

In re: Petition for increase in rates by Progress Energy Florida, Inc.

FILED: AUGUST 24, 2009

DOCKET NO. 090079-EI

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the DIRECT TESTIMONY OF

RHONDA L. HICKS, on behalf of the Florida Public Service Commission, has been furnished to

the following, by electronic and U.S. Mail, on this 24th day of August, 2009:

Progress Energy Florida, Inc. Paul Lewis Jr. 106 East College Avenue, Suite 800 Tallahassee, FL 32301-7740

Florida Retail Federation Robert Scheffel Wright Young van Assenderp, P.A. 225 South Adams Street, Suite 200 Tallahassee, FL 32301

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WITNESS: Direct Testimony of Rhonda L. Hicks, Florida Public Service Commission; Appearing on Behalf of the Staff of the Florida Public Service Commission.

DATE FILED: August 24, 2009

DOCUMENT NUMBER-DATE 0 8797 AUG 24 8 FPSC-COMMISSION CLERK

1	DIRECT TESTIMONY OF RHONDA L. HICKS
2	Q. Please state your name and address.
3	A. My name is Rhonda L. Hicks. My address is 2540 Shumard Oak Boulevard;
4	Tallahassee, Florida; 32399-0850.
5	Q. By whom are you employed and in what capacity?
6	A. I am employed by the Florida Public Service Commission (FPSC or Commission) as
7	Chief of the Bureau of Consumer Assistance in the Division of Service, Safety, and
8	Consumer Assistance.
9	Q. Please give a brief description of your educational background and professional
10	experience.
11	A. I graduated from Florida A&M University in 1986 with a Bachelor of Science degree
12	in Accounting. I have worked for the FPSC for 23 years. I have varied experience in
13	the electric, gas, telephone, and water and wastewater industries. My work experience
14	includes rate cases, cost recovery clauses, depreciation studies, tax, audit, consumer
15	outreach and consumer complaints. I currently work in the Bureau of Consumer
16	Assistance within the Division of Service, Safety, and Consumer Assistance where I
17	manage consumer complaints and inquiries.
18	Q. What is the function of the Bureau of Consumer Assistance?
19	A. The bureau's function is to resolve disputes between regulated companies and their
20	customers as quickly, effectively, and inexpensively as possible.
21	Q. Do all consumers, who have disputes with their regulated company, contact the Bureau
22	of Consumer Assistance?
23	A. No. Consumers may initially file their complaint with the regulated company and
24	reach resolution without the bureau's intervention. In fact, consumers are encouraged
25	to allow the regulated company the opportunity to resolve the dispute prior to any
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1	Commission involvement.
2	Q. What is the purpose of your testimony?
	A. The purpose of my testimony is to advise the Commission of the number of consumer
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4	complaints logged against Progress Energy Florida, Inc. (PEF) under Rule 25-22.032,
5	Florida Administrative Code, Consumer Complaints, from July 1, 2007 through June
6	30, 2009. My testimony will also provide information on the type of complaints
7	logged and those complaints that appear to be rule violations.
8	Q. What do your records indicate concerning the number of complaints logged against
9	PEF?
10	A. From July 1, 1007, through June 30, 2009, the FPSC logged 5,611 complaints against
11	PEF. Of those, 4,386 complaints were transferred directly to the company for
12	resolution via the Commission's Transfer-Connect Program.
13	Q. What have been the most common types of complaints logged against PEF?
14	A. During the specified time period, approximately 63 percent or 3,559 of the complaints
15	logged with the Commission concerned billing issues, while approximately 37 percent
16	or 2,052 of the complaints involved quality of service issues.
17	Q. Do you have any exhibits attached to your testimony?
18	A. Yes. I am sponsoring Exhibits RLH-1 and RLH-2.
19	Q. Would you explain Exhibit RLH-1?
20	A. Yes. Exhibit RLH-1 is a summary listing of complaints logged against PEF under
21	Rule 25-22.032, Florida Administrative Code. The complaints, received July 1, 2007,
22	through June 30, 2009, were captured in the Commission's Consumer Activity
23	Tracking System (CATS). The summary groups the complaints by Close Type and
24	within each Close Type, the complaints are segregated by Pre-Close Type. The first
25	grouping is Pre-Close types that are still pending. The remaining groupings are

