

**CLASS "C"**

**WATER AND/OR WASTEWATER UTILITIES**

(Gross Revenue of Less Than \$200,000 Each)

**ANNUAL REPORT**

WS728 35  
Shangri-La by the Lake Utilities, Inc.  
1214 West Route 72  
Leaf River, IL 61047

567W / 4945

Certificate Number(s)

Submitted To The

**STATE OF FLORIDA**



WS728-00-AR

SHANGRI-LA BY THE LAKE UTIL., INC.

**PUBLIC SERVICE COMMISSION**

FOR THE

**YEAR ENDED DECEMBER 31, 00**

ECONOMIC REGULATION

01/08-3 PM 4:45

PUBLIC SERVICE

## GENERAL INSTRUCTIONS

1. Prepare this report in conformity with the 1996 National Association of Regulatory Utility Commissioners (NARUC) Uniform System of Accounts for Water and Wastewater Utilities as adopted by Rule 25-30.115 (1), Florida Administrative Code.
2. Interpret all accounting words and phrases in accordance with the Uniform System of Accounts (USOA). Commission Rules and the definitions on next page.
3. Complete each question fully and accurately, even if it has been answered in a previous annual report. Enter the word "None" where it truly and completely states the fact.
4. For any question, section, or page which is not applicable to the respondent enter the words "Not Applicable." Do not omit any pages.
5. Where dates are called for, the month and day should be stated as well as the year.
6. All schedules requiring dollar entries should be rounded to the nearest dollar.
7. Complete this report by means which result in a permanent record. You may use permanent ink or a typewriter. Do not use a pencil.
8. If there is not enough room on any schedule, an additional page or pages may be added provided the format of the added schedule matches the format of the schedule in the report. Additional pages should reference the appropriate schedules, state the name of the utility, and state the year of the report.
9. If it is necessary or desirable to insert additional statements for the purpose of further explanation of schedules, such statements should be made at the bottom of the page or on an additional page. Any additional pages should state the name of the utility and the year of the report, and reference the appropriate schedule.
10. The utility shall file the original and two copies of the report with the Commission at the address below, and keep a copy for itself. Pursuant to Rule 25-30.110 (3), Florida Administrative Code, the utility must submit the report by March 31 for the preceeding year ending December 31.

Florida Public Service Commission  
Division of Water and Wastewater  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850

11. Pursuant to Rule 25-30.110 (7) (a), Florida Administrative Code, any utility that fails to file its annual report or extension on or before March 31, or within the time specified by any extension approved in writing by the Division of Water and Wastewater, shall be subject to a penalty. The penalty shall be based on the number of calendar days elapsed from March 31, or from an approved extended filing date, until the date of filing. The date of filing shall be included in the days elapsed.

## GENERAL DEFINITIONS

**ADVANCES FOR CONSTRUCTION** - This account shall include advances by or in behalf of customers for construction which are to be refunded either wholly or in part. (USOA)

**ALLOWANCE FOR FUNDS USED DURING CONSTRUCTION ( AFUDC )** - This account shall include concurrent credits for allowance for funds used during construction based upon the net cost of funds used for construction purposes and a reasonable rate upon other funds when so used. Appropriate regulatory approval shall be obtained for "a reasonable rate". (USOA)

**AMORTIZATION** - The gradual extinguishment of an amount in an account by distributing such amount over a fixed period, over the life of the asset or liability to which it applies, or over the period during which it is anticipated the benefit will be realized. (USOA)

**CONTRIBUTIONS IN AID OF CONSTRUCTION ( CIAC )** - Any amount or item of money, services, or property received by a utility, from any person or governmental agency, any portion of which is provided at no cost to the utility, which represents an addition or transfer to the capital of the utility, and which is utilized to offset the acquisition, improvement, or construction costs of the utility's property, facilities, or equipment used to provide utility services to the public. (Section 367.021 (3), Florida Statutes)

**CONSTRUCTION WORK IN PROGRESS ( CWIP )** - This account shall include the cost of water or wastewater plant in process of construction, but not yet ready for services. (USOA)

**DEPRECIATION** - The loss in service value not restored by current maintenance, incurred in connection with the consumption or prospective retirement of utility plant in the course of service from causes which are known to be in the current operation and against which the utility is not protected by insurance. (Rule 25-30.140 (i), Florida Administrative Code)

**EFFLUENT REUSE** - The use of wastewater after the treatment process, generally for reuse as irrigation water or for in plant use. (Section 367.021 (6), Florida Statutes)

**EQUIVALENT RESIDENTIAL CONNECTION (ERC) - (WATER)** - (Rule 25-30.515 (8), Florida Administrative Code.)

- (a) 350 gallons per day;
- (b) The number of gallons a utility demonstrates in the average daily flow for a single family unit; or
- (c) The number of gallons which has been approved by the DEP for a single family residential unit.

**EQUIVALENT RESIDENTIAL CONNECTION (ERC) - (WASTEWATER)** - Industry standard of 80% of Water ERC or 280 gallons per day for residential use.

