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CLASS "C"

WATER AND/OR WASTEWATER UTILITIES

(Gross Revenue of Less Than \$200,000 Each)

ANNUAL REPORT

OF

FRANCIS I UTILITY, LLC

Exact Legal Name of Respondent

SU 858-12-AR

Submitted To The

STATE OF FLORIDA

RECEIVED
FLORIDA PUBLIC SERVICE
COMMISSION
13 MAY 30 AM 11:50
DIVISION OF
ACCOUNTING & FINANCE

PUBLIC SERVICE COMMISSION

FOR THE

YEAR ENDED DECEMBER 31, 2012

GENERAL INSTRUCTIONS

1. Prepare this report in conformity with the 1996 National Association of Regulatory Utility Commissioners (NARUC) Uniform System of Accounts for Water and Wastewater Utilities as adopted by Rule 25-30.115 (1), Florida Administrative Code.
2. Interpret all accounting words and phrases in accordance with the Uniform System of Accounts (USOA). Commission Rules and the definitions on next page.
3. Complete each question fully and accurately, even if it has been answered in a previous annual report. Enter the word "None" where it truly and completely states the fact.
4. For any question, section, or page which is not applicable to the respondent enter the words "Not Applicable." Do not omit any pages.
5. Where dates are called for, the month and day should be stated as well as the year.
6. All schedules requiring dollar entries should be rounded to the nearest dollar.
7. Complete this report by means which result in a permanent record. You may use permanent ink or a typewriter. Do not use a pencil.
8. If there is not enough room on any schedule, an additional page or pages may be added provided the format of the added schedule matches the format of the schedule in the report. Additional pages should reference the appropriate schedules, state the name of the utility, and state the year of the report.
9. If it is necessary or desirable to insert additional statements for the purpose of further explanation of schedules, such statements should be made at the bottom of the page or on an additional page. Any additional pages should state the name of the utility and the year of the report, and reference the appropriate schedule.
10. The utility shall file the original and two copies of the report with the Commission at the address below, and keep a copy for itself. Pursuant to Rule 25-30.110 (3), Florida Administrative Code, the utility must submit the report by March 31 for the preceding year ending December 31.

Florida Public Service Commission
Division of Economic Regulation
2540 Shumard Oak Boulevard
Tallahassee, Florida 32399-0850
11. Pursuant to Rule 25-30.110 (7) (a), Florida Administrative Code, any utility that fails to file its annual report or extension on or before March 31, or within the time specified by any extension approved in writing by the Division of Economic Regulation, shall be subject to a penalty. The penalty shall be based on the number of calendar days elapsed from March 31, or from an approved extended filing date, until the date of filing. The date of filing shall be included in the days elapsed.

GENERAL DEFINITIONS

ADVANCES FOR CONSTRUCTION - This account shall include advances by or in behalf of customers for construction which are to be refunded either wholly or in part. (USOA)

ALLOWANCE FOR FUNDS USED DURING CONSTRUCTION (AFUDC) - This account shall include concurrent credits for allowance for funds used during construction based upon the net cost of funds used for construction purposes and a reasonable rate upon other funds when so used. Appropriate regulatory approval shall be obtained for "a reasonable rate". (USOA)

AMORTIZATION - The gradual extinguishment of an amount in an account by distributing such amount over a fixed period, over the life of the asset or liability to which it applies, or over the period during which it is anticipated the benefit will be realized. (USOA)

CONTRIBUTIONS IN AID OF CONSTRUCTION (CIAC) - Any amount or item of money, services, or property received by a utility, from any person or governmental agency, any portion of which is provided at no cost to the utility, which represents an addition or transfer to the capital of the utility, and which is utilized to offset the acquisition, improvement, or construction costs of the utility's property, facilities, or equipment used to provide utility services to the public. (Section 367.021 (3), Florida Statutes)

CONSTRUCTION WORK IN PROGRESS (CWIP) - This account shall include the cost of water or wastewater plant in process of construction, but not yet ready for services. (USOA)

DEPRECIATION - The loss in service value not restored by current maintenance, incurred in connection with the consumption or prospective retirement of utility plant in the course of service from causes which are known to be in the current operation and against which the utility is not protected by insurance. (Rule 25-30.140 (i), Florida Administrative Code)

EFFLUENT REUSE - The use of wastewater after the treatment process, generally for reuse as irrigation water or for in plant use. (Section 367.021 (6), Florida Statutes)

EQUIVALENT RESIDENTIAL CONNECTION (ERC) - (WATER) - (Rule 25-30.515 (8), Florida Administrative Code.)