1	categorized by Close Type codes such as EB-01, EB-02, EB-12, etc.
2	Q. What is a Pre-Close Type?
3	A. A Pre-Close Type is an internal categorization code that is applied to each complaint
4	upon receipt. A complaint is assigned a Pre-Close Type based solely on the initial
5	information provided by the consumer.
6	Q. What is a Close Type?
7	A. A Close Type is also an internal categorization code. It is assigned to each complaint
8	once staff completes its investigation and a proposed resolution is provided to the
9	consumer. In some instances, the Pre-Close Type will differ from the Close Type
10	because staff's investigation reveals facts that were not available upon receipt of the
11	complaint.
12	Q. A great majority of complaints were resolved as Close Type GI-02, Courtesy
13	Call/Warm Transfer. Can you explain this Close-Type?
14	A. Yes. PEF participates in the Commission's Transfer-Connect (Warm Transfer)
15	System. This system allows the Commission to directly transfer a customer to the
16	company's customer service personnel. Once the call is transferred to PEF, it provides the
17	customer with a proposed resolution. Customers who are not satisfied with the company's
18	proposed resolution have the option of recontacting the Commission. While the
19	Commission is able to assign a Pre-Close Type to each of the complaints in this category,
20	a specific Close-Type is not assigned because the proposed resolution is provided by
21	Progress Energy Florida. Consequently, the assigned Close-Type allows staff to monitor
22	the number of complaints resolved via the Commission's Transfer-Connect System.
23	Q. How many of the complaints summarized on your exhibit has staff determined may be
24	a violation of Commission rules?
25	A. Of PEF's 5,611 complaints, staff determined that 17 appear to be violations of

1	Commission rules. The 17 complaints that appear to be violations of Commission
2	rules are summarized on Exhibit RLH-2.
3	Q. Would you explain Exhibit RLH-2?
4	A. Exhibit RLH-2 is a summary chart of the 17 complaints that appear to violations of
5	Commission rules. The chart provides the complaint number, close type and the nature
6	of each apparent rule violation.
7	R. How does the Bureau of Consumer Assistance handle apparent rule violations?
8	A. Apparent rule violations are closely monitored by bureau management. If an apparent
9	violation is habitual or if it appears that an apparent violation could impact the entire
10	customer base, technical staff is notified and forwarded a copy of the complaint(s).
11	Following its review, technical staff determines if Commission action is needed.
12	Q. Does this conclude your testimony?
13	A. Yes, it does.
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08/17/2009



FLORIDA PUBLIC SERVICE COMMISSION COMPLAINTS BY CLOSE TYPE FOR SINGLE COMPANY RECEIVED BETWEEN 07/01/2007 AND 06/30/2009

FOR PROGRESS ENERGY FLORIDA, INC.

Docket No. 090079 – ET Summary of Complaints Exh. RLH-1, page 1 of 7

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TYPE:	EB-24 BILL	ING WRONG CUSTOMER	· · · · · · · · · · · · · · · · · · ·	
Total Case	es For PreClose Type:	IMPROPER BILLS	1	- Docket No. 090079 - EI- Summary of Complaints
Total Case	es For Type EB-24	1		Exh. RLH-1, page 2 of 7
TYPE:	EB-49 FAIL	URE TO RESPOND TIMELY TO		
Total Cas	es For PreClose Type:	IMPROPER BILLS	1	
Total Case	es For Type EB-49	1		
TYPE:	EB-50 Failu	re to provide res to cust in 15 wd		· · · · · · · · · · · · · · · · · · ·
Total Cas	es For PreClose Type:	IMPROPER BILLS	1	·····
Total Case	es For Type EB-50	1		
TYPE:	ES-12 DELA	AY IN CONNECTING INITIAL SERVICE	C	
Total Cas	es For PreClose Type:	DELAY IN CONNECTION	1	
Total Case	es For Type ES-12	1		
TYPE:	ES-23 ERR	OR IN METER RECORD ·		
Total Case	es For PreClose Type:	QUALITY OF SERVICE	1	
Total Case	es For Type ES-23	1		
TYPE:	ES-49 FAIL	URE TO RESPOND TO COMMISSION		
Total Cas	es For PreClose Type:	QUALITY OF SERVICE	1	
Total Cas	es For PreClose Type:	SAFETY ISSUE	1	
Total Case	es For Type ES-49	2		
TYPE:	ES-51 Failu	re to respond in 7 wkdy to staff req		
Total Cas	es For PreClose Type:	OUTAGES	1	
Total Cas	es For PreClose Type:	QUALITY OF SERVICE	1	
Total Case	es For Type ES-51	2		
TYPE:	GI-02 COU	RTESY CALL/WARM TRANSFER		
Total Cas	es For PreClose Type:	DELAY IN CONNECTION	159	
Total Cas	es For PreClose Type:	DEPOSIT	225	
Total Cas	es For PreClose Type:	FCC-OTHER (SVC)	1	
Total Cas	es For PreClose Type:	IMPROPER BILLS	648	
Total Cas	es For PreClose Type:	IMPROPER DISCONNECTS	159	
Total Cas	es For PreClose Type:	OUTAGES	94	
Total Cas	es For PreClose Type:	PAYMENT ARRANGEMENT	2085	
Total Cas	es For PreClose Type:	PREPAID CALLING CARDS	1	
Total Cas	es For PreClose Type:	QUALITY OF SERVICE	918	