**GUARANTEED REVENUE CHARGE** - A charge designed to cover the utility's costs including, but not limited to the cost of the operation, maintenance, depreciation, and any taxes, and to provide a reasonable return to the utility for facilities, a portion of which may not be used and useful to the utility or its existing customers. (Rule 25-30.515 (9), Florida Administrative Code)

**LONG TERM DEBT** - All Notes, Conditional Sales Contracts, or other evidences of indebtedness payable more than one year from date of issue. (USOA)

**PROPRIETARY CAPITAL ( For proprietorships and partnerships only )** - The investment of a sole proprietor, or partners, in an unincorporated utility. (USOA)

**RETAINED EARNINGS** - This account reflects corporate earnings retained in the business. Credits would include net income or accounting adjustments associated with correction of errors attributable to a prior period. Charges to this account would include net losses, accounting adjustments associated with correction of errors attributable to a prior period or dividends. (USOA)

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# FINANCIAL SECTION

# REPORT OF

## SHANGRI-LA BY THE LAKE UTILITIES, INC

(EXACT NAME OF UTILITY)

1214 WEST ROUTE 72 LEAF RIVER, IL 61047	100 SHANGRI-LA BLVD LEESBURG, FL 34788-2966
Mailing Address	Street Address County

Telephone Number (815)738-2508 Date Utility First Organized 1/1/94

Fax Number E-mail Address

Sunshine State One-Call of Florida, Inc. Member No. 680

Check the business entity of the utility as filed with the Internal Revenue Service:

☐ Individual ☒ Sub Chapter S Corporation ☐ 1120 Corporation ☐ Partnership

Name, Address and phone where records are located: 1214 WEST ROUTE 72  
LEAF RIVER, IL 61047

Name of subdivisions where services are provided:

### CONTACTS:

Name	Title	Principle Business Address	Salary Charged Utility
Person to send correspondence: JAY WERNER	BUSINESS MGR	1214 WEST ROUTE 72 LEAF RIVER, IL 61047	
Person who prepared this report: BIGGS, HAUSSEMAN, THOMPSON & DICKINSON, PC	CPA FIRM	356 E MAIN ST POB 60 SARANAC, MI 48881	
Officers and Managers:			\$ NONE
			\$
			\$
			\$
			\$
			\$

Report every corporation or person owning or holding directly or indirectly 5 percent or more of the voting securities of the reporting utility:

Name	Percent Ownership in Utility	Principle Business Address	Salary Charged Utility
MERTIS L WERNER TRUST	50%	SPARTA, MI	\$ NONE
ESTATE OF WILLIAM E WERNER	50%	SPARTA, MI	\$ NONE
			\$
			\$
			\$
			\$
			\$
			\$

## INCOME STATEMENT

Account Name	Ref. Page	Water	Wastewater	Other	Total Company
Gross Revenue:					
Residential_____		\$ 28,264	\$ 25,696	\$ _____	\$ 53,960
Commercial_____		_____	_____	_____	_____
Industrial_____		_____	_____	_____	_____
Multiple Family_____		_____	_____	_____	_____
Guaranteed Revenues_____		_____	_____	_____	_____
Other (Specify)_____		_____	_____	_____	_____
Total Gross Revenue_____		\$ 28,264	\$ 25,696	\$ _____	\$ 53,960
Operation Expense (Must tie to pages W-3 and S-3)	W-3 S-3	\$ 24,862	\$ 26,486	\$ _____	\$ 51,348
Depreciation Expense_____	F-5	3,218	9,971	_____	13,189
CIAC Amortization Expense_____	F-8	_____	_____	_____	_____
Taxes Other Than Income_____	F-7	976	916	_____	1,892
Income Taxes_____	F-7	_____	_____	_____	_____
Total Operating Expense		\$ 29,056	37,373	_____	\$ 66,429
Net Operating Income (Loss)		\$ (792)	\$ (11,677)	\$ _____	\$ (12,469)
Other Income:					
Nonutility Income_____		\$ _____	\$ _____	\$ _____	\$ _____
_____		_____	_____	_____	_____
_____		_____	_____	_____	_____
Other Deductions:					
Miscellaneous Nonutility Expenses_____		\$ _____	\$ _____	\$ _____	\$ _____
Interest Expense_____		9,238	17,178	_____	26,416
_____		_____	_____	_____	_____
_____		_____	_____	_____	_____
_____		_____	_____	_____	_____
Net Income (Loss)		\$ (10,030)	\$ (28,855)	\$ _____	\$ (38,885)

ACCOUNT NAME	Reference Page	Current Year	Previous Year
<b>Assets:</b>			
Utility Plant in Service (101-105) _____	F-5, W-1, S-1	\$ 388,495	\$ 381,951
Accumulated Depreciation and Amortization (108) _____	F-5, W-2, S-2	54,518	41,329
<b>Net Utility Plant</b> _____		\$ 333,977	\$ 340,622
Cash _____		4,492	7,719
Customer Accounts Receivable (141) _____			
Other Assets (Specify): _____			
_____			
_____			
_____			
<b>Total Assets</b> _____		\$ 338,469	\$ 348,341
<b>Liabilities and Capital:</b>			
Common Stock Issued (201) _____	F-6	100	100
Preferred Stock Issued (204) _____	F-6		
Other Paid in Capital (211) _____			
Retained Earnings (215) _____	F-6	(141,987)	(103,102)
Proprietary Capital (Proprietary and partnership only) (218) _____	F-6		
<b>Total Capital</b> _____		\$ (141,887)	\$ (103,002)
Long Term Debt (224) _____	F-6	\$ 373,933	\$ 351,433
Accounts Payable (231) _____			
Notes Payable (232) _____		4,393	24,297
Customer Deposits (235) _____			
Accrued Taxes (236) _____			
Other Liabilities (Specify) _____			
<b>ACCURED INTEREST</b> _____		102,030	75,613
_____			
Advances for Construction _____			
Contributions in Aid of Construction - Net (271-272) _____	F-8		
<b>Total Liabilities and Capital</b> _____		\$ 338,469	\$ 348,341



