adjacent utility systems to this existing service area?
4	A.	No.
5	Q.	Where are the nearest utilities?
6	A.	In Desoto County, the Desoto County utilities which are stubbed out at the
7		Walmart Distribution Center in that county.
8	Q.	Are you familiar with those utilities?
9	A.	Yes, previously while at Hartman & Associates, Inc. our firm did the engineering
10		work for the interim and final utility facilities.
11	Q.	Is there any capacity available from those facilities?
12	A.	No. They are at the southern terminus of the County's system and all of the
13		available capacity is reserved or planned for customers in Desoto County.
14	Q.	Is there an ability to serve by Desoto County?
15	A.	Presently, the Desoto Board of County Commissioners have not authorized service
16		in Charlotte County in this area. There is an interlocal agreement between Charlotte
17		and Desoto Counties which I participated in which grants such rights only on a
18		specific and specialized basis (utility acquisitions and/or interconnections).
19		Otherwise both Counties have agreed to respect each other's County boundaries
20		for service.
21	Q.	Did Desoto County propose to the PRMSRWSA and Charlotte County directly an
22		interconnect and utility facilities along U.S. 17 at the County line?
23	A.	Yes, I was the Desoto County consultant making those requests on behalf of the
24		County.
25	Q.	What happened?
	I	

1	A.	First, the PRMSRWSA prepared a CIP project which was made upon the
2		request of Desoto. It was voted down, or rejected for funding or implementation
3		by the Board. They refused the project and service.
4	Q.	How about Charlotte County?
5	A.	They also refused to build facilities, provide service and interconnect with Desoto
6		County using the U.S. 17 corridor I believe in the 2003 time period.
7	Q.	Who else has utilities in the region?
8	A.	The City of Punta Gorda has the closest water service with a 6" water main
9		terminating at a small development on the west side of U.S. 17 a good distance
10		south of the expansion request area.
11	Q.	Does Charlotte County have central community water and wastewater utility
12		Assets on the east side of the Peace River and north of Shell Creek?
13	A.	No.
14	Q.	Has Sun River provided notice of the requested certification in accordance with
15		PSC rules and status?
16	A	Yes, the required notice has been provided in accordance with those rules and
17		statutes and the proof of publication and required affidavits of such noticing are
18		on record.
19	Q.	Have you reviewed other utility service areas in the region?
20	A.	I have. I provided assistance and am knowledgeable about Charlotte County,
21		Desoto County, as well as City of Punta Gorda and the City of North Port. To my
22		knowledge, no utility other community central water and/or wastewater system
23		is within the requested expansion area. That is the reason for the several requests
24		for service from Sun River and historically MSM.
25	Q.	Based on your review of the existing assets, service areas and facilities in the
	1	

1		region, do you believe that the proposed certification of the Sun River expansion
2		will be in duplication of any other system?
3	A.	No. No other system serves the Sun River expanded service area. The expanded
4		service area does not duplicate assets of any other utility. Charlotte County has no
5		plans in their comprehensive plan for service to the proposed investor owned utility
6		area.
7	Q.	Charlotte County is objecting to this application. Have you reviewed this matter?
8		1. Charlotte County has no plans in their comprehensive plan for service to the
9		proposed Sun River utility area.
10		2. Charlotte County has historically excluded investor owned utility areas
11		from their service area as shown in their mapping which includes Town and
12		Country Utilities and others such as MSM.
13		3. Charlotte County Utilities is basically a system that was derived from
14		acquisitions of investor owned utilities and has not been a County
15		developed system (rather an integrations of investor owned systems) that
16		had been acquired.
17		4. There exists no water and/or wastewater service in the expansion service
18		area that the service area, the only utility (Sun River Utilities, Inc.) which
19		has facilities in the area is the logical choice for expansion. Moreover,
20		Charlotte County has no contracts with any of the landowners in the area for
21		service. In contrast, Sun River Utilities, Inc. has customer requests for
22		service and the landowners' wish this area to be certificated by Sun River.
23	Q.	Does Sun River have the technical ability to serve the requested territory?
24	A.	Yes, as provided in the application and supporting documents.
25	Q.	Does Sun River have sufficient plant capacity to serve the requested territory?
. 1	1	

1	A.	The application shows the current maximum day capacity needed in the proposed
2		territory and, I believe, amply demonstrates that Sun River either has or is taking
3		appropriate measures to ensure sufficient plant capacity to provide the proposed
4		services as those demands for capacity mature.
5	Q.	Approximately, what is the Maximum Day Capacity of the existing water supply
6		System?
7	A.	60,000 gallons per day.
8	Q.	Does the existing water system have available capacity for future customers?
9	A.	Yes. Approximately 100 to 200 additional customers.
10	Q.	Can the exiting facility be expanded?
11	A.	Yes. Much of the facilities are on site yet not installed or integrated at this time to
12		at least double (100% expansion) the existing water plan.
13	Q.	Approximately, what is the Average Annual Daily Capacity of the wastewater
14		treatment plant?
15	A.	15,000 gallons per day.
16	Q.	Does the existing plant and system have available capacity for future customers?
17	A.	Yes, approximately 50 to 100 additional customers.
18	Q.	Can the wastewater plant be expanded?
19	A.	Yes. The existing percolation/evaporation ponds and WWTP could readily be
20		expanded.
21	Q.	Would the existing combined water and wastewater treatment plant site be the
22		only central treatment plant site for the existing and expanded service area?
23	A.	No, as demand dictates, we have conceptually planned a more eastern area for
24		this purpose in the future.
25	Q.	Do you have personal knowledge of the financial strength and management
1	1	