- (a) 350 gallons per day;
- (b) The number of gallons a utility demonstrates in the average daily flow for a single family unit; or
- (c) The number of gallons which has been approved by the DEP for a single family residential unit.

EQUIVALENT RESIDENTIAL CONNECTION (ERC) - (WASTEWATER) - Industry standard of 80% of Water ERC or 280 gallons per day for residential use.

GUARANTEED REVENUE CHARGE - A charge designed to cover the utility's costs including, but not limited to the cost of the operation, maintenance, depreciation, and any taxes, and to provide a reasonable return to the utility for facilities, a portion of which may not be used and useful to the utility or its existing customers. (Rule 25-30.515 (9), Florida Administrative Code)

LONG TERM DEBT - All Notes, Conditional Sales Contracts, or other evidences of indebtedness payable more than one year from date of issue. (USOA)

PROPRIETARY CAPITAL (For proprietorships and partnerships only) - The investment of a sole proprietor, or partners, in an unincorporated utility. (USOA)

RETAINED EARNINGS - This account reflects corporate earnings retained in the business. Credits would include net income or accounting adjustments associated with correction of errors attributable to a prior period. Charges to this account would include net losses, accounting adjustments associated with correction of errors attributable to a prior period or dividends. (USOA)

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REPORT OF

FRANCIS I UTILITY, LLC

(EXACT NAME OF UTILITY)

<u>29 MIMI STREET, SEBRING, FL 33875</u> Mailing Address	<u>29 MIMI STREET, SEBRING, FL 33875</u> Street Address	<u>HIGHLANDS</u> County
---	--	----------------------------

Telephone Number (863) 385-0981 Date Utility First Organized December 1991

Fax Number (863) 385-4962 E-mail Address _____

Sunshine State One-Call of Florida, Inc. Member No. _____

Check the business entity of the utility as filed with the Internal Revenue Service:

Individual Sub Chapter S Corporation 1120 Corporation Partnership

Name, Address and phone where records are located: 29 MIMI STREET, SEBRING, FL 33875

Name of subdivisions where services are provided: FRANCIS MOBILE ESTATES AND FRANCIS 2 MOBILE HOME PARKS

CONTACTS:

Name	Title	Principle Business Address	Salary Charged Utility
Person to send correspondence: <u>Kasi Cummings</u>	<u>Park Manager</u>	<u>29 Mimi Street, Sebring , FL 33875</u>	
Person who prepared this report: <u>Deborah D. Swain</u>	<u>Milian, Swain & Associates, Inc.</u>	<u>2025 SW 32nd Ave Miami, FL 33145</u>	
Officers and Managers: <u>Tom Brennan</u>	<u>President</u>	<u>29 Mimi Street, Sebring , FL 33875</u>	\$ -
<u>Chuck Greer</u>	<u>Vice-President</u>	<u>29 Mimi Street, Sebring , FL 33875</u>	\$ -
<u>Donna Kalich</u>	<u>Secretary</u>	<u>29 Mimi Street, Sebring , FL 33875</u>	\$ -
<u>Roger W Hoover</u>	<u>Treasurer</u>	<u>29 Mimi Street, Sebring , FL 33875</u>	\$ -
<u>Tammy Ault</u>	<u>Director</u>	<u>29 Mimi Street, Sebring , FL 33875</u>	\$ -
<u>Judy Whitehead</u>	<u>Director</u>	<u>29 Mimi Street, Sebring , FL 33875</u>	\$ -
<u>Pat Griffin</u>	<u>Director</u>	<u>29 Mimi Street, Sebring , FL 33875</u>	\$ -
_____	_____	_____	_____
_____	_____	_____	_____