## GROSS UTILITY PLANT

Plant Accounts: (101 - 107) inclusive	Water	Wastewater	Plant other Than Reporting Systems	Total
Utility Plant in Service -----	\$ <u>152,450</u>	\$ <u>236045</u>	\$ _____	\$ <u>388,495</u>
Construction Work in -----	_____	_____	_____	_____
Other (Specif _____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
Total Utility Plant _____	\$ <u>152,450</u>	\$ <u>236045</u>	\$ _____	\$ <u>388,495</u>

## ACCUMULATED DEPRECIATION (A/D) AND AMORTIZATION OF UTILITY PLANT

Account 108	Water	Wastewater	Other Than Reporting Systems	Total
Balance First of Year _____	\$ <u>7,580</u>	\$ <u>33,749</u>	\$ _____	\$ <u>41,329</u>
Add Credits During Year:				
Accruals charged to depreciation account _____	\$ <u>3,218</u>	\$ <u>9,971</u>	\$ _____	\$ <u>13,189</u>
Salvage _____	_____	_____	_____	_____
Other Credits (specify) _____	_____	_____	_____	_____
Total Credits _____	\$ <u>10,798</u>	\$ <u>43,720</u>	\$ _____	\$ <u>54,518</u>
Deduct Debits During Year:				
Book cost of plant retired _____	\$ _____	\$ _____	\$ _____	\$ _____
Cost of removal _____	_____	_____	_____	_____
Other debits (specify) _____	_____	_____	_____	_____
Total Debits _____	\$ _____	\$ _____	\$ _____	\$ _____
Balance End of Year _____	\$ <u>10,798</u>	\$ <u>43,720</u>	\$ _____	\$ <u>54,518</u>

UTILITY NAME: SHANGRI-LA BY THE LAKE UTILITIES, INC

YEAR OF REPORT  
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CAPITAL STOCK ( 201 - 204 )

	Common Stock	Preferred Stock
Par or stated value per share _____	1	NOT APPLICABLE
Shares authorized _____	500	
Shares issued and outstanding _____	100	
Total par value of stock issued _____	100	
Dividends declared per share for year _____	0	

RETAINED EARNINGS ( 215 )

	Appropriated	Un- Appropriated
Balance first of year _____	\$ (103,102)	0
Changes during the year (Specify): NET LOSS _____	(38,885)	
_____		
_____		
Balance end of year _____	\$ (141,987)	

PROPRIETARY CAPITAL ( 218 )

	Proprietor Or Partner	Partner
Balance first of year _____	NOT \$ APPLICABLE	
Changes during the year (Specify): _____		
_____		
_____		
Balance end of year _____	\$ _____	

LONG TERM DEBT ( 224 )

Description of Obligation (Including Date of Issue and Date of Maturity):	Interest		Principal per Balance Sheet Date
	Rate	# of Pymts	
NOTE PAYABLE _____	6.00%	360	373,933
_____			
_____			
Total _____			373,933

UTILITY NAME: SHANGRI-LA BY THE LAKE UTILITIES, INC

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**TAXES ACCRUED ( 236 )**

(a)	Water (b)	Wastewater (c)	Other (d)	Total (e)
Income Taxes:				
Federal income tax _____	\$ _____	\$ _____	\$ _____	\$ _____
State income Tax _____	_____	_____	_____	_____
Taxes Other Than Income: _____	_____	_____	_____	_____
State ad valorem tax _____	_____	_____	_____	_____
Local property tax _____	_____	_____	_____	_____
Regulatory assessment fee _____	830	770	_____	1,600
Other (Specify) _____	_____	_____	_____	_____
FICA EXPENSE _____	146	146	_____	292
Total Taxes Accrued _____	\$ 976	\$ 916	\$ _____	\$ 1,892

**PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES**

Report all information concerning outside rate, management, construction, advertising, labor relations, public relations, or other similiar professional services rendered the respondent for which aggregate payments during the year to any corporation, partnership, individual, or organization of any kind whatever amounting to \$500 or more.

Name of Recipient	Water Amount	Wastewater Amount	Description of Service
GENERAL UTILITIES CORP	\$ 4,900	\$ 5,687	OPERATOR . /MANAGEMENT
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____
_____	\$ _____	\$ _____	_____

YEAR OF REPORT  
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(a)	Water (b)	Wastewater (c)	Total (d)
1) Balance first of year _____	NOT \$ <u>APPLICABLE</u>	NOT \$ <u>APPLICABLE</u>	\$ _____
2) Add credits during year _____	\$ _____	\$ _____	\$ _____
3) Total _____	_____	_____	_____
4) Deduct charges during the year _____	_____	_____	_____
5) Balance end of year _____	_____	_____	_____
6) Less Accumulated Amortization _____	_____	_____	_____
7) Net CIAC _____	\$ <u>_____</u>	\$ <u>_____</u>	\$ <u>_____</u>