1		technical ability of North Fort Myers Utilities?
2	A.	Yes. I have participated in financial and technical matters with this utility in the
3		past. The manager is Mr. Tony Reeves who has decades of utility management
4		experience, consults with others for that purpose, is qualified as an expert in the
5		arena and was a key management professional for AVATAR statewide. This is
6		a creditworthy and effective utility with significant experience in the region. It has
7		a proven track record. I evaluated this utility, Utilities, Inc., and Aqua America on
8		behalf of the owner of MSM Utilities. I was charged with the recommendation of
9		which utility to choose for service for this area by the owner. I concluded, that for
10		the MSM and expanded service area, that North Fort Myers Utilities was the
11		preferred provider. The owner concluded the sale with NFMU early this year.
12		Note, that the owner and myself considered several options for his and others
13		utility needs. It was and still is my opinion that Sun River Utilities, Inc. is the
14		most efficient and effective utility provider with the technical and financial
15		expertise and capability to meet the needs of the existing and potential utility
16		customers in the requested service area.
17	Q.	Have you reviewed maps of the requested area?
18	A.	Yes. Banks Engineering has provided aerial maps of the existing Sun River and
19		proposed expansion service area.
20	Q.	Does this conclude your direct testimony?
21	A.	Yes.
22	Q.	Mr. Hartman, please provide the commission with a summary of your direct
23		Testimony.
24	A.	Yes, Sun River prepared the application and exhibits. Our firm was involved in the
25		work associated with this application process. Sun River is the existing regulated

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central community water and wastewater system in the area and the only one. I believe the expansion requests meets all the requirements for certification. There is a need for water and sewer services. I am knowledgeable of all the active water/sewer service areas in the area. I believe my direct testimony summaries the various service facilities. I think it is undisputed for the points of service from the County's Utility. They are not duplicated. There are no other systems with the services in this area. Sun River does have the technical ability. I have assisted in many applications at the Public Service Commission in a similar state of evolution. Sun River presently has the capacity for service for their existing customers and can be expanded to meet future needs. Sun River adequate assurance for continued use and the financial resources have been provided for full service.

Environmental Group Practice Leader/Vice President

Docket No. 070109-WS Application for Amendment Exhibit GCH-1 – Page 1 of 12

EDUCATION

B.S., Duke University, 1975 M.S., Duke University, 1976

PROFESSIONAL REGISTRATION

Alabama Arizona Colorado Florida Georgia Illinois Indiana Louisiana Maine Maryland Mississippi New Hampshire New Mexico North Carolina Ohio Pennsylvania South Carolina Virginia Wisconsin NCEES National P.E.	No. 19422 No. 28939 No. 31200 No. 27703 No. 17597 No. 062-053100 No. 10100292 No. 30816 No. 10395 No. 12410 No. 12717 No. 10820 No. 15990 No. 15264 No. 70152 No. 38216 No. 15389 No. 131184 No. 32971 No. 2048
NCEES National P.E. Senior Appraiser Public Utilities, A.S.A.	No. 2048 No. 7542
Ounties, A.S.A.	NO. / 542

PROFESSIONAL AFFILIATIONS

Diplomate – American Academy of Environmental Engineers American Society of Civil Engineers National Society of Professional Engineers Florida Engineering Society American Water Works Association Water and Environment Federation
American Water Resources Association
Florida Water & Pollution Control
Operators Association
Florida Water Works Association
American Concrete Institute
Water Management Institute
American Society of Appraisers

QUALIFICATIONS SUMMARY

Mr. Hartman is an experienced environmental engineer with special expertise in water, wastewater and stormwater utility systems. Mr. Hartman is a qualified expert witness in the areas of water supply and treatment, wastewater treatment and effluent disposal, utility system valuation and financing, facility siting, certification/service area activities/ franchises and formation/creation, management and acquisition of utility projects.

Mr. Hartman is highly qualified in environmental engineering with special expertise in pumping system analysis/station design; hydraulic analysis; pipeline design; wastewater collection, treatment, effluent reuse, utilization and disposal; facility planning; rate charge and fee studies; funding and grants. Mr. Hartman is a qualified expert witness in the areas of wastewater treatment and effluent disposal, water and wastewater construction, solids handling, utility system appraisals, rates and charges, and utility creation/management/acquisition projects.

EXPERIENCE

Financial Reports

Mr. Hartman has been involved in over 300 capital charge, impact fee and installation charge studies involving water, wastewater and fire service for various entities. He also has participated in over 150 user rate adjustment reports. Mr. Hartman assisted in the development of over 70 revenue bond issues, 20 short-term bank loan systems, 10 general obligation bonds, numerous grant/loan programs, numerous capacity sale programs, and 20 privatization programs. Mr. Hartman has been involved in over \$2 billion in utility bond and commercial loan financings for water and wastewater utility, and over \$4 billion in utility grants, matching funding, cost-sharing; SRF loans and Federal Loans (R.D., etc.), assessments and CIAC programs.