Report every corporation or person owning or holding directly or indirectly 5 percent or more of the voting securities of the reporting utility:

Name	Percent Ownership in Utility	Principle Business Address	Salary Charged Utility
<u>None</u>	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

INCOME STATEMENT

Account Name	Ref. Page	Water	Wastewater	Other	Total Company
Gross Revenue:					
Residential_____		\$ _____	\$ 92,974	\$ _____	\$ 92,974
Commercial_____		_____	19,185	_____	19,185
Industrial_____		_____	_____	_____	_____
Multiple Family_____		_____	_____	_____	_____
Guaranteed Revenues_____		_____	_____	_____	_____
Other_____		_____	150	_____	150
Total Gross Revenue_____		\$ 0	\$ 112,309	\$ _____	\$ 112,309
Operation Expense (Must tie to pages W-3 and S-3)					
Operation Expense (Must tie to pages W-3 and S-3)	W-3 S-3	\$ _____	\$ 119,474	\$ _____	\$ 119,474
Depreciation Expense_____	F-5	_____	6,765	_____	6,765
CIAC Amortization Expense_____	F-8	_____	-	_____	0
Taxes Other Than Income_____	F-7	_____	1,328	_____	1,328
Income Taxes_____	F-7	_____	_____	_____	_____
Total Operating Expense		\$ 0	127,566	0	\$ 127,566
Net Operating Income (Loss)		\$ 0	\$ (15,257)	\$ _____	\$ (15,257)
Other Income:					
Nonutility Income_____		\$ _____	_____	\$ _____	\$ 0
_____		_____	_____	_____	_____
_____		_____	_____	_____	_____
Other Deductions:					
Miscellaneous Nonutility Expenses_____		\$ _____	\$ _____	\$ _____	\$ _____
Interest Expense_____		_____	10,325	_____	10,325
Amortized Loan Costs_____		_____	_____	_____	0
_____		_____	_____	_____	_____
_____		_____	_____	_____	_____
Net Income (Loss)		\$ 0	\$ (25,582)	\$ 0	\$ (25,582)

COMPARATIVE BALANCE SHEET

ACCOUNT NAME	Reference Page	Current Year	Previous Year
Assets:			
Utility Plant in Service (101-105) -----	F-5,W-1,S-1	\$ 518,357	486,051
Accumulated Depreciation and Amortization (108) -----	F-5,W-2,S-2	461,021	462,256
Net Utility Plant -----		\$ 57,336	\$ 23,795
Cash -----		6,715	100
Customer Accounts Receivable (141) -----		13,168	
Other Assets (Specify): -----			
Utility Deposits -----			130
Accounts Receivable-Other -----			1,560
Undeposited Funds -----		69	(79,970)
Utility Plant Acquisition Adjustment -----			180,345
Total Assets -----		\$ 77,288	\$ 125,959
Liabilities and Capital:			
Common Stock Issued (201) -----	F-6	0	0
Preferred Stock Issued (204) -----	F-6		
Other Paid in Capital (211) -----		(124,437)	
Retained Earnings (215) -----	F-6	(99,937)	(74,355)
Proprietary Capital (Proprietary and partnership only) (218) -----	F-6		
Total Capital -----		\$ (224,374)	\$ (74,355)
Long Term Debt (224) -----	F-6	\$ 237,966	\$ 200,314
Accounts Payable (231) -----		10,696	0
Notes Payable (232) -----			
Customer Deposits (235) -----			
Accrued Taxes (236) -----			
Other Liabilities (Specify) -----			
Loans from Affiliates -----		53,000	
Rounding -----			
Advances for Construction -----			
Contributions in Aid of Construction - Net (271-272) -----	F-8	0	
Total Liabilities and Capital -----		\$ 77,288	\$ 125,959