Report below all developers or contractors agreements from which cash or property was received during the year.		Indicate "Cash" or "Property"	Water	Wastewater
<div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div>		<div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div>	<div>NOT APPLICABLE</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div>	<div>NOT APPLICABLE</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div> <div>_____</div>
Sub-total _____			\$ _____	\$ _____
Report below all capacity charges, main extension charges and customer connection charges received during the year.				
Description of Charge	Number of Connections	Charge per Connection		
_____	_____	\$ _____	\$ _____	\$ _____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
Total Credits During Year (Must agree with line # 2 above.) _____			\$ _____	\$ _____

	<u>Water</u>	<u>Wastewater</u>	<u>Total</u>
Balance First of Year _____	\$ <u>NOT APPLICABLE</u>	\$ <u>NOT APPLICABLE</u>	\$ _____
Add Credits During Year: _____	_____	_____	_____
	_____	_____	_____
Deduct Debits During Year: _____	_____	_____	_____
	_____	_____	_____
Balance End of Year (Must agree with line #6 above.)	\$ <u>                    </u>	\$ <u>                    </u>	\$ <u>                    </u>
	<u>                    </u>	<u>                    </u>	<u>                    </u>

**\*\* COMPLETION OF SCHEDULE REQUIRED ONLY IF AFUDC WAS CHARGED DURING YEAR \*\***

UTILITY NAME: \_\_\_\_\_

YEAR OF REPORT  
DECEMBER 31 \_\_\_\_\_

**SCHEDULE "A"**

**SCHEDULE OF COST OF CAPITAL USED FOR AFUDC CALCULATION (1)**

Class of Capital (a)	Dollar Amount (b)	Percentage of Capital (c)	Actual Cost Rates (d)	Weighted Cost [ c x d ] (e)
Common Equity	NOT \$ APPLICABLE	_____ %	_____ %	_____ %
Preferred Stock	_____	_____ %	_____ %	_____ %
Long Term Debt	_____	_____ %	_____ %	_____ %
Customer Deposits	_____	_____ %	_____ %	_____ %
Tax Credits - Zero Cost	_____	_____ %	0.00 %	_____ %
Tax Credits - Weighted Cost	_____	_____ %	_____ %	_____ %
Deferred Income Taxes	_____	_____ %	_____ %	_____ %
Other (Explain)	_____	_____ %	_____ %	_____ %
Total	\$ _____	100.00 %		_____ %

(1) Must be calculated using the same methodology used to calculate AFUDC rate approved by the Commission.

**APPROVED AFUDC RATE**

Current Commission approved AFUDC rate: \_\_\_\_\_ %

Commission Order Number approving AFUDC rate: \_\_\_\_\_



**WATER  
OPERATING  
SECTION**

## WATER UTILITY PLANT ACCOUNTS

Acct. No. (a)	Account Name (b)	Previous Year (c)	Additions (d)	Retirements (e)	Current Year (f)
301	Organization_____	\$ _____	\$ _____	\$ _____	\$ _____ 0
302	Franchises_____	_____	_____	_____	_____ 0
303	Land and Land Rights_____	_____	_____	_____	_____ 0
304	Structures and Improvements_____	_____ 5,000	_____ 0	_____ 0	_____ 5,000
305	Collecting and Impounding Reservoirs_____	_____	_____	_____	_____
306	Lake, River and Other Intakes_____	_____	_____	_____	_____
307	Wells and Springs_____	_____ 36,412	_____ 0	_____ 0	_____ 36,412
308	Infiltration Galleries and Tunnels_____	_____	_____	_____	_____
309	Supply Mains_____	_____	_____	_____	_____
310	Power Generation Equipment_____	_____	_____	_____	_____
311	Pumping Equipment_____	_____ 20,178	_____ 0	_____ 0	_____ 20,178
320	Water Treatment Equipment_____	_____	_____	_____	_____
330	Distribution Reservoirs and Standpipes_____	_____	_____	_____	_____
331	Transmission and Distribution Lines_____	_____ 67,000	_____ 0	_____ 0	_____ 67,000
333	Services_____	_____	_____	_____	_____
334	Meters and Meter Installations_____	_____ 19,999	_____ 2677	_____ 0	_____ 22,676
335	Hydrants_____	_____	_____	_____	_____
336	Backflow Prevention Devices_____	_____	_____	_____	_____
339	Other Plant and Miscellaneous Equipment_____	_____ 0	_____ 1184	_____ 0	_____ 1,184
340	Office Furniture and Equipment_____	_____	_____	_____	_____
341	Transportation Equipment_____	_____	_____	_____	_____
342	Stores Equipment_____	_____	_____	_____	_____
343	Tools, Shop and Garage Equipment_____	_____	_____	_____	_____
344	Laboratory Equipment_____	_____	_____	_____	_____
345	Power Operated Equipment_____	_____	_____	_____	_____
346	Communication Equipment_____	_____	_____	_____	_____
347	Miscellaneous Equipment_____	_____	_____	_____	_____
348	Other Tangible Plant_____	_____	_____	_____	_____
	Total Water Plant_____	\$ _____ 148,589	\$ _____ 3861	\$ _____ 0	\$ _____ 152,450



UTILITY NAME: SHANGRI-LA BY THE LAKE UTILITIES, INC

YEAR OF REPORT  
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ANALYSIS OF ACCUMULATED DEPRECIATION BY PRIMARY ACCOUNT - WATER