Environmental Group Practice Leader/Vice President

Water and Wastewater Acquisition Valuations and Evaluations

Mr. Hartman has been involved in some 300 water and wastewater negotiations, valuations and evaluations, and has been a qualified expert witness by the courts with regard to water and wastewater, arbitrations and condemnation cases. He has participated in the valuation of numerous water and wastewater utility systems. His experience in the past few years includes:

Year	Project	Party Represented
2007	Marion Utilities, Sunshine Utilities and Windstream Utilities	County
2007	Donaldsonville/Peoples Utilities	Owner
2007	Ocean Reef/NKLUA/Card Sound I.Q.	FKAA
2007	Irish Acres	County
2007	I-20 Systems	Owner (On-going)
2007	Town & Country Update	Owner (On-going)
2007	Service Management Systems, Inc.	C.B. Ellis/Bank (On-going)
2007	Bulow Village Resort	County (On-going)
2007	Plantation Bay	County (On-going)
2007	Intercoastal Utilities	Owner (On-going)
2006	MSM Utilities, Inc.	Owner (Seller)
2006	BSU/Citrus Park	Owner
2006	Jasmine Lakes and Palm Terrace	City (On-going)
2006	The Arbors	County (On-going)
2006	Oak Centre	County (On-going)
2006	Silver Oaks Estates	County (On-going)
2006	Regal Woods	County (On-going)
2006	Golden Glen	County (On-going)
2006	Willow Oaks	County (On-going)
2006	South Oak	County (On-going)
2006	Gulf State Community Bank – Utility Holdings	Bank
2006	Rolling Green	County (On-going)
2006	South 40, Citrus Park and Raven Hill	County
2006	Holiday Utility Company, Inc.	Bank
2006	Old Bahama Bay	Management
2006	Utility Consolidation Program	County
2006	Loch Harbor Water & Wastewater System	Owner
2005	Lake Wales Utility Company	Bank
2005	Pennichuck Water Company	Confidential
2005	K.W. Resort Utilities, Inc.	Confidential
2005	Water Management Services, Inc.	Owner
2005	Town and Country Utility Co.	Confidential
2005	Village of Royal Palm Beach	Village
2005	Tymber Creek Utilities, Inc.	Pending
2005	Orange/Osceola/Lake/Seminole Counties	Confidential
2005	Utilities, Inc. (Partial)	Owner
2005	Village of Royal Palm Beach	Village
2005	Bald Head Island Utilities, Inc.	Village
2005	Broward County	Confidential
2005	Burkim Enterprises, Inc.	Owner
2005	Lyman Utilities, Inc. Harrison County, MS	Owner (On-going)
2004	Quail Meadow Utility Company	County



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Year	Project	Party Represented
2004	Silver Springs Shores Regional	County
2004	Matanzas Shores	County
2004	El Dorado Utilities, NM	Owner
2004	CDF to City of Tupelo, MS	CDF
2004	Pesotum, Illinois – IAWC	Village
2004	Philo, Illinois – IAWC	Village
2004	Central Florida	Confidential
2004	Skyview	City
2004	Polk Utilities	NFP
2004	St. Johns Services Company	County
2004	Intercoastal Utilities Company	County
2004	Stonecrest Utilities	County
2004	Meredith Manor	County
2004	Lake Harriet Estates	County
2004	Lake Brantley	County
2004	Fern Park	County
2004	Druid Hills	County
2004	Dol Ray Manor	County
2004	Apple Valley	County
2004	Kingsway Utility Area	County
2004	Lake Suzy Utilities (water portion)	County
2004	Sanibel Bayous Wastewater Corporation	City
2004	Ocean City Utilities	FCURIA/County
2004	Peoples Water of Donaldsonville, LA	Owner (On-going)
2003	Harmony Homes	County
2003	Florida Central Commerce Park	County
2003	Chuluota	County
2003	District 3C (Miramar portion)	City
2003	Lincoln Utilities/Indiana Water Service	Owner
2003	Gibsonia Estates	City
2003	Lake Gibson Estates	City
2003	El Dorado Utilities, NM	Buyer
2003	Jungle Den Utilities	Association
2003	Holiday Haven Utilities	Association
2003	Salt Springs	County
2003	Smyrna Villas	County
2003	South Forty	County
2003	Citrus Park	County
2003	Spruce Creek South	County
2003	Spruce Creek	County
2003	Spruce Creek Country Club Estates	County
2003	Stonecrest/Steeplechase	County
2003	Marion Oaks	County
2003	Kingswood Utilities	County
2003	Oakwood Utilities	County
2003	Sunny Hills Utilities	Confidential
2003	Interlachen Lake/Park Manor	Confidential
2003	Tomoka/Twin Rivers	Confidential
2003	Beacon Hills	Buyer



GERALD C. HARTMAN, PE, BCEE, ASA Environmental Group Practice Leader/Vice President

Year	Project	Party Represented
2003	Woodmere	Buyer
2003	Bay Lake Estates	City
2003	Fountains	City
2003	Intercession City	City
2003	Lake Ajay Estates	City
2003	Pine Ridge Estates	City
2003	Tropical Park	City
2003	Windsong	City
2003	Buenaventura Lakes	City
2002	Lelani Heights Utilities	County
2002	Fisherman Haven Utilities	County
2002	Fox Run Utilities, Inc.	County
2002	Ponce Inlet	City
2002	Amelia Island Utilities	City
2002	Florida Public Utilities	City
2002	AquaSource – LSU	County
2002	Park Place Utility Company, GA	Owner
2002	Kingsway Utility System	Owner/County
2002	Pennichuck Water Company, NH	City
2002	Philo Water System, IL	Village
2002	Pasco County – 2 systems	County
2002	Marion Consolidation – 10 systems	County
2002	Sugarmill	UCCNSB
2002	Deltona	FCURIA
2002	Palm Coast	FCURIA
2002	Bald Head Island Utilities, NC	Village
2002	White's Creek - Lincolnshire, SC	Owner
2002	Bluebird Utilities, Tupelo, MS	NFP
2001-2	Due Diligence – 260 systems (VA, NC, SC)	Buyer
2001	Shady Oaks	County
2001	Davie/Sunrise	City
2001	Lindale Utilities	County
2001	Aquarina	Owner
2001	Intercoastal Utilities	County
2001	Beverly Beach	City
2001	Citrus County Utility Consolidation Plan (numerous)	County
2001	Pasco County Utility Acquisition Plan (numerous)	County
2001	Skylake Utilities	City
2001	Town of Lauderdale-By-The-Sea	Town
2001	John Knox Village	City
2001	Silver Springs Regional	County
2001	DeSoto Countywide FWSC Franchise and Assets	County
2001	Zellwood Station Co-Op	Co-Op
2001	Palm Cay	County
2000	The Great Outdoors	Owner
2000	Destin Water Users	City
2000	Pine Run	County
2000	Oak Run	County
2000	Dundee Wastewater (partial)	City