GROSS UTILITY PLANT

Plant Accounts: (101 - 107) inclusive	Water	Wastewater	Plant other Than Reporting Systems	Total
Utility Plant in Service (101) -----	\$ _____	\$ <u>518,357</u>	\$ _____	\$ <u>518,357</u>
Construction Work in Progress (105)	_____	_____	_____	_____
Other (Specify) ----- _____ _____	_____ _____	_____ _____	_____ _____	_____ _____
Total Utility Plant -----	\$ <u>0</u>	\$ <u>518,357</u>	\$ <u>0</u>	\$ <u>518,357</u>

ACCUMULATED DEPRECIATION (A/D) AND AMORTIZATION OF UTILITY PLANT

Account 108	Water	Wastewater	Other Than Reporting Systems	Total
Balance First of Year-----	\$ _____	\$ <u>462,256</u>	\$ _____	\$ <u>462,256</u>
Add Credits During Year:				
Accruals charged to depreciation account---	\$ _____	\$ <u>6,765</u>	\$ _____	\$ <u>6,765</u>
	_____	_____	_____	_____
	_____	_____	_____	_____
Total Credits -----	\$ <u>0</u>	\$ <u>6,765</u>	\$ <u>0</u>	\$ <u>6,765</u>
Deduct Debits During Year:				
Book cost of plant retired-----	\$ <u>0</u>	\$ <u>8,000</u>	\$ _____	\$ <u>8,000</u>
Cost of removal-----	_____	_____	_____	_____
Other debits (specify)	_____	_____	_____	_____
Adjustments	_____	_____	_____	_____
Total Debits -----	\$ <u>0</u>	\$ <u>8,000</u>	\$ <u>0</u>	\$ <u>8,000</u>
Balance End of Year -----	\$ <u>0</u>	\$ <u>461,021</u>	\$ <u>0</u>	\$ <u>461,021</u>

CAPITAL STOCK (201 - 204)

	Common Stock	Preferred Stock
Par or stated value per share _____	_____	N/A
Shares authorized _____	_____	N/A
Shares issued and outstanding _____	_____	N/A
Total par value of stock issued _____	_____	N/A
Dividends declared per share for year _____	N/A	N/A

RETAINED EARNINGS (215)

	Appropriated	Un- Appropriated
Balance first of year _____	\$ _____	\$ (74,355)
Changes during the year (Specify):		
Balance transferred from Income Statement	_____	(25,582)
Prior Year Adjustment	_____	_____
_____	_____	_____
_____	_____	_____
Balance end of year _____	\$ _____	\$ (99,937)

PROPRIETARY CAPITAL (218)

	Proprietor Or Partner	Partner
Balance first of year _____	\$ _____	\$ _____
Changes during the year (Specify):		
_____	_____	_____
_____	_____	_____
_____	_____	_____
Balance end of year _____	\$ _____	\$ _____

LONG TERM DEBT (224)

Description of Obligation (Including Date of Issue and Date of Maturity):	Interest		Principal per Balance Sheet Date
	Rate	# of Pymts	
Mortgage Payable to BB&T Bank	_____	_____	\$ 237,966
_____	_____	_____	_____
_____	_____	_____	_____
Total _____			\$ 237,966

TAX EXPENSE

(a)	Water (b)	Wastewater (c)	Other (d)	Total (e)
Income Taxes:				
Federal income tax _____	\$ _____	\$ _____	\$ _____	\$ _____
State income Tax _____	_____	_____	_____	_____
Taxes Other Than Income:				
State ad valorem tax _____	_____	_____	_____	_____
Local property tax _____	_____	1,328	_____	1,328
Regulatory assessment fee _____	_____	_____	_____	_____
Other (Specify) _____	_____	_____	_____	_____
_____	_____	_____	_____	_____
Total Tax Expense _____	\$ _____ 0	\$ _____ 1,328	\$ _____ 0	\$ _____ 1,328

PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES

Report all information concerning outside rate, management, construction, advertising, labor relations, public relations, or other similar professional services rendered the respondent for which aggregate payments during the year to any corporation, partnership, individual, or organization of any kind whatever amounting to \$500 or more.			
Name of Recipient	Water Amount	Wastewater Amount	Description of Service
Shumaker, Loop & Kendrick	_____	1,680	Legal Fees
Pugh Utilities Service Inc.	_____	23,412	Management Fees / Operations
Milian, Swain & Associates, Inc.	_____	7,975	Professional Services
Polston Engineering	_____	9,000	Professional Engineering Services
RHY & R	_____	2,617	Legal Fees
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

CONTRIBUTIONS IN AID OF CONSTRUCTION (271)

(a)	Water (b)	Wastewater (c)	Total (d)
1) Balance first of year _____	\$ 0	\$ 271,039	\$ 271,039
2) Add credits during year _____			
3) Total _____	0	271,039	271,039
4) Deduct charges during the year _____			
5) Balance end of year _____	0	271,039	271,039
6) Less Accumulated Amortization _____	0	(271,039)	(271,039)
7) Net CIAC _____	\$ 0	\$ 0	\$ 0

ADDITIONS TO CONTRIBUTIONS IN AID OF CONSTRUCTION DURING YEAR (CREDITS)

Report below all developers or contractors agreements from which cash or property was received during the year.	Indicate "Cash" or "Property"	Water	Wastewater
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
Sub-total _____		\$ _____	\$ _____
Report below all capacity charges, main extension charges and customer connection charges received during the year.			
Description of Charge	Number of Connections	Charge per Connection	
_____	_____	\$ _____	\$ _____
_____	_____	_____	_____
_____	_____	_____	_____
Total Credits During Year (Must agree with line # 2 above.) _____			\$ _____

ACCUMULATED AMORTIZATION OF CIAC (272)

	Water	Wastewater	Total
Balance First of Year _____	\$ 0	(271,039)	(271,039)
Add Credits During Year: _____			0
Adjustments _____			
Deduct Debits During Year: _____			
Balance End of Year (Must agree with line #6 above.)	\$ 0	\$ (271,039)	\$ (271,039)

**** COMPLETION OF SCHEDULE REQUIRED ONLY IF AFUDC WAS CHARGED DURING YEAR ****

UTILITY NAME: FRANCIS I UTILITY, LLC

YEAR OF REPORT December 31, 2012
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SCHEDULE "A"

SCHEDULE OF COST OF CAPITAL USED FOR AFUDC CALCULATION (1)

Class of Capital (a)	Dollar Amount (b)	Percentage of Capital (c)	Actual Cost Rates (d)	Weighted Cost [c x d] (e)
Common Equity	\$ _____	_____ %	%	_____ %
Preferred Stock	_____	_____ %	%	_____ %
Long Term Debt	_____	_____ %	%	_____ %
Customer Deposits	_____	_____ %	%	_____ %
Tax Credits - Zero Cost	_____	_____ %	0.00 %	_____ %
Tax Credits - Weighted Cost	_____	_____ %	%	_____ %
Deferred Income Taxes	_____	_____ %	%	_____ %
Other (Explain)	_____	_____ %	%	_____ %
Total	\$ N/A	100.00 %		N/A %

(1) Must be calculated using the same methodology used to calculate AFUDC rate approved by the Commission.

APPROVED AFUDC RATE

Current Commission approved AFUDC rate:	_____ %
Commission Order Number approving AFUDC rate:	_____

WATER OPERATING SECTION

Note: This utility is a wastewater only utility; therefore, Pages W-1 through W-7 have been omitted from this report.

