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DIVISION OF REGULATORY ANALYSIS
BETH W. SALAK
DIRECTOR
(850) 413-6600

Public Service Commission

December 30, 2011

RECEIVED-FPSC
12 JAN -3 AM 9:42
COMMISSION
CLERK

Mr. Thomas M. Forte
Consultant to Unity III Telecom, LLC.
2600 Maitland Center Parkway, Suite 300
Maitland, FL 32751

Re: Docket No. 110315-TX, Application for certificate to provide competitive local exchange telecommunications service by Unity III Telecom, LLC.

Dear Mr. Forte:

Staff is seeking additional information regarding the Unity III Telecom, LLC petition for a competitive local exchange company certificate in Florida. Please provide a written response to each of the questions in the enclosed data request on or before January 27, 2012. Unity III Telecom, LLC may avail itself of the statutory confidential provisions of Section 364.183, Florida Statutes, and Rule 25-22.006, Florida Administrative Code, if it believes it is necessary to comply with this data request. If you have any questions, please contact Bob Casey at (850) 413-6974 or Kiwanis Curry at (850) 413-6662. Thank you.

Sincerely,

A handwritten signature in black ink, appearing to read "Beth Salak".

Beth Salak
Director

Enclosure

cc: Division of Regulatory Analysis (Trapp, Curry, Casey)
Office of General Counsel (McCay, Teitzman)
Docket No. 110315-TX

DOCUMENT NUMBER - DATE
00012 JAN -3 2012
FPSC-COMMISSION CLERK

- 1) Please provide a list of each owner, corporate officer and managing member of Unity III Telecom, LLC (Unity) and explain what each person's responsibilities will be for the new applicant.
- 2) Are any owners, corporate officers, or managing members of Unity also owners, corporate officers, or managing members of any other companies that provide voice communications service? If so, list the companies.
- 3) Have any Unity owners, officers, or managing members been charged or convicted of a criminal offense? If so, please provide details as to who, when, and where the charges or convictions occurred.
- 4) Have any Unity owners, officers, or managing members been involved in any civil litigation in which a Unity owner, officer, or managing member has been deposed or has been a plaintiff, a defendant, or a witness in their capacity as an officer, manager, or managing member of a voice communications provider? If so, please provide details as to who, when, and where the civil litigation occurred.
- 5) Question 17(b) of the CLEC application asks if any of the officers, directors, or any of the ten largest stockholders have previously been granted or denied a competitive local exchange certificate in the State of Florida (this includes active and canceled competitive local exchange certificates). If yes, provide explanation and list the certificate holder and certificate number. Unity answered "No."

The application lists Charles Schneider as President & CEO of Unity. The Florida PSC's Master Commission Directory currently lists Charles Schneider as dPi Teleconnect's Senior Vice President of Compliance and Regulation.

The application also lists Chuck Hartley as Vice President of Operations for Unity. The FCC form 499 filing database as of 10/5/11, shows Chuck Hartley as an officer of dPi Teleconnect.

The Managerial Profiles included in the application for each of these persons does not include any mention of dPi Teleconnect in their history, yet Unity's application in North Carolina identifies dPi Teleconnect, LLC as a Unity affiliate. Please explain these discrepancies.

- 6) Question 17(c) of the CLEC application asks if any of the officers, directors, or any of the ten largest stockholders have previously been an officer, director, partner or stockholder in any other Florida certificated or registered telephone company. If yes, give name of company and relationship. If no longer associated with company, give reason why not. Unity answered "Yes – Tag Mobile, LLC."

Docket No. 110315-TX
Unity III Telecom, LLC 1st Data Request
December 30, 2012

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The Managerial Profiles included in the application for each of these persons does not include any mention of dPi Teleconnect in their history, yet Unity's application in North Carolina identifies dPi Teleconnect, LLC as a Unity affiliate. Please explain these discrepancies.

- 7) Please provide the physical location where Unity III Telecom, LLC will be keeping its books and records.
- 8) Unity's North Carolina "Application for Certificate of Public Convenience and Necessity to Offer Local Exchange and Exchange Access Telecommunications Service as a Competing Local Provider" (North Carolina application) states that Unity will not have managers and management of the company is reserved to the members. It names the governing person as Amvensys Capital Group, LLC. Please provide an organizational chart for Unity and Amvensys Capital Group, LLC.
- 9) Would Amvensys Capital Group, LLC be the "governing person" for Unity in Florida?
- 10) Unity's North Carolina application states that the names of Charles Schneider and Z. Ed Lateef should be deleted as managers along with their addresses. Please explain the reasoning.
- 11) The financial statements provided with the application appear to show that financing for Unity will be coming from other sources. Please identify these sources and explain the terms of the financing between Unity and these sources.