Year	Project	Party Represented
2000	Polk City Water	City
2000	A.P. Utilities (2 systems)	County
2000	CGD Utilities	Bank
2000	Boynton Beach (partial)	City
2000	Aqua-Lake Gibson Utilities	City
2000	Bartelt Enterprises, Ltd. (2 systems)	Owner
2000	49 'Ner Water System, Tucson, AZ	Owner
2000	Stock Island Wastewater and Reuse System	Owner
1999	Del Webb (3 systems)	County
1999	Destin Water Users Co-Op	City
1999	O&S Water Company	City
1999	Rolling Springs Water Company	County
1999	ORCA Water & Solid Waste	Authority
1999	Marianna Shores Water and Wastewater	City
1999	Mount Olive Utilities	City
1999	AP Utilities (3 systems)	County
1999	Tangerine Water Association	City
1999	Laniger Enterprises Water & Wastewater	Bank
1999	IRI golf Water System, AZ	Investor
1999	South Lake Utilities	City
1999	St. Lucie West CDD	City
1999	Polk City/Lakeland	City
1999	Dobo System, Hanover County, NC	County
1999	Rampart Utilities	County
1999	Garlits to Marion County	County
1998	Golf and Lake Estates	City
1998	Sanibel Bayous/E.P.C.	City
1998	Tega Cay Utility Company, SC	City
1998	Marlboro Meadows, MD	Owner
1998	Sugarmill Water and Wastewater/Volusia County	UCCNSB
1998	SunStates Utilities, Inc.	Owner
1998	Town of Hope Mills/FPWC, NC	Town
1998	River Hills, SC	County
1998	Town of Palm Beach	Town
1998	K.W. Utilities, Inc.	Buyer
1998	Orange Grove Utility Company, MS	Owner
1998	Garden Grove Water Company	City
1998	Sanlando Utilities, Inc.	County
1997	Golden Ocala Water and Wastewater System	County
1997	Holiday Heights, Daetwyller Shores, Conway, Westmont	County
1997	University Shores	County
1997	Sunshine Utilities	County
1997	Bradfield Farms Utility, NC	Owner
1997	Palmetto Utility Corporation	Owner
1997	A.P. Utilities	County
1997	Village of Royal Palm Beach	Village
1997	Jasmine Lake Utilities Corporation	Lender
1997	Arizona (confidential)	Owner
1997	Village Water Ltd., FL	Owner



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GERALD C. HARTMAN, PE, BCEE, ASA
Environmental Group Practice Leader/Vice President

Year	Project	Party Represented
1997	N.C. System – CMUD (3 systems)	Owner
1997	Courtyards of Broward	City
1997	Miami Springs	City
1997	Widefield Homes Water Company, CO	Company
1997	Peoples Water System	ECUA
1997	Quail Meadows, GA	County
1997	Rolling Green, GA	County
1996	Keystone Heights	City
1996	Keystone Club Estates	City
1996	Lakeview Villas	City
1996	Geneva Lakes	City
1996	Postmaster Village	City
1996	Landen Sewer System, CMUD, NC	Company
1996	Citizens Utilities, AZ	City
1996	Widefield Water and Sanitation, CO	District
1996	Consolidation Program Game Plan	County
1996	Marion Oaks	County
1996	Marco Shores	Company
1996	Marco Island	Company
1996	Cayuga Water System, GA	Authority
1996	Glendale Water System, GA	Authority
1996	Lehigh Acres Water and Wastewater, GA	Authority
1996	Lindrick Services Company	Company
1996	Carolina Blythe Utility, NC	City
1996	Ocean Reef R.O. WTPs	NKLUA
1995	Sanibel Bayous	City
1995	Rotunda West Utilities	Investor
1995	Palm Coast Utility Corporation	ITT
1995	Sunshine State Parkway	Company
1995	Orange Grove Utilities, Inc., Gulfport, MS	Company
1995	Georgia Utilities, Peachtree, GA	City
1995	Beacon Hills Utilities	Company
1995	Woodmere Utilities	Company
1995	Springhill Utilities	Company
1995	Okeechobee Utility Authority	OUA
1995	Okeechobee Beach Water Association	OUA
1995	City of Okeechobee	OUA
1995	Mad Hatter Utilities, Inc.	Company
1994	Eastern Regional Water Treatment Plant	Owner
1994	GDU - Port St. Lucie Water and Wastewater	City
1994	St. Lucie County Utilities	City
1994	Marco Island/Marco Shores	Sun Bank
1994	Heater of Seabrook, SC	Company
1994	Placid Lake Utilities, Inc.	Company
1994	Ocean Reef Club Solid Waste System	ORCA
1994	Ocean Reef Club Wastewater System	ORCA
1994	South Bay Utilities, Inc.	Company
1994	Kensington Park Utilities, Inc.	Company
		SSU/Allete
1993	River Park Water System	55U/Allete