**WASTEWATER
OPERATING
SECTION**

WASTEWATER UTILITY PLANT ACCOUNTS

Acct. No. (a)	Account Name (b)	Previous Year (c)	Additions (d)	Retirements (e)	Current Year (f)
351	Organization_____	\$ 13,672	\$ _____	\$ _____	\$ 13,672
352	Franchises_____				
353	Land and Land Rights_____	1,768			1,768
354	Structures and Improvements_____	13,314	4,890		18,204
355	Power Generation Equipment_____				
360	Collection Sewers - Force_____	102,102			102,102
361	Collection Sewers - Gravity_____	178,572			178,572
362	Special Collecting Structures_____				
363	Services to Customers_____	293	2,434		2,727
364	Flow Measuring Devices_____				
365	Flow Measuring Installations_____				
370	Receiving Wells_____	1,623			1,623
371	Pumping Equipment_____				
380	Treatment and Disposal Equipment_____	132,570	16,193	(8,000)	140,763
	Chlorination Equipment_____	1,245			1,245
381	Plant Sewers_____	436	14,927		15,363
382	Outfall Sewer Lines_____	90			90
389	Other Plant and Miscellaneous Equipment_____	2,479	1,862		4,341
390	Office Furniture and Equipment_____	2,457			2,457
391	Transportation Equipment_____	34,579			34,579
392	Stores Equipment_____				
393	Tools, Shop and Garage Equipment_____				
394	Laboratory Equipment_____				
395	Power Operated Equipment_____	851			851
396	Communication Equipment_____				
397	Miscellaneous Equipment_____				
398	Other Tangible Plant_____				
	Total Wastewater Plant_____	\$ 486,051	\$ 40,306	\$ (8,000)	\$ 518,357 *

* This amount should tie to sheet F-5.

ANALYSIS OF ACCUMULATED DEPRECIATION BY PRIMARY ACCOUNT - WASTEWATER

Acct. No. (a)	Account (b)	Average Service Life in Years (c)	Average Salvage in Percent (d)	Depr. Rate Applied (e)	Accumulated Depreciation Balance Previous Year (f)	Debits (g)	Credits (h)	Accum. Depr. Balance End of Year (f-g+h=i) (i)
354	Structures and Improvements	22	%	4.55 %	\$ 8,239	\$	828	\$ 9,067
355	Power Generation Equipment		%	%				
360	Collection Sewers - Force	27	%	3.70 %	95,327		3,778	99,105
361	Collection Sewers - Gravity	40	%	2.50 %	178,572			178,572
362	Special Collecting Structures		%	%				
363	Services to Customers	35	%	2.86 %	154		78	232
364	Flow Measuring Devices		%	%				
365	Flow Measuring Installations		%	%				
370	Receiving Wells	15	%	6.67 %	1,623			1,623
371	Pumping Equipment		%	%				
380	Treatment and Disposal Equipment	18	%	5.56 %	132,570	8,000	900	125,470
	Chlorination Equipment	10	%	10.00	530		125	655
381	Plant Sewers	32	%	3.13 %	294		481	775
382	Outfall Sewer Lines	30	%	3.33 %	90			90
389	Other Plant and Miscellaneous Equipment	18	%	5.56 %	2,276		104	2,380
390	Office Furniture and Equipment	15	%	6.67 %	2,457			2,457
391	Transportation Equipment	6	%	16.67 %	34,579			34,579
392	Stores Equipment		%	%				
393	Tools, Shop and Garage Equipment		%	%				
394	Laboratory Equipment		%	%				
395	Power Operated Equipment	10	%	10.00 %	851			851
396	Communication Equipment		%	%				
397	Miscellaneous Equipment		%	%				
351	Organizational Costs	29	%	3.45 %	4,694		472	5,166
	Totals				\$ 462,256	\$ 8,000	\$ 6,765	\$ 461,021 *

* This amount should tie to Sheet F-5.