Acct. No. (a)	Account (b)	Average Service Life in Years (c)	Average Salvage in Percent (d)	Depr. Rate Applied (e)	Accumulated Depreciation Balance Previous Year (f)	Debits (g)	Credits (h)	Accum. Depr. Balance End of Year (f+g+h=i) (i)
304	Structures and Improvements	50	0	1	\$ 50	\$	\$ 100	\$ 150
305	Collecting and Impounding Reservoirs		%	%				
306	Lake, River and Other Intakes		%	%				
307	Wells and Springs	50	0	1	1,384		728	2,112
308	Infiltration Galleries & Tunnels		%	%				
309	Supply Mains		%	%				
310	Power Generating Equipment		%	%				
311	Pumping Equipment	50	0	1	1,256		472	1,728
320	Water Treatment Equipment		%	%				
330	Distribution Reservoirs & Standpipes		%	%				
331	Trans. & Dist. Mains	50	0	1	4,690		1,340	6,030
333	Services		%	%				
334	Meter & Meter Installations	50	0	1	200		508	708
335	Hydrants		%	%				
336	Backflow Prevention Devices		%	%				
339	Other Plant and Miscellaneous Equipment	7	0	1	0		70	70
340	Office Furniture and Equipment		%	%				
341	Transportation Equipment		%	%				
342	Stores Equipment		%	%				
343	Tools, Shop and Garage Equipment		%	%				
344	Laboratory Equipment		%	%				
345	Power Operated Equipment		%	%				
346	Communication Equipment		%	%				
347	Miscellaneous Equipment		%	%				
348	Other Tangible Plant		%	%				
	Totals				\$ 7,580	\$	\$ 3,218	\$ 10,798 *

\* This amount should tie to Sheet F-5.

## WATER OPERATION AND MAINTENANCE EXPENSE

Acct. No.	Account Name	Amount
601	Salaries and Wages - Employees	\$ 1,000
603	Salaries and Wages - Officers, Directors, and Majority Stockholders	
604	Employee Pensions and Benefits	
610	Purchased Water	
615	Purchased Power	
616	Fuel for Power Production	2,580
618	Chemicals	
620	Materials and Supplies	2,301
630	Contractual Services:	
	Billing	
	Professional	6,844
	Testing	
	Other	9,659
640	Rents	500
650	Transportation Expense	
655	Insurance Expense	
665	Regulatory Commission Expenses (Amortized Rate Case Expense)	
670	Bad Debt Expense	
675	Miscellaneous Expenses	1,978
	Total Water Operation And Maintenance Expense	\$ 24,862 *
	* This amount should tie to Sheet F-3.	

## WATER CUSTOMERS

Description (a)	Type of Meter ** (b)	Equivalent Factor (c)	Number of Active Customers		Total Number of Meter Equivalents (c x e) (f)
			Start of Year (d)	End of Year (e)	
Residential Service					
5/8"	D	1.0	5	131	131
3/4"	D	1.5			
1"	D	2.5			
1 1/2"	D,T	5.0			
General Service					
5/8"	D	1.0			
3/4"	D	1.5			
1"	D	2.5			
1 1/2"	D,T	5.0			
2"	D,C,T	8.0			
3"	D	15.0			
3"	C	16.0			
3"	T	17.5			
Unmetered Customers			129	0	0
Other (Specify)			1	0	0
D = Displacement C = Compound T = Turbine			To 135	131	131

YEAR OF REPORT  
DECEMBER 31, 2000

UTILITY NAME: \_\_\_\_\_ SHANGRI-LA BY THE LAKE UTILITIES

YEAR OF REPORT  
DECEMBER 31, 2000

SYSTEM NAME: \_\_\_\_\_

### WELLS AND WELL PUMPS

(a)	(b)	(c)	(d)	(e)
Year Constructed _____	1982	_____	_____	_____
Types of Well Construction and Casing _____	SUBMERSIBLE	_____	_____	_____
_____	_____	_____	_____	_____
Depth of Wells _____	350'	_____	_____	_____
Diameters of Wells _____	6"	_____	_____	_____
Pump - GPM _____	225	_____	_____	_____
Motor - HP _____	10	_____	_____	_____
Motor Type * _____	GROUND FOS	_____	_____	_____
Yields of Wells in GPD _____	388,800	_____	_____	_____
Auxiliary Power _____	NONE	_____	_____	_____
* Submersible, centrifugal, etc.				

### RESERVOIRS

(a)	(b)	(c)	(d)	(e)
Description (steel, concrete)	STEEL	CONCRETE	_____	_____
Capacity of Tank _____	3000	15000	_____	_____
Ground or Elevated _____	GROUND	GROUND	_____	_____

### HIGH SERVICE PUMPING

(a)	(b)	(c)	(d)	(e)
<b>Motors</b>				
Manufacturer _____	BALDOR	_____	_____	_____
Type _____	JMM3219	_____	_____	_____
Rated Horsepower _____	75	_____	_____	_____
<b>Pumps</b>				
Manufacturer _____	GOULDS	_____	_____	_____
Type _____	3656	_____	_____	_____
Capacity in GPM _____	125	_____	_____	_____
Average Number of Hours Operated Per Day _____	UNKNOWN	_____	_____	_____
Auxiliary Power _____	NONE	_____	_____	_____

UTILITY NAME: SHANGRI-LA BY THE LAKE  
UTILITIES, INC

YEAR OF REPORT  
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### SOURCE OF SUPPLY

List for each source of supply ( Ground, Surface, Purchased Water etc. )			
Permitted Gals. per day_____	57981	_____	_____
Type of Source_____	GROUND	_____	_____