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Year	Project	Party Represented
1993	Taylor Woodrow, Sarasota County	Taylor Woodrow
1993	Atlantic Utilities, Sarasota County	Company
1993	Alafaya Utilities, Inc.	Bank
1993	Anden Group Wastewater System, PA	Company
1993	West Charlotte Utilities, Inc.	District
1993	Sanlando Utilities, Inc.	Investor
1993	Venice Gardens Utilities	Company
1992	Myakka Utilities, Inc.	City
1992	Kingsley Service Company	County
1992	Mid Clay Utilities, Inc.	County
1992	Clay Utilities, Inc.	County
1992	RUD#1 (4 systems review)	Meadowoods/Kensington Park
1992	Martin Downs Utilities, Inc.	County
1992	Fox Run Utility System	County
1992	Leilani Heights	County
1992	River Park Water and Sewer	SSU/Allete
1992	Central Florida Research Park	Bank of America
1992	Rolling Oaks Utility	Investor
1992	City of Palm Bay Utilities	PBUC
1992	North Port – GDU Water and Sewer	City
1992	Palm Bay – GDU Water and Sewer	City
1992	Sebastian – GDU Water and Sewer	City
1991	Sanibel - Sanibel Sewer System, Ltd.	City
1991	St. Augustine Shores, St. Johns County	SSU/Allete
1991	Remington Forest, St. Johns County	SSU/Allete
1991	Palm Valley, St. Johns County	SSU/Allete
1991	Valrico Hills, Hillsborough County	SSU/Allete
1991	Hershel Heights, Hillsborough County	SSU/Allete
1991	Seaboard Utilities, Hillsborough County	UFUC
1991	Federal Bankruptcy – Lehigh Acres	Topeka/Allete
1991	Meadowoods Utilities, Regional Utility District #1	Investor
1991	Kensington Park Utilities, Regional Utility District #1	Investor
1991	Industrial Park, Orange City	City
1991	Country Village, Orange City	City
1991	John Know Village, Orange City	City
1991	Land O'Lakes, Orange City	City
1990	Orange-Osceola Utilities, Osceola County	County
1990	Morningside East and West, Osceola County	County
1990	Magnolia Valley Services, Inc., New Port Richey	City
1990	West Lakeland Industrial, City of Lakeland	City
1990	Highlands County Landfill	Owner
1990	Venice Gardens Utilities, Sarasota County	SSU/Allete
1990	South Hutchinson Services, St. Lucie County	SHS
1990	Indian River Utilities, Inc.	City
1990	Terra Mar Utility Company	City
1989	Seminole Utility Company, Winter Springs	Topeka/Allete
1989	North Hutchinson Services, Inc., St. Lucie County	NHS
1989	Sugarmill Utility Company	UCCNSB
1989	Ocean Reef Club, Inc., ORCA	Company



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Environmental Group Practice Leader/Vice President

Year	Project	Party Represented
1989	Prima Vista Utility Company, City of Ocoee	PVUC
1989	Deltona Utilities, Volusia County	SSU
1989	Poinciana Utilities, Inc., Jack Parker Corporation	JPC
1989	Julington Creek	Investor
1989	Silver Springs Shores	Bank
1988	Eastside Water Company, Hillsborough County	County
1988	Twin County Utilities	Company
1988	Burnt Store Utilities	Company
1988	Deep Creek Utilities	Company
1988	North Beach Water Company, Indian River County	NBWC
1988	Bent Pine Utility Company, Indian River County	BPUC
1988	Country Club Village, SSU	CCV
1987	Sugarmill Utility Company, Florida Land Corporation	FLC
1987	North Orlando Water and Sewer Company, Winter Springs	NOWSCO
1987	Osceola Services Company, FCS (nfp)	OSC
1987	Orange City Water Company, Orange City	City
1987	West Volusia Utility Company, Orange City	City
1987	Seacoast Utilities, Inc., Florida Land Corporation	FLC

And numerous other water and wastewater utility valuations in the 1976-1987 period.

Facility Planning

Mr. Hartman has been involved in over 50 water, wastewater and/or solid waste master plans, and many capital improvement program, and numerous capital construction fund plans. He represented the American Society of Civil Engineers in the State Comprehensive Plan as a Policy Advisory Committee Member on the utility element, and participated in the preparation of Comprehensive Plans, Chapter 9J5, for more than 20 communities. Mr. Hartman has been involved in business planning and strategic planning for not-for-profit, governmental and investor-owned utilities.

Analyses and Design

Mr. Hartman has participated in numerous computer-assisted hydraulic analyses of water and wastewater transmission systems including extended period simulations as well as hydraulic transient analyses. He was involved in wastewater treatment investigations, sludge pilot testing programs, effluent disposal pilot programs and investigations, several energy efficiency analyses, several odor control studies, and other process evaluations for operations. Mr. Hartman participated in value engineering investigations oriented toward obtaining the most cost-effective alternatives for regional and private programs. Mr. Hartman has been involved in the design of package WWTPs through AWT facilities and simple well and chlorination systems through reverse osmosis facilities. He has been involved in numerous water blending, trihalomethane, synthetic organic contaminant removal, secondary precipitation, corrosion control, and alum precipitation studies. Mr. Hartman has performed process evaluations for simple aeration facilities, surface water sedimentation facilities, water softening facilities, as well as reverse osmosis facilities. He was involved in water conservation program, as well as distribution system evaluation programs. He participated in both sanitary sludge management and disposal studies and co-authored the book entitled "Sludge Management and Disposal for the Practicing Engineer." He also participated in numerous lime studge thickening, management, and utilization/disposal investigations. Mr. Hartman has been involved in wellfield management studies, wellfield protection ordinances, wellfield siting, water resource evaluations and water resource planning for several entities in sand aquifer, sand and gravel aquifer and limestone aquifer systems.