PUMPING EQUIPMENT

	MAIN	SUNR	TRIAN	VON	SURGE
Lift Station Number _____	1	2	3	4	5
Make or Type and nameplate data on pump _____	GOULD (2 EA)	GOULD (2 EA)	GOULD (2 EA)	GOULD (2 EA)	GOULD (1 EA)
Year installed _____	2012/2000	2012	2012	2012/2012	2012
Rated capacity _____	@1 HP	@ 3/4 HP	@ 3/4 HP	@ 3/4 HP	@ 3/4 HP
Size _____					
Power:					
Electric _____	YES	YES	YES	YES	YES
Mechanical _____					
Nameplate data of motor _____					

SERVICE CONNECTIONS

	VICORP	FME	FMEO	FME(TT)	F2FLAT	COMM	ECON
Size (inches) _____	2"	RENTAL	OWNED	METERED	RES	(5 EA)	INN
Type (PVC, VCP, etc.) _____		RES	RES	1-1/2"	RES	1"	1- 1/2"
Average length _____	UNK.	UNK.	UNK.	UNK.	UNK.	UNK.	UNK.
Number of active service connections _____							
Beginning of year _____	1	207	152	1	269	5	1
Added during year _____							
Retired during year _____							
End of year _____	1	207	152	1	269	5	1
Give full particulars concerning inactive connections _____							

COLLECTING AND FORCE MAINS

	Collecting Mains				Force Mains		
Size (inches) _____							
Type of main _____							
Length of main (nearest foot) _____							
Beginning of year _____							
Added during year _____							
Retired during year _____							
End of year _____							

MANHOLES

Size (inches) _____				
Type of Manhole _____				
Number of Manholes:				
Beginning of year _____				
Added during year _____				
Retired during year _____				
End of Year _____				

UTILITY NAME: FRANCIS I UTILITY, LLC

SYSTEM NAME: _____

YEAR OF REPORT December 31, 2012
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TREATMENT PLANT

Manufacturer _____ Type _____ "Steel" or "Concrete" _____ Total Permitted Capacity _____ Average Daily Flow _____ Method of Effluent Disposal _____ Permitted Capacity of Disposal _____ Total Gallons of Wastewater treated (000) _____	<u>DEFIANCE/DAVCO</u> <u>CONCRETE</u> <u>EXT. AERATION</u>	<u>MAROLF</u> <u>CONCRETE/STEEL</u> <u>EXT. AERATION</u>	<u>COMBINED</u> _____ _____ 87,000 29,784 _____ _____ 10,871
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MASTER LIFT STATION PUMPS

Manufacturer _____ Capacity (GPM's) _____ Motor: Manufacturer _____ Horsepower _____ Power (Electric or Mechanical) _____	_____ _____ <u>GOULD</u> <u>2@1HP</u> _____ <u>ELEC</u>	_____ _____ _____ _____ _____	_____ _____ _____ _____ _____	_____ _____ _____ _____ _____	_____ _____ _____ _____ _____
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PUMPING WASTEWATER STATISTICS

Months	Gallons of Treated Wastewater (000)	Effluent Reuse Gallons to Customers	Effluent Gallons Disposed of on site
January _____	1,283	_____	_____
February _____	1,241	_____	_____
March _____	1,436	_____	_____
April _____	1,050	_____	_____
May _____	829	_____	_____
June _____	568	_____	_____
July _____	569	_____	_____
August _____	659	_____	_____
September _____	743	_____	_____
October _____	781	_____	_____
November _____	873	_____	_____
December _____	839	_____	_____
Total for year _____	<u>10,871</u>	_____	_____

If Wastewater Treatment is purchased, indicate the vendor: _____

UTILITY NAME: FRANCIS I UTILITY, LLC

SYSTEM NAME: _____

YEAR OF REPORT
December 31, 2012

GENERAL WASTEWATER SYSTEM INFORMATION

Furnish information below for each system. A separate page should be supplied where necessary.

1. Present number of ERCs* now being served.	232
2. Maximum number of ERCs* which can be served.	311
3. Present system connection capacity (in ERCs*) using existing lines.	232
4. Future connection capacity (in ERCs*) upon service area buildout.	311
5. Estimated annual increase in ERCs*.	NONE
6. Describe any plans and estimated completion dates for any enlargements or improvements of this system _____ NONE _____	
7. If the utility uses reuse as a means of effluent disposal, provide a list of the reuse end users and the amount of reuse provided to each, if known.	
8. If the utility does not engage in reuse, has a reuse feasibility study been completed? NO If so, when? _____	
9. Has the utility been required by the DEP or water management district to implement reuse? NO If so, what are the utility's plans to comply with this requirement? _____	
10. When did the company last file a capacity analysis report with the DEP? _____	UNKNOWN
11. If the present system does not meet the requirements of DEP rules, submit the following: a. Attach a description of the plant upgrade necessary to meet the DEP rules. b. Have these plans been approved by DEP? _____ c. When will construction begin? _____ d. Attach plans for funding the required upgrading. e. Is this system under any Consent Order with DEP? _____	
12. Department of Environmental Protection ID # _____	D028-266552