Total Cases For PreClose Type: RE	CPAIR	45	Docket No. 090079 - Eエ
Total Cases For PreClose Type: SAI	FETY ISSUE	51	Summary of Complaints
Total Cases For Type GI-02 438	86		Exh. RLH-1, page 3 of 7
TYPE: GI-03 PAYMEN	NT ARRANGEMENTS		
Total Cases For PreClose Type: IMI	IPROPER BILLS	1	
Total Cases For PreClose Type: PAY	YMENT ARRANGEMENT	1	
Total Cases For PreClose Type: RE	EPAIR	1	
Total Cases For Type GI-03 3			
TYPE: GI-05 HIGH BIL	LL ·		
Total Cases For PreClose Type: IMI	IPROPER BILLS	76	
Total Cases For PreClose Type: IMI	IPROPER DISCONNECTS	1	
Total Cases For PreClose Type: QU	JALITY OF SERVICE	7	
Total Cases For PreClose Type: SAI	FETY ISSUE	1	
Total Cases For Type GI-05 85			
TYPE: GI-06 CURRENT	IT DIVERSION		
Total Cases For PreClose Type: DE	ELAY IN CONNECTION	1	
Total Cases For PreClose Type: IM	IPROPER BILLS	2	
Total Cases For Type GI-06 3			
TYPE: GI-08 RULES &	tariffs		
Total Cases For PreClose Type: DE	ELAY IN CONNECTION	1	
Total Cases For PreClose Type: DE	EPOSIT	3	
Total Cases For PreClose Type: IMI	IPROPER BILLS	8	
Total Cases For PreClose Type: QU	JALITY OF SERVICE	3	
Total Cases For PreClose Type: SAI	FETY ISSUE	1	
Total Cases For Type GI-08 16			
TYPE: GI-11 REPAIRS	SERVICE ·		
Total Cases For PreClose Type: OU	JTAGES	3	
Total Cases For PreClose Type: QU	JALITY OF SERVICE	2	
Total Cases For PreClose Type: RE	EPAIR	33	
Total Cases For PreClose Type: SAI	FETY ISSUE	2	
Total Cases For TypeGI-1140			