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Utility Management Consulting

Mr. Hartman has been involved in utility transfers from public, not-for-profit, district, investor-owned, and other entities to cities, counties, not-for-profit corporations, districts, and private investors. He has been involved in staffing, budget preparation, asset classification, form and standards preparation, utility policies and procedures manuals/training, customer development programs, standard customer agreements, capacity sales, and other programs. Mr. Hartman has been involved in over 100 interlocal agreements with respect to service area, capacity, service, emergency interconnects, back-up or other interconnects, rates, charges, service conditions, ownership, bonding and other matters. Additionally, Mr. Hartman has assisted in the formation of newly certificated utilities, newly created utility departments for cities and counties, new regional water supply authorities, new district utilities, and other utility formations. Mr. Hartman has assisted in Chapter 180.02 F.S. utility reserve areas for the Cities of Haines City, Sanibel, Lakeland, St. Cloud, Winter Haven, Bartow, Palm Bay, Orange City, and many others. He has participated in the certification of many utilities such as ECFS, Malabar Woods, B&C Water Resources, Inc., Farmton Water Resources, Inc. and may others; and certification disputes such as Windstream, Intercoastal Dulay Utilities, FWSC/ITT, and others and served as service area certification staff of the regulatory for St. Johns County; i.e., Intercoastal, etc.; as service area transfer/certification staff of the regulatory for Flagler County; i.e., Palm Coast to FWSC. He has served as a local county regulatory staff professional in Collier, Citrus, Hernando, Flagler and St. Johns Counties as well as elsewhere. Mr. Hartman has also provided the technical assistance to many utility service area agreements such as Winter Haven/Lake Wales/Haines City, etc. and North Miami Beach - MDWASD and others. For 30 years, Mr. Hartman has been a professional assisting in the resolution of water and wastewater utility issues.

WASTEWATER EXPERIENCE

Design

Mr. Hartman has participated in the design of wastewater facilities throughout Florida totaling more than \$500 million in value. He has been involved in the design of odor control systems for wastewater plants; sludge dewatering, PSRP and PFRP facilities; and numerous wastewater treatment plants varying from extended aeration through advanced biological nutrient removal pumping/lift stations for collection/transmission systems. He served as the engineer in charge of numerous wastewater reuse systems; more than 30 golf course reuse systems; numerous percolation pond system/rapid infiltration basin systems; spray irrigation systems; wetlands application systems; surface discharge systems; agricultural reuse systems; forest irrigation systems; as well as power plant reuse systems. A few projects include:

- Marion County Oak Run 1.6 MGD WWTP 2006
- Marion County Stonecrest 1.0 MGD WWTP 2006
- Flagler County Beverly Beach water and wastewater system including a 125,000 gpd/250,000 gpd AST/AWT Membrane Bio-reactor WWTP 2005
- Fernandina Beach WWTP Upgrades Filters, etc. 2003
- AUS, Inc./Poinciana 0.5 to 1.0 WWTP expansion WWTP #2 2000
- Utilities Commission, New Smyrna Beach 6.0 MGD AWT WWTP and appurtenant consulting activities, 2000.
- Avatar/Poinciana 0.5 MGD WWTP and spray irrigation WWTP #2 1998
- City of Inverness WWTP sludge stabilization improvements 1997
- Flagler Beach 1.0 MGD WWTP irrigation system upgrades and design 1996
- Monroe County Stock Island 0.125 MGD AST WWTP corrections 1995
- ORCA/NKLUA Key Largo 0.5 MGD WWTP 1995
- City of Cape Canaveral 1.8 MGD upgrade to advanced wastewater treatment levels



Environmental Group Practice Leader/Vice President

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with effluent disposal to a manmade wetland system and subsequently to the Banana River, 1994

- Vestavia, Alabama Old Overton 0.5 MGD AST WWTP 1994
- Town of Lexington, S.C. 1.5 MGD CMAS WWTP with discharge 14 mile creek 1994
- City of Palm Bay 0.5 MGD WWTP CMAS AST 1993
- City of Sanibel 1.6 MGD advanced wastewater treatment facility with effluent disposal to two non-restricted public access sites, 1993
- Southern States Utilities Inc. Venice Gardens Utility 2.5 MGD, Class I wastewater treatment facility with effluent disposal to non-restricted public access sites, rapid rate infiltration basins and sprayfield, 1992
- Glenmuir Subdivision, Orange County 25,000 gpd wastewater treatment plant, 1992
- Hillsborough County Northwest regional sludge management facility (25 dry tons per day), consisting of sludge storage, thickening, dewatering, in-vessel composting, and odor control, 1990
- Southern States Utilities Inc. Marco Island Utility wastewater treatment plant expansion from 2.5 to 3.5 MGD, AST, 1990

He has been involved in service area delineations, major customer agreements, wholesale sewer agreements, regionalization projects and many privatization assignments.