### WATER TREATMENT FACILITIES

List for each Water Treatment Facility:			
Type_____	AERATION	_____	_____
Make_____	JOB	_____	_____
Permitted Capacity (GPD)_____	388800	_____	_____
High service pumping	_____	_____	_____
Gallons per minute_____	125	_____	_____
Reverse Osmosis_____	NONE	_____	_____
Lime Treatment	_____	_____	_____
Unit Rating_____	NONE	_____	_____
Filtration	_____	_____	_____
Pressure Sq. Ft._____	NO	_____	_____
Gravity GPD/Sq.Ft._____	NO	_____	_____
Disinfection	_____	_____	_____
Chlorinator_____	YES	_____	_____
Ozone_____	NO	_____	_____
Other_____	NO	_____	_____
Auxiliary Power_____	NONE	_____	_____

UTILITY NAME: SHANGRI-LA BY THE LAKE UTILITEIS

YEAR OF REPORT  
DECEMBER 31, 2000

SYSTEM NAME:

### GENERAL WATER SYSTEM INFORMATION

Furnish information below for each system. A separate page should be supplied where necessary.

1. Present ERC's \* the system can efficiently serve. 617
2. Maximum number of ERCs \* which can be served. 617
3. Present system connection capacity (in ERCs \*) using existing lines. 617
4. Future connection capacity (in ERCs \*) upon service area buildout. UNKNOWN
5. Estimated annual increase in ERCs \*. UNKNOWN
6. Is the utility required to have fire flow capacity? NO  
If so, how much capacity is required?
7. Attach a description of the fire fighting facilities.
8. Describe any plans and estimated completion dates for any enlargements or improvements of this system.  
CURRENTLY SERVICE IS 131 LOTS. THERE ARE 16 LOTS THAT COULD BE HOOKED UP FOR FULL SERVICE.
9. When did the company last file a capacity analysis report with the DEP? UNKNOWN(POSSIBLY FEB 1996)
10. If the present system does not meet the requirements of DEP rules, submit the following:
  - a. Attach a description of the plant upgrade necessary to meet the DEP rules.
  - b. Have these plans been approved by DEP?
  - c. When will construction begin?
  - d. Attach plans for funding the required upgrading.
  - e. Is this system under any Consent Order with DEP?
11. Department of Environmental Protection ID # WC 35-2031 APRIL 21, 1989
12. Water Management District Consumptive Use Permit # 2-069-1021 AUVFM
  - a. Is the system in compliance with the requirements of the CUP? YES
  - b. If not, what are the utility's plans to gain compliance?

\* An ERC is determined based on one of the following methods:

(a) If actual flow data are available from the preceding 12 months:

Divide the total annual single family residence (SFR) gallons sold by the average number of single family residents (SFR) gallons sold by the average number of single family residence customers for the same period and divide the result by 365 days.

(b) If no historical flow data are available use:

ERC = (Total SFR gallons sold (omit 000/365 days/350 gallons per day).

# WASTEWATER OPERATING SECTION

## WASTEWATER UTILITY PLANT ACCOUNTS

Acct. No. (a)	Account Name (b)	Previous Year (c)	Additions (d)	Retirements (e)	Current Year (f)
351	Organization_____	\$ _____	\$ _____	\$ _____	\$ _____
352	Franchises_____	_____	_____	_____	_____
353	Land and Land Rights_____	_____	_____	_____	_____
354	Structures and Improvements_____	_____	_____	_____	_____
355	Power Generation Equipment_____	_____	_____	_____	_____
360	Collection Sewers - Force_____	_____	_____	_____	_____
361	Collection Sewers - Gravity_____	164,000	0	0	164,000
362	Special Collecting Structures_____	_____	_____	_____	_____
363	Services to Customers_____	_____	_____	_____	_____
364	Flow Measuring Devices_____	_____	_____	_____	_____
365	Flow Measuring Installations_____	_____	_____	_____	_____
370	Receiving Wells_____	_____	_____	_____	_____
371	Pumping Equipment_____	26,000	0	0	26,000
380	Treatment and Disposal Equipment_____	26,000	0	0	26,000
381	Plant Sewers_____	_____	_____	_____	_____
382	Outfall Sewer Lines_____	_____	_____	_____	_____
389	Other Plant and Miscellaneous Equipment_____	0	1,183	0	1,183
390	Office Furniture and Equipment_____	0	1,500	0	1,500
391	Transportation Equipment_____	_____	_____	_____	_____
392	Stores Equipment_____	_____	_____	_____	_____
393	Tools, Shop and Garage Equipment_____	_____	_____	_____	_____
394	Laboratory Equipment_____	_____	_____	_____	_____
395	Power Operated Equipment_____	_____	_____	_____	_____
396	Communication Equipment_____	_____	_____	_____	_____
397	Miscellaneous Equipment_____	_____	_____	_____	_____
398	Other Tangible Plant_____	17,362	0	0	17,362
	Total Wastewater Plant_____	\$ 233,362	\$ 2,683	\$ 0	\$ 236,045 *

\* This amount should tie to sheet F-5.