TYPE: GI-14	FILE	D FOR INFORMATION		Docket No. 090079 – EI_
Total Cases For PreClose 7	Гуре:	IMPROPER BILLS	1	Summary of Complaints
Total Cases For PreClose 1	Гуре:	IMPROPER DISCONNECTS	1	Exh. RLH-1, page 4 of 7
Total Cases For Type GI-1	14	2		
TYPE: GI-15	OUTA	AGES (All Industries)	· · · · · · · · · · · · · · · · · · ·	
Total Cases For PreClose 1	Гуре:	OUTAGES	142	
Total Cases For PreClose 7	Гуре:	PAYMENT ARRANGEMENT	1	
Total Cases For PreClose 7	Гуре:	QUALITY OF SERVICE	3	
Total Cases For PreClose 7	Гуре:	REPAIR	7	
Total Cases For PreClose 1	Гуре:	SAFETY ISSUE	2	
Total Cases For Type GI-1	15	155		
TYPE: GI-17	SAFE	TY ISSUES		· · · · · · · · · · · · · · · · · · ·
Total Cases For PreClose 1	Гуре:	QUALITY OF SERVICE	1	
Total Cases For PreClose 1	Гуре:	REPAIR	1	
Total Cases For PreClose 1	Гуре:	SAFETY ISSUE	15	
Total Cases For Type GI-1	17	17		
TYPE: GI-18	TREE	TRIMMING		
Total Cases For PreClose 1	Гуре:	OUTAGES	1	
Total Cases For PreClose 7	Гуре:	QUALITY OF SERVICE	8	
Total Cases For PreClose 7	Гуре:	SAFETY ISSUE	1	
Total Cases For Type GI-1	18	10		
TYPE: GI-19	мом	ENTARY ELECTRIC OUTAGES(LESS		
Total Cases For PreClose 7	Гуре:	OUTAGES	1	
Total Cases For Type GI-1	19	1		
TYPE: GI-25	IMPR	OPER BILLING (ADDED 7/03)		
Total Cases For PreClose 1	Гуре:	DELAY IN CONNECTION	1	
Total Cases For PreClose 7	Гуре:	DEPOSIT	5	
Total Cases For PreClose 7	Гуре:	IMPROPER BILLS	247	
Total Cases For PreClose 7	Гуре:	IMPROPER DISCONNECTS	10	
Total Cases For PreClose 1	Гуре:	QUALITY OF SERVICE	17	
Total Cases For PreClose 7	Гуре:	REPAIR	2	
Total Cases For Type GI-2	25	282		

TYPE:	GI-26 B	BILLING WRONG CUSTOMER (AD	DED 7/03)	
Total Case	es For PreClose Ty	ype: IMPROPER BILLS	1	Docket No. 090079 – E I Summary of Complaints
Total Case	s For Type GI-26	δ 1		Exh. RLH-1, page 5 of 7
TYPE:	GI-28 I	MPROPER DISCONNECT (ADDED	7/03)	
Total Case	es For PreClose Ty	ype: DELAY IN CONNECTION	2	
Total Case	es For PreClose Ty	ype: IMPROPER BILLS	2	
Total Case	es For PreClose Ty	ype: IMPROPER DISCONNECTS	26	
Total Case	es For PreClose Ty	ype: QUALITY OF SERVICE	1	
Total Case	s For Type GI-28	8 31		
TYPE:	GI-29 E	DELAY IN CONNECTION (ADDED7	//03)	
Total Case	es For PreClose Ty	ype: DELAY IN CONNECTION	32	
Total Case	es For PreClose Ty	ype: IMPROPER DISCONNECTS	1	
Total Case	es For PreClose Ty	ype: OUTAGES	1	
Total Case	s For Type GI-29	9 34		
TYPE:	GI-30 (QUALITY OF SERVICE (ADDED 7/0	3)	
Total Case	es For PreClose T	ype: DELAY IN CONNECTION	2	
Total Case	es For PreClose Ty	ype: DEPOSIT	1	
Total Case	es For PreClose Ty	ype: IMPROPER BILLS	4	
Total Case	es For PreClose Ty	ype: IMPROPER DISCONNECTS	3	
Total Case	es For PreClose Ty	ype: OUTAGES	3	
Total Case	es For PreClose Ty	ype: QUALITY OF SERVICE	102	
Total Case	es For PreClose Ty	ype: REPAIR	3	
Total Case	s For Type GI-30	0 118		
TYPE:	GI-31 F	ESTIMATED METER READINGS (A	ADDED 7/03)	
Total Case	es For PreClose T	ype: IMPROPER BILLS	1	
Total Case	s For Type GI-31	1 1		
TYPE:	GI-32 P	PROCESS REVIEW CASE		
Total Case	es For PreClose Ty	ype: DELAY IN CONNECTION	1	
Total Case	es For PreClose Ty	ype: DEPOSIT	2	
Total Case	es For PreClose Ty	ype: IMPROPER BILLS	16	
Total Case	es For PreClose Ty	ype: IMPROPER DISCONNECTS	1	
Total Case	es For PreClose Ty	ype: QUALITY OF SERVICE	6	
Total Case	es For PreClose Ty	ype: Repair	1	