Mr. Hartman has participated in over 50 computer-assisted hydraulic analyses of wastewater transmission systems. He was involved in 40 wastewater treatment investigations, 12 sludge pilot testing programs, 14 effluent disposal pilot programs and investigations, several energy efficiency analyses, several odor control studies, and other process evaluations for operations. Mr. Hartman participated in 6 value engineering investigations. Many regionalization projects and privatization procurement projects oriented toward obtaining the most cost-effective alternatives for regional and private programs. He participated in both sanitary sludge management and disposal studies and co-authored the book entitled "Sludge Management and Disposal for the Practicing Engineer." He also participated in numerous lime sludge thickening, management, and utilization/disposal investigations. He has been involved in biosolids management and effluent utilization projects. He has permitted regional sludge stabilization and land application projects. Mr. Hartman has served as an expert regarding several sludge systems including ATAD, Micronair and N-Viro as well as others.

PUBLICATIONS/PRESENTATIONS

Mr. Hartman has presented several training sessions and seminars for the American Water Works Association, the American Society of Civil Engineers, the Water Environment Federation, and the Water and Pollution Control Operators Association. He has presented and/or published numerous papers on water, wastewater and utility management topics. His two (2) books and papers written since 1994 are shown below.



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BOOKS

- Hartman, G.C., Utility Management and Finance, (presently under contractual preparation with Lewis Publishing Company/CRC Press).
- Vesilind, P.A., Hartman, G.C., Skene, E.T., Sludge Management and Disposal for the Practicing Engineer; Lewis Publishers, Inc.; Chelsea, Michigan; 1986, 1988, 1991.

PAPERS/PRESENTATIONS (Since 1994)

- Hartman, G.C. and Wanielista, M. P. "Stormwater Reuse: The Utility Business Practice." 9th Biennial Conference on Stormwater Research & Watershed Management. May 2, 2007.
- Hartman, G.C. and R.J. Ori, "Water and Wastewater Utility Acquisition," AWWA National Management Specialty Conference, 1994.
- Hartman, G.C. and R.C. Copeland, "Utility Acquisitions Practices, Pitfalls and Management," AWWA Annual Conference, 1995.
- Hartman, G.C., "Safe Drinking Water Act," and "Stormwater Utilities," FLC Annual Meeting, 1995.
- Hartman, G.C., M.A. Rynning, and R.A. Terrero, "5-Year Reserve Capacity Can Customers Afford the Cost?" FSASCE Annual Meeting, 1996.
- Hartman, G.C., T.A. Cloud, and M.B. Alvarez, "Innovations in Water and Wastewater Technology," Florida Quality Cities, August 1996.
- Hartman, G.C., Seth Lehman, "Financing Utility Acquisitions," AWWAWEF Joint Management Conference, February 1997.
- Hartman, G.C., B.V. Breedlove, "Water: Where It Comes From and Where It Goes," FRT & G/FDEP Conference, September 1997.
- Hartman, G.C., W.D. Wagner, T.A. Cloud, and R.C. Copeland, "Outsourcing Programs in Seminole County," AWWAWEF/FPCOA Conference, November 1997.
- Hartman, G.C., M.B. Alvarez, J.R. Voorhees, and G.L. Basham, "Using Color as an Indicator to Comply with the Proposed D/DBP Rule," AWWA, Water Quality Technology Conference, November 1997.
- Hartman, G.C., "In-House, Outsourcing and the Not-for-Profit Utilities Option," Florida Government Finance Officers Association (FGFOA) Conference, March 27, 1998.
- Hartman, G.C. and D.P. Dufresne, "Understanding Groundwater Mounds A Key to Successful Design, Operation and Maintenance of Rapid Infiltration Basins," April 4-7, 1998, FWWA/WET/FPCOA Joint Meeting.
- Hartman, G.C. and Seth Lehman, "Financing Water Utilities Acquisition and Privatization Projects," AWWA Annual Conference, June 24, 1998.
- Hartman, G.C. contributing author, Chapter 14B, <u>Nichols on Eminent Domain</u>, RCNLD Valuation of Public Utilities, March 1999 Edition, Release No. 48.



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- Hartman, G.C., M.A. Rynning, and V. Hargray, "Assessment of Commercial Customer Water Impacts," AWWA 2000.
- Hartman, G.C., M. Sloan, N.J. Gassman, and D.M. Lee, "Developing a Framework to Balance Needs for Consumptive Use and Natural Systems with Water Resources Availability," WEF Watershed 2002 Specialty Conference, February 23-27, 2002.
- Hartman, G.C., "Utility Valuation," Wake Forest University Law School Seminar Series, February 7, 2003.
- Hartman, G.C., H.E. Schmidt, Jr. and M.S. Davis, "Biosolids Application in Rural DeSoto County, Florida," WEF/AWWA/CWEA Joint Residuals and Biosolids Management Conference, February 19-22, 2003.
- Hartman, G.C. and Dr. M. Wanielista, "Irrigation Quality Water Examples and Design Considerations," ASCE Conference, April 4, 2003.
- Hartman, G.C., M.A. Rynning and V. Hargray, "Assessing the Water Demands of Commercial Customer," WEF Volume 6, No. 4, July/August 2003 Utility Executive.
- Hartman, G.C., D. Cooper, N. Eckloff and R. Anderson, "Water," The Bond Buyer's Sixth Southeast Public Finance Conference, February 23, 2004.
- Wanielista, Marty and G.C. Hartman, "Regional Stormwater Facilities", Stormwater Management for Highways Transportation Research Board TRB AFB60, July 12, 2005.