## ANALYSIS OF ACCUMULATED DEPRECIATION BY PRIMARY ACCOUNT - WASTEWATER

Acct. No. (a)	Account (b)	Average Service Life in Years (c)	Average Salvage in Percent (d)	Depr. Rate Applied (e)	Accumulated Depreciation Balance Previous Year (f)	Debits (g)	Credits (h)	Accum. Depr. Balance End of Year (f-g+h=i) (i)
354	Structures and Improvements		%	%	\$	\$	\$	\$
355	Power Generation Equipment		%	%				
360	Collection Sewers - Force		%	%				
361	Collection Sewers - Gravity	24	0	1	23,916	0	6,833	30,749
362	Special Collecting Structures		%	%				
363	Services to Customers		%	%				
364	Flow Measuring Devices		%	%				
365	Flow Measuring Installations		%	%				
370	Receiving Wells		%	%				
371	Pumping Equipment	24	0	1	3,791	0	1,083	4,874
380	Treatment and Disposal Equipment	24	0	1	3,791	0	1,083	4,874
381	Plant Sewers		%	%				
382	Outfall Sewer Lines		%	%				
389	Other Plant and Miscellaneous Equipment	7	0	1	0	0	70	70
390	Office Furniture and Equipment	7	0	1	0	0	179	179
391	Transportation Equipment		%	%				
392	Stores Equipment		%	%				
393	Tools, Shop and Garage Equipment		%	%				
394	Laboratory Equipment		%	%				
395	Power Operated Equipment		%	%				
396	Communication Equipment		%	%				
397	Miscellaneous Equipment		%	%				
398	Other Tangible Plant	24	0	1	2,251	0	723	2,974
	Totals				\$ 33,749	\$ 0	\$ 9,971	\$ 43,720 *

\* This amount should tie to Sheet F-5.

## WASTEWATER OPERATION AND MAINTENANCE EXPENSE

Acct. No.	Account Name	Amount
701	Salaries and Wages - Employees	\$ 1,000
703	Salaries and Wages - Officers, Directors, and Majority Stockholders	
704	Employee Pensions and Benefits	
710	Purchased Wastewater Treatment	
711	Sludge Removal Expense	1,285
715	Purchased Power	
716	Fuel for Power Production	2,580
718	Chemicals	
720	Materials and Supplies	3,418
730	Contractual Services:	
	Billing	
	Professional	6,844
	Testing	
	Other	8,426
740	Rents	500
750	Transportation Expense	
755	Insurance Expense	
765	Regulatory Commission Expenses (Amortized Rate Case Expense)	
770	Bad Debt Expense	
775	Miscellaneous Expenses	2,433
	Total Wastewater Operation And Maintenance Expense	\$ 26,486 *

\* This amount should tie to Sheet F-3.

## WASTEWATER CUSTOMERS

Description (a)	Type of Meter ** (b)	Equivalent Factor (c)	Number of Active Customers		Total Number of Meter Equivalents (c x e) (f)
			Start of Year (d)	End of Year (e)	
Residential Service					
All meter sizes	D	1.0	0	131	131
General Service					
5/8"	D	1.0			
3/4"	D	1.5			
1"	D	2.5			
1 1/2"	D,T	5.0			
2"	D,C,T	8.0			
3"	D	15.0			
3"	C	16.0			
3"	T	17.5			
Unmetered Customers			129	0	0
Other (Specify)					
Total			129	131	131

\* D = Displacement  
C = Compound  
T = Turbine

UTILITY NAME: \_\_\_\_\_ SHANGRI-LA BY THE LAKE UTILITIES, INC

YEAR OF REPORT  
DECEMBER 31, 2000

### PUMPING EQUIPMENT

Lift Station Number _____	<u>1</u>	<u>2</u>	_____	_____	_____	_____
Make or Type and nameplate data on pump _____	UNKNOWN	UNKNOWN	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
Year installed _____	<u>1983</u>	<u>1983</u>	_____	_____	_____	_____
Rated capacity _____	_____	_____	_____	_____	_____	_____
Size _____	<u>2H</u>	<u>2H</u>	_____	_____	_____	_____
Power:	_____	_____	_____	_____	_____	_____
Electric _____	<u>YES</u>	<u>YES</u>	_____	_____	_____	_____
Mechanical _____	<u>NO</u>	_____	_____	_____	_____	_____
Nameplate data of motor _____	UNKNOWN	UNKNOWN	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____

### SERVICE CONNECTIONS

Size (inches) _____	<u>3</u>	_____	_____	_____	_____	_____
Type (PVC, VCP, etc.) _____	<u>PVC</u>	_____	_____	_____	_____	_____
Average length _____	UNKNOWN	_____	_____	_____	_____	_____
Number of active service connections _____	<u>140</u>	_____	_____	_____	_____	_____
Beginning of year _____	<u>113</u>	_____	_____	_____	_____	_____
Added during year _____	<u>27</u>	_____	_____	_____	_____	_____
Retired during year _____	<u>0</u>	_____	_____	_____	_____	_____
End of year _____	<u>140</u>	_____	_____	_____	_____	_____
Give full particulars concerning inactive connections _____	<u>N/A</u>	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____

### COLLECTING AND FORCE MAINS

	Collecting Mains				Force Mains			
Size (inches) _____	<u>4</u>	_____	_____	_____	<u>4</u>	_____	_____	_____
Type of main _____	UNKNOWN	_____	_____	_____	UNKNOWN	_____	_____	_____
Length of main (nearest foot) _____	UNKNOWN	_____	_____	_____	UNKNOWN	_____	_____	_____
Beginning of year _____	UNKNOWN	_____	_____	_____	UNKNOWN	_____	_____	_____
Added during year _____	<u>0</u>	_____	_____	_____	<u>0</u>	_____	_____	_____
Retired during year _____	<u>0</u>	_____	_____	_____	<u>0</u>	_____	_____	_____
End of year _____	UNKNOWN	_____	_____	_____	UNKNOWN	_____	_____	_____