Total Cases For Type GI-32 27		
TYPE: GI-72 72 HOUR RULE		Docket No. 090079 – EI Summary of Complaints
Total Cases For PreClose Type: DELAY IN CONNECTION	23	Exh. RLH-1, page 6 of 7
Total Cases For PreClose Type: DEPOSIT	17	
Total Cases For PreClose Type: IMPROPER BILLS	137	
Total Cases For PreClose Type: IMPROPER DISCONNECTS	27	
Total Cases For PreClose Type: OUTAGES	28	
Total Cases For PreClose Type: PAYMENT ARRANGEMENT	3	
Total Cases For PreClose Type: QUALITY OF SERVICE	58	
Total Cases For PreClose Type: REPAIR	10	
Total Cases For PreClose Type: SAFETY ISSUE	2	
Total Cases For Type GI-72 305		
TYPE: GI-99 OTHER		
Total Cases For PreClose Type: DELAY IN CONNECTION	1	
Total Cases For PreClose Type: IMPROPER BILLS	3	
Total Cases For PreClose Type: IMPROPER DISCONNECTS	2	
Total Cases For PreClose Type: QUALITY OF SERVICE	1	
Total Cases For Type GI-99 7		
TYPE: NJ-04 DAMAGE CLAIM		
Total Cases For PreClose Type: IMPROPER BILLS	1	
Total Cases For PreClose Type: OUTAGES	3	
Total Cases For PreClose Type: QUALITY OF SERVICE	4	
Total Cases For PreClose Type: REPAIR	2	
Total Cases For PreClose Type: SAFETY ISSUE	2	
Total Cases For Type NJ-04 12		
TYPE: NJ-14 TAXES	· • • • • • • • • • • • • • • • • • • •	
Total Cases For PreClose Type: QUALITY OF SERVICE	1	
Total Cases For Type NJ-14 1		

TYPE: NJ-99 OTHER		
Total Cases For PreClose Type: QUALITY OF SERVICE	1	Docket No. 090079 – EI
Total Cases For Type NJ-99 1		Summary of Complaints Exh. RLH-1, page 7 of 7
TYPE: PR-03 DEPOSITS		
Total Cases For PreClose Type: DEPOSIT	25	
Total Cases For PreClose Type: IMPROPER BILLS	6	
Total Cases For PreClose Type: QUALITY OF SERVICE	3	
Total Cases For Type PR-03 34		
TYPE: PR-05 BACKBILLING		
Total Cases For PreClose Type: IMPROPER BILLS	2	
Total Cases For Type PR-05 2		
TYPE: PR-06 RULES & TARIFFS	· · ·	
Total Cases For PreClose Type: IMPROPER BILLS	2	
Total Cases For PreClose Type: QUALITY OF SERVICE	1	
Total Cases For Type PR-06 3		
TYPE: PR-24 FUEL ADJUSTMENT CHARGE		
Total Cases For PreClose Type: IMPROPER BILLS	2	
Total Cases For Type PR-24 2		
Total Complaints Late Responding: 34		
Total Complaints Infraction: 17		***
Grand Total: 5611		**Category *I = INFRACTION *C=NON-INFRACTION

Progress Energy Florida Summary of Apparent Rule Violations For the period July 1, 2007 through June 30, 2009

	Complaint No	Close Type	Nature of Apparent Rule Violation
1	0745168E	EB-50	Failure to provide resolution to the customer within 15 days 25-22.032
2	0749497E	EB-24	Billing wrong customer
3	0765021E	EB-49	Failure to respond timely to Commission
4	0770497E	ES-51	Failure to respond timely to Commission
5	0772860E	EB-02	Improper meter reading estimates
6	0774689E	ES-49	Failure to respond timely to Commission
7	0780855E	EB-02	Improper meter reading estimates
8	0784027E	EB-21	Deposit not refunded
9	0785159E	ES-49	Failure to respond timely to Commission
10	0787566E	EB-21	Deposit not refunded
11	0801371E	EB-19	Excessive deposit requested
12	0802701E	EB-01	Improper rates applied
13	0807666E	EB-12	Inaccurate meter readings
14	0815753E	ES-12	Delay in connecting initial service
15	0827697E	ES-51	Failure to respond timely to Commission
16	0833475E	EB-02	Improper meter reading estimates
17	0837635E	ES-23	Error in meter record