ADDITIONAL EDUCATION

AWRA Seminars **AWWA Seminars** ASCE Seminars WEF Seminars **ASA Seminars** Ethics ASA, NSPE, PE USPAP 2003, 2004 & Exam ME 201 AC Machinery & Technical Specialties ASA ME 202 AC Machinery & Technical Specialties ASA ME 203 AC Machinery & Technical Specialties ASA ME 204 Machinery & Technical Specialties ASA Public Utilities Specialty Designation Exam Parts I. II. and III ASA AAEE Continuing Education NSPE Continuing Education P.E. (multiple states) Continuing Education ASA Continuing Education





May 11, 2007

A070263.00
GAI #-pending

Martin S. Friedman, Esquire Rose, Sundstrom & Bentley, LLP Sanlando Center 2180 West State Road 434 Suite 2118 Longwood, FL 32779

Subject: Sun River Utilities, Inc. PSC Application to Extend Its Service Area

Dear Mr. Friedman:

This letter constitutes Hartman Consulting & Design/GAI Consultants, Inc.'s (HCD/GAI) proposal to serve Sun River Utilities, Inc. concerning the expansion of its utility service area in Charlotte County, Florida. Your co-counsel will be Mr. Bob Brannan from your firm in Tallahassee. The client is Sun River Utilities, Inc. with the manager being Mr. Tony Reeves.

We will provide utility management consulting support relative to the FPSC application and service area expansion. We understand that certain local engineering services and coordination will be conducted with another firm as part of the team. We will provide such activities as direct written testimony, depositions, discovery request for responses, deposition materials, litigation services, written rebuttal testimony, exhibits, and final written and oral testimony as may be required through the process.

We will utilize our hourly schedule attached hereto for this activity. The schedule shall be as required for the process. We will use the appropriate personnel from our firm to provide the necessary support in this endeavor.

Martin S. Friedman, Esquire May 11, 2007 Page 2

We appreciate the opportunity the technical expertise which you desire. Upon receipt of the executed copy of this proposal we will consider that our notice to proceed based upon the direction provided to our firm.

Very truly yours,

Hartman Consulting & Design a subsidiary of GAI Consultants, Inc.

Hartman, PE, BCEE, ASA

Vice President

Accepted by:

Sun River Utilities, Inc.

Martin S. Friedman, Esquire Attorney for Sun River Utilities, Inc.

Rose Sundstrom & Bentley, P. A.

GCH/jev/pending/corresp/Proposal

Arthur J. Koerber, P.E., GAI Cc:

Rick Cima, P.E., GAI



HARTMAN CONSULTING & DESIGN A Subsidiary of GAI Consultants, Inc.

1/1/07 – 12/31/07 <u>Rate Schedule</u> (Applies only to new 2007 clients)

Classification	Rate per Hour
Principal Engineer	\$210
Sr. Consultant	\$190
Certified Public Accountant	\$170
Sr. Professional Engineer	\$150
Professional Engineer / Production Manager / Sr. Constr. Mgr.	\$130
Consultant/Sr. Designer/Const. Eng.	\$100
Engineer/Funding Specialist	\$90
Finance Analyst /MBA / Constr. Specialist	\$85
Designer / Sr. CAD	\$80
Project Support	\$60
Junior Designer	\$60
Engineering Assistant	\$55
2-Person Survey Crew	\$105
Survey Crew with Auto Instrument	\$120
3-Person Survey Crew	\$140
4-Person Survey Crew	\$170
Professional Surveyor & Mapper	\$130
Survey Project Manager	\$95
Field Supervisor	\$90

Robert C. Brannan, Esq. Rose, Sundstrom, & Bentley, LLP 2548 Blairstone Pines Drive Tallahassee, Florida 32301

January 24, 2007

Request for inclusion into service territory of MSM Utilities, LLC Ře:

Dear Mr. Brannan,

I am the owner of the parcels (ID #'s provided) along US 17 in Charlotte County, Florida. I understand that you are making an application for an extension of the certificated utility service territory of MSM Utilities, LLC near our land and that of your clients.

We would appreciate your adding our property to your application for "addition to the utility service territory".

We believe our property is in a location that will be vital to Charlotte County citizens in the future and we wish to be part of that consideration.

Sincerely,

Le suy use also and Eugene Schwartz Charlotte County, Florida

December 18, 2006

Mr. Tony Roces
RF: Interest in Water and Sewer Services by Sun River Utilities
2401/2351, 3011 Duncan Road, Charlotte County (Parcel ID#0070986-090200-9) (Parcel ID#0071112-000700-9)

Dear Mr. Reeves:

Please be advised that as the owner of 2401/2351, 3011 Duncan Road, referenced above, I am very interested in receiving Water and Sewer Service by Sun River Utilities, and agree to cooperate with Sun RiverUtilities in applying to the Public Service Commission to have my property located at 2401/2251, 3011 Duncan Road included in the certificated territory of Sun River Utilities.

Please keep me informed as the approval process continues.

Sincerely,

Robert Scott Keenan

Hudson-Sun River, LLC

January 3, 2007

Mr. A. A. Reeves, Utility Director Sun River Utilities, Inc. 5660 Bayshore Road, Suite 36 North Fort Myers, Florida 33917

Dear Mr. Reeves:

Hudson Sun-River, LLC ("Hudson Sun-River") currently has the Hudson Ranch property (approximately 2,458 acres) under contract to purchase. As the future owners of the site, we believe that the availability of water and sewer is important to our development plans. Accordingly, Hudson Sun-River is very interested in receiving water and sewer services from Sun River Utilities, Inc. ("Sun River Utilities"), and we request to have our site included into the certificated service territory of Sun River Utilities. We agree to cooperate with Sun River Utilities in applying to the Public Service Commission for said extension of the certificated service territory. A legal description of the property is attached.

If you have any questions, or need further in formation, please do not hesitate to contact me.

Marin G. Berger Janaging Member

Hudson Sun-River, LLC

C 37