### MANHOLES

Size (inches) _____	<u>48</u>	_____	_____
Type of Manhole _____	<u>CONCRETE</u>	_____	_____
Number of Manholes:	_____	_____	_____
Beginning of year _____	<u>5</u>	_____	_____
Added during year _____	<u>0</u>	_____	_____
Retired during year _____	<u>0</u>	_____	_____
End of Year _____	<u>5</u>	_____	_____

UTILITY NAME: \_\_\_\_\_ SHANGRI-LA BY THE LAKE UTILITIES, INC

SYSTEM NAME: \_\_\_\_\_

YEAR OF REPORT  
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### TREATMENT PLANT

Manufacturer _____ Type _____ "Steel" or "Concrete" _____ Total Permitted Capacity _____ Average Daily Flow _____ Method of Effluent Disposal _____ Permitted Capacity of Disposal _____ Total Gallons of Wastewater treated _____	GENERAL ENVIRONMENTAL	_____ _____ _____ _____ _____ _____ _____	_____ _____ _____ _____ _____ _____ _____
	EG. INC MOD 75		
	BIOCHEMICAL OXYGEN DEMAND		
	STEEL		
	50,000 GPD		
	6000 GPD		
	SPRAYFIELD & PERKALATION		
	POND		
	< 10,000 DAY		

### MASTER LIFT STATION PUMPS

Manufacturer _____ Capacity (GPM's) _____ Motor: Manufacturer _____ Horsepower _____ Power (Electric or Mechanical) _____	UNKNOWN	UNKNOWN	_____ _____ _____ _____ _____ _____ _____	_____ _____ _____ _____ _____ _____ _____	_____ _____ _____ _____ _____ _____ _____	_____ _____ _____ _____ _____ _____ _____
	UNKNOWN	UNKNOWN				
	UNKNOWN	UNKNOWN				
	2	2				
	ELECTRIC	ELECTRIC				

### PUMPING WASTEWATER STATISTICS

Months	Gallons of Treated Wastewater	Effluent Reuse Gallons to Customers	Effluent Gallons Disposed of on site
January _____	329,000	_____	_____
February _____	238,000	_____	_____
March _____	288,000	_____	_____
April _____	224,000	_____	_____
May _____	189,000	_____	_____
June _____	186,000	_____	_____
July _____	145,000	_____	_____
August _____	178,000	_____	_____
September _____	177,000	_____	_____
October _____	221,000	_____	_____
November _____	219,000	_____	_____
December _____	235,000	_____	_____
Total for year _____	2,629,000	_____	_____

If Wastewater Treatment is purchased, indicate the vendor: \_\_\_\_\_

**Reconciliation of Revenue to  
Regulatory Assessment Fee Revenue**

WS728  
Shangri-La by the Lake Utilities, Inc. **Water Operations**  
1214 West Route 72  
Leaf River, IL 61047

**Company:**  
**For the Year Ended December 31, 2000**

(a)	(b)	(c)	(d)
Accounts	Gross Water Revenues Per Sch. F-3	Gross Water Revenues Per RAF Return	Difference (b) - (c)
Gross Revenue:			
Residential	\$ 28,264	\$ 28,264	\$ - 0 -
Commercial			
Industrial			
Multiple Family			
Guaranteed Revenues			
Other			
<b>Total Water Operating Revenue</b>	\$ 28,264	\$ 28,264	\$ - 0 -
LESS: Expense for Purchased Water from FPSC-Regulated Utility			
<b>Net Water Operating Revenues</b>	\$ 28,264	\$ 28,264	\$ - 0 -

Explanations:

RECEIVED  
REGULATORY SERVICE  
01/08/01 PM 4:15  
ILLINOIS REGULATION DIVISION

**Instructions:**

For the current year, reconcile the gross water revenues reported on Schedule F-3 with the gross water revenues reported on the company's regulatory assessment fee return. Explain any differences reported in column (d).

# Reconciliation of Revenue to

## Regulatory Assessment Fee Revenue

WS728

Shangri-La by the Lake Utilities, Inc. *Wastewater Operations*

1214 West Route 72

Company: Leaf River, IL 61047

For the Year Ended December 31, 2000

(a)	(b)	(c)	(d)
Accounts	Gross Wastewater Revenues Per Sch. F-3	Gross Wastewater Revenues Per RAF Return	Difference (b) - (c)
Gross Revenue:			
Residential	\$ 25,696	\$ 25,696	\$ -0-
Commercial			
Industrial			
Multiple Family			
Guaranteed Revenues			
Other			
<b>Total Wastewater Operating Revenue</b>	\$ 25,696	\$ 25,696	\$ -0-
LESS: Expense for Purchased Wastewater from FPSC-Regulated Utility			
<b>Net Wastewater Operating Revenues</b>	\$ 25,696	\$ 25,696	\$ -0-

Explanations:

### Instructions:

For the current year, reconcile the gross wastewater revenues reported on Schedule F-3 with the gross wastewater revenues reported on the company's regulatory assessment fee return. Explain any differences reported in column (d).