* An ERC is determined based on one of the following methods:
(a) If actual flow data are available from the preceding 12 months:
Divide the total annual single family residence (SFR) gallons sold by the average number of single family residents (SFR) gallons sold by the average number of single family residence customers for the same period and divide the result by 365 days.

(b) If no historical flow data are available use:
ERC = (Total SFR gallons sold (omit 000/365 days/280 gallons per day).

CERTIFICATION OF ANNUAL REPORT

I HEREBY CERTIFY, to the best of my knowledge and belief:

- | | | | |
|--|---|----|--|
| YES
<input type="checkbox"/> | NO
<input checked="" type="checkbox"/> | 1. | The utility is in substantial compliance with the Uniform System of Accounts prescribed by the Florida Public Service Commission in Rule 25-30.115 (1), Florida Administrative Code. |
| YES
<input checked="" type="checkbox"/> | NO
<input type="checkbox"/> | 2. | The utility is in substantial compliance with all applicable rules and orders of the Florida Public Service Commission. |
| YES
<input checked="" type="checkbox"/> | NO
<input type="checkbox"/> | 3. | There have been no communications from regulatory agencies concerning noncompliance with, or deficiencies in, financial reporting practices that could have a material effect on the financial statement of the utility. |
| YES
<input checked="" type="checkbox"/> | NO
<input type="checkbox"/> | 4. | The annual report fairly represents the financial condition and results of operations of the respondent for the period presented and other information and statements presented in the report as to the business affairs of the respondent are true, correct, and complete for the period for which it represents. |

Items Certified

- | | | | |
|---|---|---|---|
| 1.
<input checked="" type="checkbox"/> | 2.
<input checked="" type="checkbox"/> | 3.
<input checked="" type="checkbox"/> | 4.
<input checked="" type="checkbox"/> |
|---|---|---|---|



(signature of chief executive officer of the utility)

- | | | | |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|
| 1.
<input type="checkbox"/> | 2.
<input type="checkbox"/> | 3.
<input type="checkbox"/> | 4.
<input type="checkbox"/> |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|

(signature of chief financial officer of the utility)

* Each of the four items must be certified YES or NO. Each item need not be certified by both officers. The items being certified by the officer should be indicated in the appropriate area to the left of the signature.

Notice: Section 837.06, Florida Statutes, provides that any person who knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his duty shall be guilty of a misdemeanor of the second degree.

**Reconciliation of Revenue to
Regulatory Assessment Fee Revenue
Wastewater Operations
Class C**

Company: Francis I Utility, LLC

For the Year Ended December 31, 2012

(a)	(b)	(c)	(d)
Accounts	Gross Wastewater Revenues Per Sch. F-3	Gross Wastewater Revenues Per RAF Return	Difference (b) - (c)
Gross Revenue:			
Residential (1)	\$ 92,974.17	\$ 95,928.29	\$ (2,954.12)
Commercial (2)	19,184.74	13,102.74	6,082.00
Industrial	-		-
Multiple Family	-		-
Guaranteed Revenues	-		-
Other	150.00	150.00	-
Total Wastewater Operating Revenue	\$ 112,308.91	\$ 109,181.03	\$ 3,127.88
LESS: Expense for Purchased Wastewater from FPSC-Regulated Utility	\$ -	\$ -	\$ -
Net Wastewater Operating Revenues	\$ 112,308.91	\$ 109,181.03	\$ 3,127.88

Explanations:
Correction to prior billings.